

II. FISCAL IMPACT ANALYSIS

A. Five Year Summary of Fiscal Impact

Fiscal Years	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>
Capital Expenditures					
Operating Costs	<u>266,667</u>				
External Revenues	<u>(200,000)</u>				
Program Income (County)					
In-Kind Match (County)	<u>(66,667)</u>				
Net Fiscal Impact	<u>0</u>	<u>0</u>	<u>0</u>		
# ADDITIONAL FTE POSITIONS (Cumulative)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

Is Item Included In-Current-Budget? Yes No

Budget Account Exp No: Fund Department Unit Object var
 Rev No: Fund Department Unit Object

B. Recommended Sources of Funds/Summary of Fiscal Impact:

Grant funds will be used for mental health and substance abuse treatment. In kind match of \$66,667 is required by the grant.

Departmental Fiscal Review: *Eugene J. Mamer*

III. REVIEW COMMENTS

A. OFMB Fiscal and/or Contract Dev. and Control Comments:

[Signature] 8/10/09
 OFMB *dl* 7/29/09 *Entsop9* *CS* 7/24/09

[Signature] 8/11/09
 Contract Administration

B. Legal Sufficiency:

[Signature] 8/12/09
 Assistant County Attorney

C. Other Department Review:

 Department Director

This summary is not to be used as a basis for payment.

APPLICATION FOR FEDERAL ASSISTANCE		2. DATE SUBMITTED May 04, 2009	Applicant Identifier
1. TYPE OF SUBMISSION Application Non-Construction	3. DATE RECEIVED BY STATE		State Application Identifier
	4. DATE RECEIVED BY FEDERAL AGENCY		Federal Identifier
5. APPLICANT INFORMATION			
Legal Name Palm Beach County		Organizational Unit Department of Public Safety	
Address 301 North Olive Avenue West Palm Beach, Florida 33401-4705		Name and telephone number of the person to be contacted on matters involving this application Saunders, Nicole (561) 355-1723	
6. EMPLOYER IDENTIFICATION NUMBER (EIN) 59-6000789		7. TYPE OF APPLICANT County	
8. TYPE OF APPLICATION New		9. NAME OF FEDERAL AGENCY Bureau of Justice Assistance	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 16.585 CFDA TITLE: Drug Court Discretionary Grant Program		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT Expansion and Implementation of Palm Beach County Drug Court's Co-occurring Track	
12. AREAS AFFECTED BY PROJECT Palm Beach County, Florida			
13. PROPOSED PROJECT Start Date: October 01, 2009 End Date: October 31, 2011		14. CONGRESSIONAL DISTRICTS OF a. Applicant b. Project FL23	
15. ESTIMATED FUNDING		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?	
Federal	\$200,000	Program is not covered by E.O.	
Applicant	\$66,666		
State	\$0		
Local	\$0		

Other	\$0	12372
Program Income	\$0	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? N
TOTAL	\$266,666	
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS REQUIRED.		





**BJA FY 09 Drug Court Discretionary Grant Program:
Enhancement 2009-F0822-FL-DC**



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Application Handbook

Assurances and Certifications

[Overview](#)

To the best of my knowledge and belief, all data in this application/preapplication is true and correct, the document has been duly authorized by the governing body of the applicant and the applicant will comply with the attached assurances if the assistance is awarded.

[Applicant Information](#)

[Project Information](#)

Your typed name, in lieu of your signature represents your legal binding acceptance of the terms of this application and your statement of the veracity of the representations made in this application. The document has been duly authorized by the governing body of the applicant and the applicant will comply with the following:

[Budget and Program Attachments](#)

[Assurances and Certifications](#)

1. [Assurances](#)
2. [Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace requirements.](#)

[Review SF 424](#)

[Submit Application](#)

If you are an applicant for any Violence Against Women grants, this includes the Certification of Compliance with the Statutory Eligibility Requirements of the Violence Against Women Act.

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*Prefix	Mr.
Name Prefix:(Other)	
*First Name	Michael
Middle Initial (if any)	
*Last Name	Rodriguez
Suffix	
Name Suffix:(Other)	
*Title	Director, Criminal Justice Commission
*Address Line 1	301 North Olive Avenue
Address Line 2	
*City	West Palm Beach
County	Palm Beach County
*State	Florida
*Zip Code	33401-4705
*Phone	561-355-2314 Ext :
Fax	561-355-4452
*E-mail	MLRodrig@pbcgov.org
<input checked="" type="checkbox"/> I have examined the information provided here regarding the signing authority and certify it is accurate. I	

I am the signing authority, or have been delegated or designated formally as the signing authority by the appropriate authority of official, to provide the information requested throughout this application system on behalf of this jurisdiction. Information regarding the signing authority, or the delegation of such authority, has been placed in a file and is available on-site for immediate review.



OMB APPROVAL
NUMBER 1121-0140

EXPIRES 06/30/2009

STANDARD ASSURANCES

The Applicant hereby assures and certifies compliance with all applicable Federal statutes, regulations, policies, guidelines, and requirements, including OMB Circulars A-21, A-87, A-102, A-110, A-122, A-133; Ex. Order 12372 (intergovernmental review of federal programs); and 28 C.F.R. pts. 66 or 70 (administrative requirements for grants and cooperative agreements). The applicant also specifically assures and certifies that:

1. It has the legal authority to apply for federal assistance and the institutional, managerial, and financial capability (including funds sufficient to pay any required non-federal share of project cost) to ensure proper planning, management, and completion of the project described in this application.
2. It will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
3. It will give the awarding agency or the General Accounting Office, through any authorized representative, access to and the right to examine all paper or electronic records related to the financial assistance.
4. It will comply with all lawful requirements imposed by the awarding agency, specifically including any applicable regulations, such as 28 C.F.R. pts. 18, 22, 23, 30, 35, 38, 42, 61, and 63, and the award term in 2 C.F.R. § 175.15(b).
5. It will assist the awarding agency (if necessary) in assuring compliance with section 106 of the National Historic Preservation Act of 1966 (16 U.S.C. § 470), Ex. Order 11593 (identification and protection of historic properties), the Archeological and Historical Preservation Act of 1974 (16 U.S.C. § 469 a-1 et seq.), and the National Environmental Policy Act of 1969 (42 U.S.C. § 4321).
6. It will comply (and will require any subgrantees or contractors to comply) with any applicable statutorily-imposed nondiscrimination requirements, which may include the Omnibus Crime Control and Safe Streets Act of 1968 (42 U.S.C. § 3789d); the Victims of Crime Act (42 U.S.C. § 10604(e)); The Juvenile Justice and Delinquency Prevention Act of 2002 (42 U.S.C. § 5672(b)); the Civil Rights Act of 1964 (42 U.S.C. § 2000d); the Rehabilitation Act of 1973 (29 U.S.C. § 7 94); the Americans with Disabilities Act of 1990 (42 U.S.C. § 12131-34); the Education Amendments of 1972 (20 U.S.C. §§1681, 1683, 1685-86); and the Age Discrimination Act of 1975 (42 U.S.C. §§ 6101-07); see Ex. Order 13279 (equal protection of the laws for faith-based and community organizations).
7. If a governmental entity:
 - a. it will comply with the requirements of the Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 (42 U.S.C. § 4601 et seq.), which govern the treatment of persons displaced as a result of federal and federally-assisted programs; and
 - b. it will comply with requirements of 5 U.S.C. §§ 1501-08 and §§ 7324-28, which limit certain political activities of State or local government employees whose principal employment is in connection with an activity financed in whole or in part by federal assistance.

h1>U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE CHIEF FINANCIAL OFFICER

CERTIFICATIONS REGARDING LOBBYING; DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS; AND DRUG-FREE WORKPLACE REQUIREMENTS

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Acceptance of this form provides for compliance with certification requirements under 28 CFR Part 69, "New Restrictions on Lobbying," 2 CFR Part 2867, "DOJ Implementation of OMB Guidance of Nonprocurement Debarment and Suspension," and 28 CFR Part 83, "Government-wide Debarment and Suspension," and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered transaction, grant, or cooperative agreement.

1. LOBBYING As required by Section 1352, Title 31 of the U.S. Code, and implemented at 28 CFR Part 69, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 28 CFR Part 69, the applicant certifies that:

(a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;

(b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions;

(c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all sub-recipients shall certify and disclose accordingly.

2. DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS (DIRECT RECIPIENT)

As required by Executive Order 12549, Debarment and Suspension, and implemented at 2 CFR Part 2867, for prospective participants in primary covered transactions, as defined at 2 CFR Section 2867.20(a):

A. The applicant certifies that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency;

(b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application had one or more public transactions (Federal,

State, or local) terminated for cause or default.

