

# PALM BEACH COUNTY BOARD OF COUNTY COMMISSIONERS

# **BOARD APPOINTMENT SUMMARY**

**Meeting Date:** 

September 27, 2016

Department:

Submitted By:

Office of Financial Management and Budget

**Submitted For:** 

**Investment Policy Committee** 

# I. EXECUTIVE BRIEF

**Motion & Title: Staff recommends motion to approve:** One appointment to Seat No. 5 and re-appointments to Seats No. 2, 3 and 4 to the Investment Policy Committee, each for a three (3) year term.

A) Appoint to Seat No. 5

Nominee

Term

Nominated By

Mark A. Parks, Jr.

10/1/16 - 9/30/19

Vice Mayor Valeche Commissioner Burdick Commissioner Vana

Commissioner Taylor

OR

Stephen Martin Prielozny

10/1/16 - 9/30/19

Commissioner McKinlay

B) Reappoint to Seat No. 2

Nominee

Ned Roger Nashban

Term

10/1/19 - 9/30/19

Nominated By

Mayor Berger Vice Mayor Valeche

Commissioner Burdick
Commissioner Vana

Commissioner Abrams
Commissioner McKinlay

Commissioner Taylor

Continued on Page 2

**Summary:** The Investment Policy Committee is comprised of seven (7) members, with four (4) being at-large appointments by the Board of County Commissioners (BCC), one being a representative appointed by the Sheriff, a member appointed from OFMB by the County Administrator, and the Chair being a member of the BCC, currently Vice Mayor Valeche. The three (3) year term of the incumbent BCC appointees will expire on September 30, 2016, which ends the first of three (3), three (3) year terms. One of the incumbents, Mr. William Moore, has requested not to be reappointed. Three (3) of the incumbents desire to be reappointed, thereby creating one (1) vacancy with their reappointments. Of the seats currently filled five (5) are white males (71.4%), one (1) black male (14.3%) and one (1) white female (14.3%). Countywide (PK)

**Background and Justification:** Per Ordinance No. 87-11, Section 9 (Investment Ordinance), approved June 9, 1987, Chapter 218.415, F.S., the Committee shall meet three times per annum, or at the call of the Chairman. The IPC shall address such issues as liquidity, risk diversification, safety of principal, yield, maturity, and investment quality, as well as qualifications of investment dealers and issuers, thereby suggesting guidelines for use in the investment of County funds.

## Attachments:

**Applications** 

Recommended by:

Department Directo

Det

6/25//0

**Legal Sufficiency:** 

Assistant County Attorney

Date

# C) Reappoint to Seat No. 3

<u>Nominee</u> Frederick Euclid Walker <u>**Term**</u> 10/1/19 - 9/30/19

Nominated By
Mayor Berger
Vice Mayor Valeche
Commissioner Burdick
Commissioner Vana
Commissioner Abrams
Commissioner McKinlay
Commissioner Taylor

D) Reappoint to Seat No. 4

<u>Nominee</u> Stephen Henry Freilich

<u>Term</u> 10/1/19 - 9/30/19 Nominated By
Mayor Berger
Vice Mayor Valeche
Commissioner Burdick
Commissioner Vana
Commissioner Abrams
Commissioner McKinlay
Commissioner Taylor

# PALM BEACH COUNTY BOARD OF COUNTY COMMISSIONERS BOARDS/COMMITTEES APPLICATION

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Departmen	tt): (Please Print)	•					
Board Name: Inv	estment Policy Committee			A	dvisory (X	I Not	Advisory [
[X] At Large	e Appointment	or	[ ]		ppointment /I		
Term of Appointment:	3 Years.	From: <u>10-</u>	01-2016	Τ	Го: <u>09-30-</u>	2019	·
Seat Requirement:	Private Sector Representati	ve		S	Seat #:	5	
[ ]*Reappoint	tment	or [	X] New Ap	pointment		_	
or [ ] to complete	exnire on:			[ ] r	esignation	[X]	other
*When a person is bei term shall be consider	ing considered for reappoin ed by the Board of County	tment, the number ( Commissioners:	f previous	disclosed <sup>,</sup>	voting confl	icis durin	g the previous
Section II (Applicant) APPLICANT, UNLES	: (Please Print) SEXEMPTED, MUST BE	4 COUNTY RESIDE	INT				
Name: Parks	s, Jr.	Mark			Α .		
Last Occupation/Affiliation:	Director of Finance	First			Middle		
· ·	Owner [ ]	Employ	/ee [ X]		Officer	. 1' 7	
Business Name:	City of West Palm B		oc [ M]		Officer	[ ]	
Business Address:	401 Clematis Street	Cacii		<del></del>			
City & State	West Palm Beach, FI		Zip	Code:	33401		
Residence Address:	255 Erromio Star et He			•			
City & State	255 Evernia Street #8						
Home Phone:	West Palm Beach, FI 216-407-4973		,	Code:	33401		
Cell Phone:	216-407-4973	Business P	hone:	561-822-			
Email Address:	mparks@wpb.org	Fax:	-	561-822-	1349		
Mailing Address Prefere	nce: [X] Business [] Re	sidençe					
Have you ever been conv f Yes, state the court, na	victed of a felony: Yes ture of offense, disposition of	No X					
Ainority Identification [ ] Native-Amer		[] Female		[ X ] Afr	ican-Americ	an []C	aucasian