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

3. DRUG-FREE WORKPLACE (GRANTEES OTHER THAN INDIVIDUALS)

As required by the Drug-Free Workplace Act of 1988, and implemented at 28 CFR Part 83, Subpart F, for grantees, as defined at 28 CFR Sections 83.620 and 83.650:

A. The applicant certifies that it will or will continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an on-going drug-free awareness program to inform employees about

(1) The dangers of drug abuse in the workplace;

(2) The grantee's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to: Department of Justice, Office of Justice Programs, ATTN: Control Desk, 810 7th Street, N.W., Washington, D.C. 20531. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above certifications.





County Administration

P.O. Box 1989
West Palm Beach, FL 33402-1989
(561) 355-2030
FAX: (561) 355-3982
www.pbcgov.com



**Palm Beach County
Board of County
Commissioners**

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Steven L. Abrams

Jess R. Santamaria

Addie L. Greene

County Administrator

Robert Weisman



*"An Equal Opportunity
Affirmative Action Employer"*

printed on recycled paper

April 23, 2009

Re: US Department of Justice, Office of Justice Programs
Bureau of Justice Assistance
Adult Drug Court Discretionary Grant Program
FY 2009 Competitive Grant Announcement

To whom it may concern:

I am writing to extend signature authority to Ms. Nicole A. Saunders, Director of the Palm Beach County Division of Justice Services, to execute all related documents for the Adult Drug Court Discretionary Grant Program FY 2009 Competitive Grant. This authorization includes submitting the application electronically and the execution of all necessary forms and documents as required by the U.S. Department of Justice, Office of Justice Programs.

If you have any questions, please feel free to call Ms. Saunders at (561) 355-1723.

Thank you for your cooperation and attention to this matter.

Sincerely,

A handwritten signature in black ink, appearing to read "Robert Weisman".

Robert Weisman
County Administrator

Applicant Information Page

- A. Applicant Contact Information
1. Name and job title: Nicole Saunders, Director
 2. Agency: Palm Beach County, Division of Justice Services
 3. Address: Justice Services Administration, 205 North Dixie Highway, Suite 2.2100, West Palm Beach, FL 33401
 4. Phone: 561-355-1723
 5. Fax: 561-355-4452
 6. Email: nsaunders@pbcgov.org
- B. Size of Jurisdiction
1. Population: 1,351,236 (as of 2007)
 2. Urban, suburban or rural: Palm Beach County is a Metropolitan Statistical Area (MSA) which is Urban.
 3. State, local or tribal community: Palm Beach County is identified as a local community.
 4. Name of city and county where the court is located: The court is located in the city of West Palm Beach, and in the county of Palm Beach, Florida.
- C. Type of Drug Court Application: Category II: Adult Drug Court Enhancement Grant
- D. The court will not target DUI or DWI offenders.
- E. Palm Beach County is a Metropolitan Statistical Area (MSA) which is Urban. We do not have a federally designated Empowerment Zone/Enterprise Community. The Palm Beach County Enterprise Zone (10 sq. miles) and Pahokee Enterprise Zone (3 sq. miles) were designated by the state in 1995 and re-designated in 2005. Palm Beach County Enterprise Zone is comprised of portions of Riviera Beach, West Palm Beach, Belle Glade and South Bay. These designations are based on high poverty.
- F. The Criminal Justice Commission of Palm Beach County received a Planning Grant from the Drug Courts Program Office in 1999, Grant #1999-DC-VX-0085. The Palm Beach County Drug Court Planning Team attended Adult Drug Court Planning Workshops in Albuquerque, New Mexico, January 19-20, 2000; in Bakersfield, California, July 19-21 and in Maricopa County, Arizona, September 14-16, 2000.
- G. The Criminal Justice Commission of Palm Beach County received an Adult Drug Court Implementation Grant from the Drug Courts Program Office in 2001, #2001-Z017-FL-DC.
- H. This application is not requesting federal funding to support the development and/or implementation of an automated management system or a process or outcome evaluation.

Category II: Enhancement

1. Statement of the Problem

Traditionally, individuals with co-occurring (mental illness/substance abuse) disorders are referred for treatment by the Court to separate systems of care. This often results in clients being labeled, "treatment resistant" when, in effect, the systems to which the referrals are made may be resistant to the needs of the clients.¹ The Palm Beach County Drug Court's experience with participants diagnosed as having co-occurring disorders indicates a rate of unsuccessful completion almost four times that of non-mentally ill participants, essentially due to the deprivation of a unified system of care. Factors contributing to unsuccessful completion for this population include lack of community collaboration, absence of co-occurring treatment referrals and inadequate coordination for the defendant and family. The Palm Beach County Drug Court is seeking a grant in the amount of \$200,000 to enhance the provision of co-occurring treatment services and to enhance training for the Drug Court Team, thus enabling team members to effectively address this issue.

Local data from a study commissioned by the Palm Beach County Community Alliance² revealed 100,723 individuals reported as having a serious mental illness in 2006 in Palm Beach County, Florida. In 2006-07 over 2,000 individuals in Palm Beach County received substance abuse services through the Department of Children and Families Substance Abuse and Mental Health Office (DCF/SAMH). Each day, the Palm Beach County jail houses over 500 individuals with identified mental health issues and over 2,400 individuals with identified substance abuse

¹ Steadman, H.J. (2007, March). *Jail diversion for people with mental illness: A national perspective*. Presentation delivered at SAMHSA/CMHS Mental Health Block Grant Workshop for State Mental Health Planners, Bethesda, MD.

² Investigating in the Health of Palm Beach County's Citizens, Strategies For Strengthening the Safety Net For Individuals with Mental and Substance Use Conditions (February 2007).

problems, i.e. 80% of available jail beds in Palm Beach County are occupied by individuals with substance abuse disorders.

The mission of the Palm Beach County Drug Court is to unite criminal justice entities, substance abuse treatment providers and non-violent substance abusing offenders in a therapeutic court setting, in order to assist the participant in developing a structured, disciplined, law abiding, drug and alcohol free way of life. The targeted population is adult, male and female, non-violent felony level offenders, with charges including Possession of a Controlled Substance, Attempted Purchase of a Controlled Substance or Obtaining a Controlled Substance by Fraud. Participants must reside in Palm Beach County, have no other pending felony charges, no prior violent charges and cannot currently be on probation or parole.

All county jail bookings are sorted and screened by a Drug Court Specialist to determine initial eligibility. If eligible, defendants are interviewed and asked if they would like to participate, prior to their first appearance in court. If they choose to apply for admission, they are scheduled immediately for a Drug Court Initial Hearing and a comprehensive bio-psycho-social assessment, which determines phase placement and treatment modifications based on cultural issues. Once the appropriate entry phase is determined, the participant receives an intake and orientation of the Drug Court Program, is referred to a Department of Corrections Probation Officer and selects one of four primary substance abuse treatment programs which are located at seven sites throughout the county. Participants adhere to the following phase requirements:

Phase I – (12 week minimum)

Three group counseling sessions per week; up to three individual counseling sessions per month; monthly reporting to the probation officer; random urinalyses at least twice per week; 12-step meetings; and weekly court meetings with the Judge and the Drug Court Team.

Phase II – (16 week minimum)

Two group counseling sessions per week; up to two individual counseling sessions per month; monthly reporting to the probation officer; 12-step meetings; random urinalyses at least twice per week; and bi-weekly meetings with the Judge and the Drug Court Team.

Phase III – (12 week minimum)

One group counseling session per week; one individual counseling session per month; monthly reporting to the probation officer; 12-step meetings; random urinalyses at least weekly; and monthly meetings with the Judge and the Drug Court Team.

Phase IV – Aftercare/Continuing Care Services (8 week minimum)

Monthly individual counseling sessions; monthly reporting to the probation officer; random urinalyses; 12-step meetings; and monthly meetings with the Judge and the Drug Court Team.

The Drug Court Team (consisting of the Judge, State Attorney, Public Defender, Drug Court Coordinator, Treatment Liaison, and Department of Corrections Probation Officer, Drug Court Specialists) staffs cases before each scheduled court session which occurs twice weekly. Case managers (including the Drug Court Coordinator, three Drug Court Specialists, two Probation Officers, and four treatment liaisons) provide weekly reports to the Drug Court Team. At these staffings, behavior modifications are decided and are applied based on a client's progress/non-progress in phase requirements. Incentives include: judicial encouragement, gift certificates, "Short List" (participants are given priority at status hearings), phase advancement, dismissal of pending felony, traffic or misdemeanor charges, graduation and expungement or sealing of criminal record, if eligible. Graduated sanctions include: an increase in court hearings, an increase in urinalysis testing, writing assignments, short detention sentences, increased treatment sessions, residential treatment, and (up to) termination from the program.

To help offset the costs of counseling and drug testing, each client contributes \$20.00 per week. Those who are unable to pay are not precluded from participating. Since victim cases are not referred, no restitution is collected.

Available funding allows for a program capacity of 250 participants, with 210 individuals currently participating. The overall retention rate is 53%. The daily average number of clients enrolled is 240. Since its inception on November 6, 2000, there have been 2,357 clients enrolled in the Drug Court program. Eight hundred forty nine participants completed all requirements successfully and graduated from the program. Client terminations total 1,252 and of those terminations, 149 clients were removed for new charges, 243 were terminated for non-compliance or unwillingness to participate in program requirements and 800 clients opted out. Sixty participants discontinued the program for various other reasons including severe medical issues or moving out of the state.

Over the last year, the Drug Court office has screened a total of 4,206 defendants who were arrested and booked for drug-related offenses who did not bond out of jail. According to JSIS (Justice Service Information System) data, the race and ethnicity breakdown is 43% White, 41% Black, 1% Middle Easterner, 1% Asian, and 14% Hispanic. The gender breakdown is 79% male and 21% female. The age breakdown is 26% between the ages of 18 and 22; 21% between the ages of 23 and 28; 20% between the ages of 29 and 39; 23% between the ages of 40 and 49; and 11% over 50 years of age.

2. Project Design and Implementation

As a former Mentor Court, Palm Beach County Drug Court as well as the proposed enhancement embody the 10 Key Components of Drug Court. The goal of this enhancement is to provide 50 Drug Court participants with co-occurring disorders access to integrated substance

abuse and mental health treatment services, increasing the likelihood that they will enter and complete Drug Court at a rate equal to or higher than those solely provided substance abuse treatment (Key Components #1, 2, 4, 6, 10). For the purpose of this Drug Court enhancement, mental disorders include DSM IV Axis I disorders (e.g. major depressive disorder, bipolar disorder, schizophrenia) which are often accompanied by one or more Axis II (personality) disorders. Objectives of this enhancement are to identify drug court participants with co-occurring disorders (Key #3), to facilitate access to co-occurring treatment services by the addition of those services (Keys #4, 10), to provide close judicial supervision and monitoring (Key #7), to provide case management/community referrals (Keys #4, 6, 10), and to provide training regarding co-occurring disorders for all stakeholders involved in the Co-Occurring Track of Drug Court (Key #9). Participants will be provided with treatment, a greater chance of completing the program, rehabilitation, having their case dismissed, and lowering their recidivism rates, thus allowing them to stop the revolving door cycle of court involvement and jail confinement (Keys #1, 2, 3, 4, 6, 8, 10).

Evaluation Findings that justify the need for additional resources or services

Intake and assessment data was analyzed from Palm Beach County's Drug Court from July 2004 through June 2007. It was determined that 299 participants with identified mental health issues were screened, interviewed, and set for Drug Court Initial Hearings. Of those 299 participants, 276 agreed to participate and signed a Drug Court contract. Of those, 46 participants (17%) successfully completed Drug Court. Conversely, out of 770 participants with no mental health issues, 61% successfully completed Drug Court. Individuals identified as having a co-occurring disorder failed to complete Drug Court at almost four times the rate of participants without identified mental illness. More alarmingly, out of 313 defendants admitted

to Drug Court in 2007 - identified as having a mental illness - four deaths occurred from suicide.

Explanation of how additional resources will benefit drug court clients

The Drug Court Co-Occurring Track is planned as a four phase, structured treatment program, lasting for a minimum of one year (Key #1). Successful completion of the Co-Occurring track will result in the defendant's charges being dismissed (Key #2). The care of these defendants will be integrated and coordinated through: screening, diagnosis, psychiatric services, evidence-based co-occurring treatment, case management, close judicial supervision, random drug testing, graduated sanctions, tailored incentives, and training related to co-occurring disorders for the staff/drug court team (Keys #1, 3, 4, 5, 6, 7, 9, 10). Linkages to advocates and existing community resources for the defendant and his/her family will be established so that utilization of these supports, in conjunction with appropriate treatment, will halt or slow further involvement of the individual in the criminal justice system (Keys #1, 4, 6, 8). For those with co-occurring disorders, it is anticipated that the measurable outcome to this structured therapeutic approach will be an increased rate of graduation from drug court, increased options, reduced recidivism and improved public safety (Key #2, 8).

Structure of the Drug Court Co-Occurring Track

Participants in the Co-occurring track will move through the current established Drug Court phases (Key #1). Similar to the non co-occurring track, upon successful completion, the charge(s) will be dismissed (Key #2). Failure to complete the Drug Court Co-Occurring Track, (including defendants who opt-out), will result in the defendant's case being reassigned to a traditional court docket (Key #2). Screening will be based on the current arrest charge (no failure to appear, no warrants) and will follow the same initial eligibility criteria (possession of a controlled substance, attempted purchase of a controlled substance, and obtaining a controlled

substance by fraud, no other pending felony charges, no prior violent felony convictions, and no domestic battery convictions). Eligible defendants who have not bonded out of jail will be interviewed before going to First Appearance (Key #3). During the interview, the Drug Court Specialists will administer the *Brief Jail Mental Health Screen* along with the Drug Court Questionnaire. Based on the results of the Brief Jail Mental Health Screen, defendants who are indicated for further assessment will be referred to the Jail Diversion Specialist for a clinical bio-psycho-social assessment. Eligibility for the Co-Occurring Track requires that defendants have a substance use disorder and a major mental illness. If the Jail Diversion Specialist determines the defendant meets the criteria for the Co-Occurring Track, then the Drug Court Specialists will meet with the defendant to explain the program requirements. After the initial Co-Occurring Track orientation, the Judge will offer the program to the defendant at First Appearance Court. A court date (set within 72 hours) for an Initial Drug Court hearing will be given at that time (Key #3). At the Initial Drug Court Hearing, the defendant will receive a full phase orientation with the Drug Court staff and sign the Drug Court Co-Occurring Track contract (Key #6). The defendant will meet the Drug Court Judge and team, as well as the Department of Corrections supervision officer who will further explain the requirements of the contract to the defendant. Within 72 hours of the Initial Hearing, the defendant will receive a psychiatric evaluation, medication if indicated, and meet the single point of contact staff member at the South County Mental Health Center, Inc. (Key #10). The defendant will also, within 72 hours, begin outpatient treatment at Counseling Services of Lake Worth, Inc. (Key #10). The co-occurring treatment will be based on SAMHSA's "Co-occurring Disorders: Integrated Dual Diagnosis Treatment" Evidence-Based Practices Program. The client will have a specific treatment plan developed upon admission and updated a minimum of once monthly. The treatment plan will contain goals

and measurable objectives to address the needs identified in the client's clinical assessment (Key #8). The licensed community-based co-occurring treatment provider has committed to participating in the weekly case staffing as part of the Drug Court Team. The addition will provide continuity of care and a supportive environment that engages clients in positive change by provision of highly customized services. Outcome measurements will be utilized which ensure accountability and highly competent and effective treatment that, while tailored for each individual, can fit into the already established Drug Court phase structure.

Attendance of training programs by drug court practitioners (Key Component #9)

Drug Court team members (a minimum of the Judge, State Attorney, Public Defender and Drug Court Coordinator) will attend 3 national training programs sponsored by the NADCP. Team members will focus their training on skill building, specializing in Co-Occurring Disorders. This training will benefit the team by providing them with an equal level of basic education regarding co-occurring disorders, an opportunity to learn about innovative developments across the country, and a spirit of cooperation, collaboration and commitment. After the training events, the members who attended will host a local training for the drug court staff and non-attending team members to share the strategies learned at the national training. Team members will also travel to neighboring counties to observe how drug court clients with co-occurring disorders are integrated into existing drug courts.

5. Impact/Outcomes, Evaluation and Sustainment

The disparity in the reported outcomes (i.e., successful completion vs. unsuccessful completion) between offenders displaying substance abuse problems alone, and those with co-occurring disorders, demonstrates the need to enhance the current Drug Court program. The evaluation will be carried out by the Palm Beach County Criminal Justice Commission, under the direction of

the Research and Planning Manager. The Commission's mandate and independence means that it is best suited to monitor and evaluate the enhancement of Drug Court. Furthermore, as a member of the expansion committee, the Commission evaluator will be able to both observe the implementation process and contribute to the development of final outcome measures and how they are captured. The proposed evaluation process will include two evaluation types: *Implementation Evaluation* and *Outcome Evaluation*. The Implementation Evaluation will be completed eighteen months after the implementation date. The purpose of this evaluation is to examine the extent to which the enhanced court has been implemented (e.g., stakeholder participation, access to treatment options, availability of resources). This evaluation will include structured interviews with stakeholders and with Drug Court participants, as well as the observations of the Commission evaluator. This evaluation will also include the development and refinement of data collection, and assist the Drug Court's ability to monitor and track its participants, using court system data, the Sheriff's corrections data (including screening and DSM IV GAF scores), as well as the treatment providers' case management data. Ultimately, this evaluation will lay the groundwork for the next evaluation, focusing on outcomes. The Outcome Evaluation will be completed thirty to thirty-two months following the date of implementation. This evaluation will focus on the following Drug Court Co-Occurring Track outcomes:

- a. Access Provision - participants will be screened into the enhanced program regardless of sex, race, ethnicity, or class and we will demonstrate a percent increase in the units of service referrals and types of referrals, an increase in service providers and an increase in the number of drug court clients served.

- b. Rate of successful graduation - co-occurring participants will have the same level or better success rate, when compared to participants with substance abuse issues only.
- c. Rate of recidivism - co-occurring graduates will report the same or better rate of recidivism when compared to participants with substance abuse issues alone during the program, as well as, within one year after completion.
- d. Increased Training - the number of criminal justice staff who have been trained in mental health and substance abuse issues will increase.

Long Term Support

Local elected officials now demand that an "evidence-based" approach be taken when considering the development and implementation of new programs. Long term sustainable funding from local officials is contingent upon the findings of an empirical review of the expanded Drug Court program. The "evidence-based" and collaborative approach demonstrating that treatment and therapy are more cost-effective than incarceration is vital to securing long-term funding. Showing that a program is effective will also help to build a more collaborative partnership, which will be viewed in a favorable light by local elected officials. We foresee results which will merit long term support and the resource commitment required to sustain such a program.

Once the co-occurring track is established and the positive outcomes are provided to the community, funding streams may be allocated or reallocated to include treatment for those with co-occurring disorders. Ultimately, it will be easy to show the greater impact to the community due to the enhancement of diversity and scope of services for those individuals in need of substance abuse treatment.

Palm Beach County Drug Court Co-Occurring Track Budget

Budget Detail Sheet – Year 1

A. Travel – Year 1			
Purpose of Travel	Location/Item	Computation	Cost
4 Staff to Attend the NADCP 15 th Annual Training Conference, June 10 – 13 th , 2009	Anaheim, California -Airfare	\$400 per person X 4 people	\$1,600.00
	Anaheim, California- Hotel	\$193.00 per person X 3 nights X 4 people	\$2,316.00
	Anaheim, California- Registration	\$650 per person X 4 people	\$2,600.00
	Anaheim, California- Subsistence	\$40.00 per person X 3 days X 4 people	\$480.00
	Anaheim, California-Transportation	\$25.00 per trip X 4 people	\$100.00
Sub-Total			\$7,096.00
4 Staff to Attend the Co-Occurring Disorders Forum on June 14, 2009	Anaheim, California- Hotel	\$193.00 per person/2 nights X 4 people	\$1,544.00
	Anaheim, California- Registration	\$95.00 per person for conference attendees X 4 people	\$380.00
	Anaheim, California- Subsistence	\$40.00 per person X 2 days X 4 people.	\$320.00
Sub-Total			\$2,244.00
Total Travel Cost – Year 1			\$9,340.00
B. Other Costs – Year 1			
Service	Computation		Cost
Psychiatric Evaluation	210.00 per person X 25 people		\$5,250.00
Monthly Medication Management	60.00 per month X 12 months X 25 people		\$18,000.00
Medication	200.00 per person X 25 people		\$5,000.00
Drug Testing - Phase 1	14.00 per test X 2 tests per week X 25 people X 12 weeks		\$8,400.00
Drug Testing - Phase 2	14.00 per test X 2 tests per week X 25 people X 12 weeks		\$8,400.00
Drug Testing - Phase 3	14.00 per test X 1 test per week X 25 people X 12 weeks		\$4,200.00

Palm Beach County Drug Court Co-Occurring Track Budget

Drug Testing - Phase 4	14.00 per test X 2 tests per month X 25 people X 3 months		\$2,100.00
Individual Counseling - Phase 1	57.68 per session X 1 per month X 25 people X 3 months		\$4,326.00
Individual Counseling - Phase 2	57.68 per session X 1 per month X 25 people X 3 months		\$4,326.00
Individual Counseling - Phase 3	57.68 per session X 1 per month X 25 people X 3 months		\$4,326.00
Individual Counseling - Phase 4	57.68 per session X 1 per month X 25 people X 3 months		\$4,326.00
Group Counseling - Phase 1	22.50 per group X 3 per week X 25 people X 12 weeks		\$20,250.00
Group Counseling - Phase 2	22.50 per group X 2 per week X 25 people X 12 weeks		\$13,500.00
Group Counseling - Phase 3	22.50 per group X 1 per week X 25 people X 3 months		\$6,750.00
Group Counseling - Phase 4	22.50 per group X 1 month X 25 people X 3 months		\$1,687.50
Enhancement to Justice Service Information System (JSIS)	\$75 per hour for County technology department programmers X 68 hours		\$5,100.00
Sub-Total			\$115,941.50
Total All Costs - Year 1			\$125,281.50
C. Matching Funds - Year 1			
Match	Computation		
Court Administration - Drug Court Coordinator	\$48,351 @ 50% = \$24,175		
Criminal Justice Commission- Research and Planning Manger	\$88,644 @ 20% = \$17,728		
Court Administration - Mental Health Operations Manager	\$50,605 @ 20% = \$10,121		
		Total Match	\$52,024.00
D. Other Funds - Year 1			
Treatment Services Coordinated through DCF Substance Abuse and Mental Health	\$858.86 per person X 25 people	Total Other Funds	\$21,471.50

Palm Beach County Drug Court Co-Occurring Track Budget

Budget Detail Sheet – Year 2

A. Travel – Year 2			
Purpose of Travel	Location/Item	Computation	Cost
4 Staff to Attend the NADCP 16 th Annual Training Conference	United States- Airfare	\$500.00 per person X 4 people	\$2,000.00
	United States- Hotel	\$150.00 per person X 3 nights X 4 people	\$1,800.00
	United States- Registration	\$650.00 per person X 4 people	\$2,600.00
	United States- Subsistence	\$40.00 per person X 2 days X 4 people	\$320.00
	United States-Transportation	\$25.00 per trip X 4 people	\$100.00
Total Travel Cost – Year 2			\$6,820.00
B. Other Costs – Year 2			
Service	Computation		Cost
Psychiatric Evaluation	210.00 per person X 25 people		\$5,250.00
Monthly Medication Management	30.00 per month X 12 months X 25 people		\$18,000.00
Medication	200.00 per person X 25 people		\$5,000.00
Drug Testing - Phase 1	14.00 per test X 2 tests per week X 25 people X 3 months		\$8,400.00
Drug Testing - Phase 2	14.00 per test X 2 tests per week X 25 people X 3 months		\$8,400.00
Drug Testing - Phase 3	14.00 per test X 1 test per week X 25 people X 3 months		\$4,200.00
Drug Testing - Phase 4	14.00 per test X 2 tests per month X 25 people X 3 months		\$2,100.00
Individual Counseling - Phase 1	57.68 per session X 1 per month X 25 people X 3 months		\$4,326.00
Individual Counseling - Phase 2	57.68 per session X 1 per month X 25 people X 3 months		\$4,326.00
Individual Counseling - Phase 3	57.68 per session X 1 per month X 25 people X 3 months		\$4,326.00
Individual Counseling - Phase 4	57.68 per session X 1 per month X 25 people X 3 months		\$4,326.00

Palm Beach County Drug Court Co-Occurring Track Budget

Group Counseling - Phase 1	22.50 per group X 3 per week X 25 people X 12 weeks		\$20,250.00
Group Counseling - Phase 2	22.50 per group X 2 per week X 25 people X 12 weeks		\$13,500.00
Group Counseling - Phase 3	22.50 per group X 1 per week X 25 people X 12 weeks		\$6,750.00
Group Counseling - Phase 4	22.50 per group X 1 month X 25 people X 12 weeks		\$1,687.50
Sub-Total			\$110,841.50
Total All Cost – Year 2			\$117,661.50
C. Matching Funds-Year 2			
Match	Computation		
Court Administration - Drug Court Coordinator	\$48,351 @ 50% = \$24,175		
Criminal Justice Commission- Research and Planning Manger	\$88,644 @ 20% = \$17,728		
Court Administration – Mental Heath Operations Manager	\$50,605 @ 20% = \$10,121		
		Total Match	\$52,024.00
D. Other Funds - Year 2			
Treatment Services Coordinated through DCF Substance Abuse and Mental Health	\$858.86 per person X 25 people	Total Other Funds	\$21,471.50
Federal Request		Total Federal Request	\$200,000.00

Palm Beach County Drug Court Co-Occurring Track Budget

Budget Summary

<u>Budget Category</u>	<u>Amount</u>
A. Travel – Year 1	\$ 9,340.00
Travel – Year 2	\$ 6,820.00
B. Other Costs – Year 1	\$ 115,941.50
Other Costs – Year 2	\$ 110,841.50
Total Direct Costs	\$ 242,943.00
 TOTAL PROJECTED COSTS	 \$ 242,943.00
Federal Request	\$ 200,000.00
Non-Federal Amount*	\$ 52,024.00
Other Cash Funds**	\$ 42,943.00

* The non-federal budget amount clearly exceeds the required 25% local match and still does not include other local costs including office space, phones, computer networking, postage and supplies together with other in-kind contributions from local resources that are not included in this line item budget.

** Treatment costs will be coordinated with other federally funded programs through the Department of Children and Families Substance Abuse and Mental Health Office to cover the full cost of services.

Palm Beach County Drug Court Co-Occurring Track Budget

Budget Narrative

Travel Costs

The Drug Court Expansion Committee will send a four-member team to attend two National Association Drug Court Professional Conferences. The 15th Annual Training Conference will be held in Anaheim, California and consists of skill building workshops. Following the conference, the Team will attend the NADCP Mental Health Court/Co-Occurring Disorders Forum, the first such forum ever held. The 16th Annual Training Conference location is unknown at this time. Travel estimates and meal costs are based on Palm Beach County's written travel policy.

Other Costs

The majority of funds requested in this item will be used for treatment services, including, outpatient individual and group therapy, drug testing, psychiatric evaluation, medication, and medication management services. The following is an itemization of the costs of treatment:

Outpatient Service - Individual	\$57.68 per hour
Outpatient Service - Group	\$22.50 per hour
Drug Test	\$14.00 per test
Psychiatric Evaluation	\$210.00 per evaluation

Palm Beach County Drug Court Co-Occurring Track Budget

Medication	\$200.00
Medication Management	\$60.00 per session

The Co-Occurring Track of Drug Court's schedule by phases is as follows: Phase I participants receive one individual counseling session per month, three group sessions per week, one psychiatric evaluation, monthly medication management if indicated, and drug testing two times per week for a minimum of three months. Phase II participants receive one individual counseling session per month, two group sessions per week, monthly medication management if indicated, and drug testing two times per week for a minimum of three months. Phase III participants receive one individual counseling session per month, one group sessions per week, monthly medication management if indicated, and drug testing once per week for a minimum of three months. Phase IV participants receive one individual counseling session per month, one group session per month, monthly medication management, and two drug tests per month for a minimum of three months.

Palm Beach County Drug Court Co-Occurring Track Budget

Matching Funds

A percentage of the salaries of one county and two state positions will be provided as an in kind match. Court Administration will provide their Drug Court Coordinator with a salary of \$48,351 @ 50% for a total of \$24,175 and their Mental Health Operations Manager with a salary of \$50,605 @ 20% for a total of \$10,121. The County through the Criminal Justice Commission will provide their Research and Planning Manager with a salary of \$88,644 @ 20% for a total of \$17,728 for a total in kind match of \$52,024.

Other Cash Funds

Treatment costs will be coordinated with other federally funded programs through the Department of Children and Families Substance Abuse and Mental Health Office to cover the full cost of services. Because the money is federally funded it is not able to be used as matching funds.

**Project Time and Task Plan-Expansion and Implementation of
Palm Beach County Drug Court Co-Occurring Track**

Goal #1		
Involve key stakeholders and other potential sources of subject matter and funding expertise in expansion and implementation planning activities to increase the number of court-based diversion programs.		
Objectives	Activities/Timeframe	Person Responsible
Clearly define enhancement plan for co-occurring track	Committee meetings Ongoing	Court Administration, Justice Services and key stakeholders
	Seek agreement and support of committee members regarding enhancement plan December 31, 2008	Nicole Saunders, Director, Justice Services; Mary Quinlan, Mental Health Operations Manager, Court Administration
Goal #2		
Provide consultation and training for Drug Court Team Members specifically related to co-occurring disorders, treatment practices, incentives and sanctions and support services.		
Objectives	Activities/Timeframe	Person/Entity Responsible
Train Drug Court Team in issues related to co-occurring disorders	Initial training for start up of Co-Occurring Track. Research best practices related to training. By end of 1st month	Department of Children and Families Substance Abuse Services Director
	Drug Court Team members will attend 2 NADCP conferences and one Co-Occurring Forum 1 Conference and 1 forum will be attended by 12/31/09 1 Conference will be attended by 12/31/10	Drug Court Program Office /Drug Court Coordinator
	Drug Court Team will travel to neighboring counties to observe how defendants with co-occurring disorders are treated in various Drug Courts Broward and Miami-Dade Counties will be visited in 2009	Drug Court Program Office/Drug Court Coordinator

**Project Time and Task Plan-Expansion and Implementation of
Palm Beach County Drug Court Co-Occurring Track**

	Martin and St. Lucie Counties will be visited in 2010	
	Track the number of new mental health training sessions include the number of mental health, substance abuse, and criminal justice staff trained Ongoing throughout implementation	Drug Court Program Office and Research and Planning Manager
Goal #3.		
Identify and divert Drug Court Co-Occurring Track eligible offenders as early as possible and provide participants with co-occurring disorders access to collaborative substance abuse and mental health treatment services.		
Objectives	Activities/Timeframe	Person Responsible
Divert 60 defendants from jail into the Drug Court Co-Occurring Track	Review daily bookings and administer the <i>Brief Jail Mental Health Screen</i> to inmates who meet Drug Court eligibility criteria Prior to the defendant's First Appearances	Drug Court Screeners/ Assistant State Attorney/ Assistant Public Defender
	Refer those who meet criteria for further evaluation and bio-psycho-social assessment Prior to the defendant's First Appearances	Jail Diversion Specialist
	Provide Drug Court Co-Occurring Track orientations and set case for Initial Hearing Initial Hearing scheduled within an average of three days from arrest	Drug Court Specialist
	Refer participant for a psychiatric evaluation and medication (if needed) Within 72 Hours of Initial Hearing	South County Mental Health Center, Inc.

**Project Time and Task Plan-Expansion and Implementation of
Palm Beach County Drug Court Co-Occurring Track**

	Refer participant to community based co-occurring treatment provider Within 7 days of Initial Hearing	Drug Court Team
Goal #4.		
Increase the quantity and quality of mental health and other services available to mentally ill offenders.		
Objectives	Activities/Timeframe	Person Responsible
Facilitate access to appropriate co-occurring treatment	Provide psychotherapy and residential services (if needed) On going for a minimum of one year	Counseling Services of Lake Worth, Inc.
	Monitor participant progress and follow up on individual needs On going for a minimum of one year	Drug Court Team/Assigned Case Manager
	Provide ancillary social services such as housing, transportation, vocational training, etc. On going for a minimum of one year	Assigned Case Manager
	Apply graduated sanctions/incentives for behavior modifications On going for a minimum of one year	Drug Court Team
	Provide random urinalysis program to monitor abstinence On going for a minimum of one year	Drug Testing and Counseling Services, Drug Court Team
	Monitor the number of service referrals to mental health, substance abuse, co-occurring, housing, employment, education etc. Ongoing for a minimum of two years	Drug Court Program Office and Research and Planning Manager
Goal #5.		
Increase the Drug Court completion rate for defendants with co-occurring disorders		

**Project Time and Task Plan-Expansion and Implementation of
Palm Beach County Drug Court Co-Occurring Track**

Objectives	Activities/Timeframe	Person Responsible
Defendants with co-occurring disorders will graduate at the same or better rate that individuals with a substance use disorder alone	Completion rates will be monitored and tracked for all Drug Court participants to determine if completion rates have increased Ongoing for a minimum of two years	Drug Court Coordinator and Research and Planning Manager

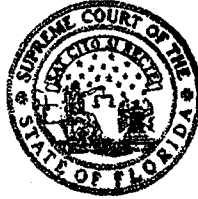
Goal #6.

Reduce recidivism of the mentally ill in the criminal justice system

Objectives	Activities/Timeframe	Person Responsible
Graduates will have the same or fewer subsequent charges than defendants not receiving co-occurring services	Monitor the number of individuals participating in a program supervised by the Courts Ongoing until at least one year after completion of program	Drug Court Coordinator and Research and Planning Manager
	Monitor the number of participants successfully completing program supervised by the Courts Ongoing until at least one year after completion of program	Drug Court Coordinator and Research and Planning Manager
	Monitor the number of participants who completed a program within the last year who committed a new crime Ongoing until at least one year after completion of program	Drug Court Coordinator and Research and Planning Manager
	Minor the number of current program participants who experienced one or more technical violations of supervision, were terminated from the program, and/or committed a new crime Ongoing until at least one year after completion of program	Drug Court Coordinator and Research and Planning Manager

**Project Time and Task Plan-Expansion and Implementation of
Palm Beach County Drug Court Co-Occurring Track**

	<p>Monitor the number of days in jail experienced by program participants due to a new crime, technical violation of probation or parole, and/or sanctions for non-compliance with conditions of program</p> <p>Ongoing until at least one year after completion of program</p>	<p>Drug Court Coordinator and Research and Planning Manager</p>
<p>Goal #7</p> <p>Evaluation of the implementation process and outcomes of the Drug Court Co-Occurring Track</p>		
Objectives	Activities/Timeframe	Person Responsible
<p>Ensure stated goals and objectives are being met</p>	<p>Enhance JSIS (Justice Service Information System) to capture data on co-occurring clients for the evaluation purposes</p> <p>Initially by the end of Month 3 and then ongoing as needed</p>	<p>Research and Planning Manger and Drug Court Program Office</p>
	<p>Conduct data collection for Implementation and Outcome Evaluation</p> <p>For Implementation phase: by the end of Month 6 and for the Outcome Phase by the end of Year 2</p>	<p>Research and Planning Manger and Drug Court Program Office</p>
	<p>Submit reports</p> <p>At end of 2nd year</p>	<p>Research and Planning Manger and Drug Court Program Office</p>



Office of the State Courts Administrator

Phone: (850) 922-5081 Fax: (850) 488-0156
e-mail: osca@flcourts.org

December 22, 2008

Ms. Nicole Saunders
Director of Justice Services
205 N. Dixie Highway, Suite 2.2100
West Palm Beach, Florida 33401

Dear Ms. Saunders:

I am pleased to submit this letter in support of the Fifteenth Judicial Circuit's proposal to the U.S. Department of Justice, Office of Justice Programs, Bureau of Justice Assistance, for funding through the Adult Drug Court Discretionary Grant Program to establish a co-occurring track within the circuit's adult drug court. This grant would significantly improve the Fifteenth Judicial Circuit's capacity to serve individuals with mental illnesses who are involved in the criminal justice system, particularly adults with a co-occurring substance abuse issue.

This project is also consistent with the report commissioned by former Florida Supreme Court Chief Justice R. Fred Lewis in cooperation with Governor Charlie Crist, "Transforming Florida's Mental Health System." That report contains recommendations designed to transform the mental health system in Florida and proposes that each judicial circuit develop a mental health post-booking diversion program for defendants with severe mental illnesses or co-occurring severe mental illnesses and substance use disorders.

The purpose of the Fifteenth Judicial Circuit's proposal is to increase access to diversion options for non-violent offenders with co-occurring disorders, which will expand Florida's statewide efforts to enhance problem solving courts. I believe this grant funding is vital to facilitate opportunities for individuals with mental illnesses to have a chance to receive the help they need in the community instead of being inappropriately housed within county jails.

I respectfully support approval of the proposed grant.

Sincerely,

A handwritten signature in cursive script, appearing to read "Elisabeth H. Goodner".

Elisabeth H. Goodner

LG: dgh



State of Florida
Office of the Public Defender

Fifteenth Judicial Circuit of Florida

Carey Haughwout
Public Defender

421 3rd Street
West Palm Beach, Florida
33401-4297

RECEIVED
JAN 13 2009
Chambers of Judge
Kathleen J. Kroll

(561) 355-7500
Direct (561) 355-7651
Fax (561) 355-7737

January 12, 2009

To Whom It May Concern:

I am pleased to submit this letter in support of Palm Beach County and the Fifteenth Judicial Circuit's Court Administration grant application to the U. S. Department of Justice, Office of Justice Programs' Bureau of Justice Assistance for funding through the Adult Drug Court Discretionary Grant Program to establish a Co-Occurring track within Adult Drug Court. This grant would significantly improve Palm Beach County's capacity to serve individuals with mental illness who are involved in the criminal justice system, particularly adults with a co-occurring substance abuse issue.

The purpose of this proposal is to be able to increase access to diversion for non-violent offenders who have co-occurring disorders which will have a positive impact on our current recidivism and retention rates. I feel this grant funding is vital to facilitate the opportunity for individuals with mental illness to have a chance to receive the help they need in the community instead of being inappropriately housed in our county jails.

I respectfully seek your approval of this proposed grant.

Sincerely,

Carey Haughwout

CSH:kmm



OFFICE OF THE STATE ATTORNEY

FIFTEENTH JUDICIAL CIRCUIT
IN AND FOR PALM BEACH COUNTY

MICHAEL F. McAULIFFE
STATE ATTORNEY

January 12, 2009

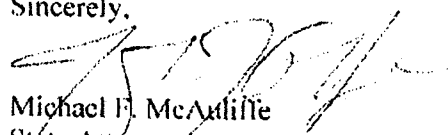
To Whom It May Concern:

I am pleased to submit this letter in support of Palm Beach County and the Fifteenth Judicial Circuit's Office of Court Administration to the U.S. Department of Justice, Office of Justice Programs' Bureau of Justice Assistance for funding through the Adult Drug Court. This grant would significantly improve Palm Beach County's capacity to serve individuals with mental illness, who are involved in the criminal justice system, particularly adults with a co-occurring substance abuse issue.

The purpose of this proposal is to be able to increase access to diversion for non-violent offenders who have co-occurring disorders which will have a positive impact on our current recidivism and retention rates. I feel this grant funding is vital to facilitate the opportunity for individuals with mental illness to have a chance to receive the help they need in the community instead of being inappropriately housed in our county jail.

I respectfully seek your approval of the proposed grant.

Sincerely,


Michael F. McAuliffe
State Attorney
15th Judicial Circuit

401 North Dixie Highway
West Palm Beach, Florida 33401-4209
561.355.7100



THE CIRCUIT COURT OF THE
FIFTEENTH JUDICIAL CIRCUIT
OF FLORIDA

CHAMBERS OF
NANCY PEREZ
COUNTY COURT JUDGE

CRIMINAL JUSTICE COMPLEX
3228 GUN CLUB ROAD
WEST PALM BEACH, FLORIDA 33406
561/688-4599

January 2, 2009

To Whom It May Concern:

I am pleased to submit this letter in support of Palm Beach County and the Fifteenth Judicial Circuit's Court Administration to the U. S. Department of Justice, Office of Justice Programs' Bureau of Justice Assistance for funding through the Adult Drug Court Discretionary Grant Program to establish a Co-Occurring track within Adult Drug Court. This grant would significantly improve Palm Beach's County's capacity to serve individuals with mental illness, who are involved in the criminal justice system, particularly adults with a co-occurring substance abuse issue.

The purpose of this proposal is to be able to increase access to diversion for non-violent offenders who have co-occurring disorders which will have a positive impact on our current recidivism and retention rates. I feel this grant funding is vital to facilitate the opportunity for individuals with mental illness to have a chance to receive the help they need in the community instead of being inappropriately housed in our county jails.

I respectfully seek your approval of the proposed grant.

Very truly yours,

A handwritten signature in black ink that reads "Nancy Perez".

NANCY PEREZ
Judge, County Court

NP:jah



Department of Justice
Office of Justice Programs

Office of the Assistant Attorney General

Washington, D.C. 20531

July 10, 2009

Mr. Michael Rodriguez
Palm Beach County
301 North Olive Avenue
West Palm Beach, FL 33401-4705

Dear Mr. Rodriguez:

On behalf of Attorney General Eric Holder, it is my pleasure to inform you that the Office of Justice Programs has approved your application for funding under the FY 09 Drug Court Discretionary Grant Program: Enhancement in the amount of \$200,000 for Palm Beach County.

Enclosed you will find the Grant Award and Special Conditions documents. This award is subject to all administrative and financial requirements, including the timely submission of all financial and programmatic reports, resolution of all interim audit findings, and the maintenance of a minimum level of cash-on-hand. Should you not adhere to these requirements, you will be in violation of the terms of this agreement and the award will be subject to termination for cause or other administrative action as appropriate.

If you have questions regarding this award, please contact:

- Program Questions, Naydine Fulton-Jones, Program Manager at (202) 514-6661; and
- Financial Questions, the Office of the Chief Financial Officer, Customer Service Center (CSC) at (800) 458-0786, or you may contact the CSC at ask.ocfo@usdoj.gov.

Congratulations, and we look forward to working with you.

Sincerely,

Laurie Robinson
Acting Assistant Attorney General

Enclosures



Department of Justice
Office of Justice Programs
Office for Civil Rights

Washington, D.C. 20531

July 10, 2009

Mr. Michael Rodriguez
Palm Beach County
301 North Olive Avenue
West Palm Beach, FL 33401-4705

Dear Mr. Rodriguez:

Congratulations on your recent award. In establishing financial assistance programs, Congress linked the receipt of Federal funding to compliance with Federal civil rights laws. The Office for Civil Rights (OCR), Office of Justice Programs (OJP), U.S. Department of Justice is responsible for ensuring that recipients of financial aid from OJP, its component offices and bureaus, the Office on Violence Against Women (OVW), and the Office of Community Oriented Policing Services (COPS) comply with applicable Federal civil rights statutes and regulations. We at OCR are available to help you and your organization meet the civil rights requirements that come with Justice Department funding.

Ensuring Access to Federally Assisted Programs

As you know, Federal laws prohibit recipients of financial assistance from discriminating on the basis of race, color, national origin, religion, sex, or disability in funded programs or activities, not only in respect to employment practices but also in the delivery of services or benefits. Federal law also prohibits funded programs or activities from discriminating on the basis of age in the delivery of services or benefits.

Providing Services to Limited English Proficiency (LEP) Individuals

In accordance with Department of Justice Guidance pertaining to Title VI of the Civil Rights Act of 1964, 42 U.S.C. § 2000d, recipients of Federal financial assistance must take reasonable steps to provide meaningful access to their programs and activities for persons with limited English proficiency (LEP). For more information on the civil rights responsibilities that recipients have in providing language services to LEP individuals, please see the website at <http://www.lep.gov>.

Ensuring Equal Treatment for Faith-Based Organizations

The Department of Justice has published a regulation specifically pertaining to the funding of faith-based organizations. In general, the regulation, Participation in Justice Department Programs by Religious Organizations; Providing for Equal Treatment of all Justice Department Program Participants, and known as the Equal Treatment Regulation 28 C.F.R. part 38, requires State Administering Agencies to treat these organizations the same as any other applicant or recipient. The regulation prohibits State Administering Agencies from making award or grant administration decisions on the basis of an organization's religious character or affiliation, religious name, or the religious composition of its board of directors.

The regulation also prohibits faith-based organizations from using financial assistance from the Department of Justice to fund inherently religious activities. While faith-based organizations can engage in non-funded inherently religious activities, they must be held separately from the Department of Justice funded program, and customers or beneficiaries cannot be compelled to participate in them. The Equal Treatment Regulation also makes clear that organizations participating in programs funded by the Department of Justice are not permitted to discriminate in the provision of services on the basis of a beneficiary's religion. For more information on the regulation, please see OCR's website at <http://www.ojp.usdoj.gov/ocr/etfbo.htm>.

State Administering Agencies and faith-based organizations should also note that the Safe Streets Act, as amended; the Victims of Crime Act, as amended; and the Juvenile Justice and Delinquency Prevention Act, as amended, contain prohibitions against discrimination on the basis of religion in employment. Despite these nondiscrimination provisions, the Justice Department has concluded that the Religious Freedom Restoration Act (RFRA) is reasonably construed, on a case-by-case basis, to require that its funding agencies permit faith-based organizations applying for funding under the applicable program statutes both to receive DOJ funds and to continue considering religion when hiring staff, even if the statute that authorizes the funding program generally forbids considering of religion in employment decisions by grantees.

Questions about the regulation or the application of RFRA to the statutes that prohibit discrimination in employment may be directed to this Office.

Enforcing Civil Rights Laws

All recipients of Federal financial assistance, regardless of the particular funding source, the amount of the grant award, or the number of employees in the workforce, are subject to the prohibitions against unlawful discrimination. Accordingly, OCR investigates recipients that are the subject of discrimination complaints from both individuals and groups. In addition, based on regulatory criteria, OCR selects a number of recipients each year for compliance reviews, audits that require recipients to submit data showing that they are providing services equitably to all segments of their service population and that their employment practices meet equal employment opportunity standards.

Complying with the Safe Streets Act or Program Requirements

In addition to these general prohibitions, an organization which is a recipient of financial assistance subject to the nondiscrimination provisions of the Omnibus Crime Control and Safe Streets Act (Safe Streets Act) of 1968, 42 U.S.C. § 3789d(c), or other Federal grant program requirements, must meet two additional requirements: (1) complying with Federal regulations pertaining to the development of an Equal Employment Opportunity Plan (EEO Plan), 28 C.F.R. § 42.301-.308, and (2) submitting to OCR Findings of Discrimination (see 28 C.F.R. §§ 42.205(5) or 31.202(5)).

1) Meeting the EEO Plan Requirement

In accordance with Federal regulations, Assurance No. 6 in the Standard Assurances, COPS Assurance No. 8.B, or certain Federal grant program requirements, your organization must comply with the following EEO Plan reporting requirements:

If your organization has received an award for \$500,000 or more and has 50 or more employees (counting both full- and part-time employees but excluding political appointees), then it has to prepare an EEO Plan and submit it to OCR for review **within 60 days from the date of this letter**. For assistance in developing an EEO Plan, please consult OCR's website at <http://www.ojp.usdoj.gov/ocr/eop.htm>. You may also request technical assistance from an EEO Plan specialist at OCR by dialing (202) 616-3208.

If your organization received an award between \$25,000 and \$500,000 and has 50 or more employees, your organization still has to prepare an EEO Plan, but it does not have to submit the EEO Plan to OCR for review. Instead, your organization has to maintain the EEO Plan on file and make it available for review on request. In addition, your organization has to complete Section B of the Certification Form and return it to OCR. The Certification Form can be found at <http://www.ojp.usdoj.gov/ocr/eop.htm>.

If your organization received an award for less than \$25,000; or if your organization has less than 50 employees, regardless of the amount of the award; or if your organization is a medical institution, educational institution, nonprofit organization or Indian tribe, then your organization is exempt from the EEO Plan requirement. However, your organization must complete Section A of the Certification Form and return it to OCR. The Certification Form can be found at <http://www.ojp.usdoj.gov/ocr/eop.htm>.

2) Submitting Findings of Discrimination

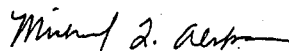
In the event a Federal or State court or Federal or State administrative agency makes an adverse finding of discrimination against your organization after a due process hearing, on the ground of race, color, religion, national origin, or sex, your organization must submit a copy of the finding to OCR for review.

Ensuring the Compliance of Subrecipients

If your organization makes subawards to other agencies, you are responsible for assuring that subrecipients also comply with all of the applicable Federal civil rights laws, including the requirements pertaining to developing and submitting an EEO Plan, reporting Findings of Discrimination, and providing language services to LEP persons. State agencies that make subawards must have in place standard grant assurances and review procedures to demonstrate that they are effectively monitoring the civil rights compliance of subrecipients.

If we can assist you in any way in fulfilling your civil rights responsibilities as a recipient of Federal funding, please call OCR at (202) 307-0690 or visit our website at <http://www.ojp.usdoj.gov/ocr/>.

Sincerely,



Michael L. Alston
Director

cc: Grant Manager
Financial Analyst



Department of Justice
Office of Justice Programs
Office of the Chief Financial Officer

Washington, D.C. 20531

July 10, 2009

Mr. Michael Rodriguez
Palm Beach County
301 North Olive Avenue
West Palm Beach, FL 33401 - 4705

Reference Grant Number: 2009-DC-BX-0016

Dear Mr. Rodriguez:

I am pleased to inform you that my office has approved the following budget categories for the aforementioned grant award in the cost categories identified below:

Category	Budget
Personnel	\$23,723
Fringe Benefits	\$0
Travel	\$10,580
Equipment	\$0
Supplies	\$0
Construction	\$0
Contractual	\$0
Other	\$232,364
Total Direct Cost	\$266,667
Indirect Cost	\$0
Total Project Cost	\$266,667
Federal Funds Approved:	\$200,000
Non-Federal Share:	\$66,667
Program Income:	\$0

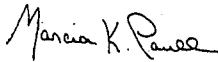
Match is required at 25% for this grant program. The required match has been met. The non-federal share that has been incorporated in the approved budget is mandatory and subject to audit.

If you have questions regarding this award, please contact:

- Program Questions, Naydine Fulton-Jones, Program Manager at (202) 514-6661
- Financial Questions, the Office of Chief Financial Officer, Customer Service Center(CSC) at (800) 458-0786, or you may contact the CSC at ask.ocfo@usdoj.gov.

Congratulations, and we look forward to working with you.

Sincerely,



Marcia K. Paull
Chief Financial Officer



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

Grant

PAGE 1 OF 3

1. RECIPIENT NAME AND ADDRESS (Including Zip Code)

Palm Beach County
301 North Olive Avenue
West Palm Beach, FL 33401-4705

4. AWARD NUMBER: 2009-DC-BX-0016

5. PROJECT PERIOD: FROM 09/01/2009 TO 08/31/2011

BUDGET PERIOD: FROM 09/01/2009 TO 08/31/2011

1A. GRANTEE IRS/VENDOR NO.

596000789

6. AWARD DATE 07/10/2009

7. ACTION

8. SUPPLEMENT NUMBER
00

Initial

3. PROJECT TITLE

Expansion and Implementation of Palm Beach County Drug Court's Co-occurring Track

9. PREVIOUS AWARD AMOUNT

\$ 0

10. AMOUNT OF THIS AWARD

\$ 200,000

11. TOTAL AWARD

\$ 200,000

12. SPECIAL CONDITIONS

THE ABOVE GRANT PROJECT IS APPROVED SUBJECT TO SUCH CONDITIONS OR LIMITATIONS AS ARE SET FORTH ON THE ATTACHED PAGE(S).

13. STATUTORY AUTHORITY FOR GRANT

This project is supported under 42 U.S.C. 3797u (a) (BJA - Drug Courts)

15. METHOD OF PAYMENT

PAPRS

AGENCY APPROVAL

GRANTEE ACCEPTANCE

16. TYPED NAME AND TITLE OF APPROVING OFFICIAL

Laurie Robinson
Acting Assistant Attorney General

18. TYPED NAME AND TITLE OF AUTHORIZED GRANTEE OFFICIAL

Michael Rodriguez
Director, Criminal Justice Commission

17. SIGNATURE OF APPROVING OFFICIAL

19. SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL

19A. DATE

AGENCY USE ONLY

20. ACCOUNTING CLASSIFICATION CODES

FISCAL YEAR	FUND CODE	BUD. ACT.	DIV. OFC.	REG.	SUB.	POMS	AMOUNT
X	B	DC	80	00	00		200000

21. IDCUGT1283

OJP FORM 4000/2 (REV. 5-87) PREVIOUS EDITIONS ARE OBSOLETE.

OJP FORM 4000/2 (REV. 4-88)



PROJECT NUMBER 2009-DC-BX-0016

AWARD DATE 07/10/2009

SPECIAL CONDITIONS

1. The recipient agrees to comply with the financial and administrative requirements set forth in the current edition of the Office of Justice Programs (OJP) Financial Guide.
2. The recipient acknowledges that failure to submit an acceptable Equal Employment Opportunity Plan (if recipient is required to submit one pursuant to 28 C.F.R. Section 42.302), that is approved by the Office for Civil Rights, is a violation of its Certified Assurances and may result in suspension or termination of funding, until such time as the recipient is in compliance.
3. The recipient agrees to comply with the organizational audit requirements of OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations, and further understands and agrees that funds may be withheld, or other related requirements may be imposed, if outstanding audit issues (if any) from OMB Circular A-133 audits (and any other audits of OJP grant funds) are not satisfactorily and promptly addressed, as further described in the current edition of the OJP Financial Guide, Chapter 19.
4. Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of the enactment, repeal, modification or adoption of any law, regulation or policy, at any level of government, without the express prior written approval of OJP.
5. The recipient must promptly refer to the DOJ OIG any credible evidence that a principal, employee, agent, contractor, subgrantee, subcontractor, or other person has either 1) submitted a false claim for grant funds under the False Claims Act; or 2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving grant funds. This condition also applies to any subrecipients. Potential fraud, waste, abuse, or misconduct should be reported to the OIG by -

mail:

Office of the Inspector General
U.S. Department of Justice
Investigations Division
950 Pennsylvania Avenue, N.W.
Room 4706
Washington, DC 20530

e-mail: oig.hotline@usdoj.gov

hotline: (contact information in English and Spanish): (800) 869-4499

or hotline fax: (202) 616-9881

Additional information is available from the DOJ OIG website at www.usdoj.gov/oig.

6. Recipient agrees to submit a written strategy describing the jurisdiction's plan for sustaining the drug court program after Federal financial assistance has ended. The sustainability plan must be submitted by the end of the first year of the grant period in order to be in compliance with this requirement.
7. Recipient agrees to develop and maintain a Drug Court Policies and Procedures manual for program operation. The Policies and Procedures manual must be submitted by the end of the first year of the grant period in order to be in compliance with this requirement.



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

Washington, D.C. 20531

Memorandum To: Official Grant File

From: Maria Berry, Environmental Coordinator

Subject: Categorical Exclusion for Palm Beach County

The Bureau of Justice Assistance (BJA) Drug Court Discretionary Grant Program provides funds to States, State courts, local courts, counties, other units of local government, and Indian tribal governments to establish drug courts. Drug courts integrate substance abuse treatment, sanctions and incentives with case management to place nonviolent drug involved offenders into a judicially supervised habilitation program for a period of time sufficient to permit substance abuse treatment to occur.

None of the following activities will be conducted either under the OJP federal action or a related third party action:

- (1) new construction;
- (2) any renovation or remodeling of a property located in an environmentally or historically sensitive area, including property (a) listed on or eligible for listing on the National Register of Historic Places, or (b) located within a 100-year flood plain, a wetland, or habitat for an endangered species;
- (3) a renovation that will change the basic prior use of a facility or significantly change its size;
- (4) research and technology whose anticipated and future application could be expected to have an effect on the environment; and
- (5) implementation of a program involving the use of chemicals.

Consequently, an agency-wide analysis has determined that the program meets the Office of Justice Programs' (OJP) criteria for a categorical exclusion under the provisions of 28 CFR, Part 61, Appendix D, paragraph 4(b).



Department of Justice
Office of Justice Programs
Bureau of Justice Assistance

**GRANT MANAGER'S MEMORANDUM, PT. I:
PROJECT SUMMARY**

Grant

PROJECT NUMBER
2009-DC-BX-0016

PAGE 1 OF 1

This project is supported under 42 U.S.C. 3797u (a) (BJA - Drug Courts)

1. STAFF CONTACT (Name & telephone number)

Naydine Fulton-Jones
(202) 514-6661

2. PROJECT DIRECTOR (Name, address & telephone number)

Nicole Saunders
Director, Justice Services
205 North Dixie Highway, Suite 2.2100
West Palm Beach, FL 33401
(561) 355-1723

3a. TITLE OF THE PROGRAM

BJA FY 09 Drug Court Discretionary Grant Program: Enhancement

**3b. POMS CODE (SEE INSTRUCTIONS
ON REVERSE)**

4. TITLE OF PROJECT

Expansion and Implementation of Palm Beach County Drug Court's Co-occurring Track

5. NAME & ADDRESS OF GRANTEE

Palm Beach County
301 North Olive Avenue
West Palm Beach, FL 33401-4705

6. NAME & ADDRESS OF SUBGRANTEE

7. PROGRAM PERIOD

FROM: 09/01/2009 TO: 08/31/2011

8. BUDGET PERIOD

FROM: 09/01/2009 TO: 08/31/2011

9. AMOUNT OF AWARD

\$ 200,000

10. DATE OF AWARD

07/10/2009

11. SECOND YEAR'S BUDGET

12. SECOND YEAR'S BUDGET AMOUNT

13. THIRD YEAR'S BUDGET PERIOD

14. THIRD YEAR'S BUDGET AMOUNT

15. SUMMARY DESCRIPTION OF PROJECT (See instruction on reverse)

The Drug Court Discretionary Grant Program is designed to assist states, state courts, local courts, units of local government, and Indian tribal governments in developing and establishing drug courts for substance-abusing adult and juvenile offenders. Drug court programs funded by the Drug Court Discretionary Grant Program are required by law to target nonviolent offenders. The program supports the following activities: adult drug court implementation, single jurisdiction drug court enhancement, statewide drug court enhancement, and planning efforts.

The Palm Beach County Adult Drug Court will use fiscal year 2009 Drug Court Discretionary Grant funds to enhance their Adult Drug Court through the provision of co-occurring mental health and substance abuse treatment services and specialized training for the drug court team to enable them to effectively deal with these issues.

The program's goal is to provide access to integrated substance abuse and mental health treatment services to 50 drug court participants with co-occurring disorders. This will increase the likelihood that the participants will enter and complete drug court at a higher rate than those only provided substance abuse treatment. The proposed enhancement embodies the 10 Key Components of Drug Court, is culturally competent, and has measurable goals and objectives.

CA/NCF