Page 1 of 2

#### Section II Continued:

CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

Example: (R#XX-XX/PO XX)  Parks & Recreation  (Attach Additional Sheet(s), if necessary)  OR  NONE  NONE  NONE  NOT APPLICABLE/  (Governmental Entity)  ETHICS TRAINING: All board members are required to read and complete training on Article XIII, the Palm Beach County of Ethics, and read the State Guide to the Sunshine Amendment. Article XIII, and the training requirement can be found of web at: <a href="http://www.palmbeachcountyethics.com/training.htm">http://www.palmbeachcountyethics.com/training.htm</a> . Ethics training is on-going, and pursuant to PPM CW-Prequired before appointment, and upon reappointment.	
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By signing below I acknowledge that I have read, understand, and agree to abide by Article XIII, the Palm F County Code of Ethics, and I have received the required Ethics training (in the manner checked below):	each
By watching the training program on the Web, DVD or VHS on	
AND	
By signing below I acknowledge that I have read, understand and agree to abide by the Guide to the Suns Amendment & State of Florida Code of Ethics:  *Applicant's Signature: Mak a Rule Printed Name: Mark A facts To Date: 6-16-46	hine
Any questions and/or concerns regarding Article XIII, the Palm Beach County Code of Ethics, please visit the Commission on Eth website <a href="https://www.palmbeachcountyethics.com">www.palmbeachcountyethics.com</a> or contact us via email at <a href="https://www.palmbeachcountyethics.com">ethics@palmbeachcountyethics.com</a> or (561) 233-0724.	ics
Return this FORM to: {Insert Liaison Name Here}, {Insert Department/Division Here} {Insert Address Here)	
Section III (Commissioner, if applicable): Appointment to be made at BCC Meeting on:	
Commissioner's Signature: Date: Date: Date: Pursuant to Florida's Public Records Law, this document may be reviewed and photocopied by members of the public.  Revised 01/14/20	•

Revised 01/14/2014

# PALM BEACH COUNTY BOARD OF COUNTY COMMISSIONERS BOARDS/COMMITTEES APPLICATION

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[X] At Large	Appointment	or	[-]	District App	oointment/[	District #:	
Term of Appointment:	3 Years.	From:	10-01-2016	To	o: <u>09-30-</u> 2	2019	
Seat Requirement:	Private Sector Representa	tive		Se	eat #:5	5	
[]*Reappoint	ment	or	[X] New A	ppointment			
or [ ] to comple	ete the term of		Due to:	[ ] re	signation	[X] of	her
term shall be consider Section II (Applicant):	ng considered for reappoint of the Board of County (Please Print) SEXEMPTED, MUST BE	y Commissioner	s:	disclosed v	oting confli	cts during t	he previou
Name: Parks	, Jr.	Mark			A		
Last Occupation/Affiliation:	Director of Finance	First			Middle		
o o o o o o o o o o o o o o o o o o o	Owner [ ]		imployee [ X]		Officer	[]	
						. ,	
Business Name:	City of West Palm	Beach					
	City of West Palm 401 Clematis Street						
Business Address:			Zi	p Code:	33401		
Business Name: Business Address: City & State Residence Address:	401 Clematis Street	FL.	Zi	p Code:	33401		
Business Address: City & State	401 Clematis Street West Palm Beach, I	FL #822		p Code:	33401		
Business Address: City & State  Residence Address: City & State	401 Clematis Street West Palm Beach, 1 255 Evernia Street	FL #822 FL		•	33401		
Business Address: City & State Residence Address:	401 Clematis Street West Palm Beach, 1 255 Evernia Street West Palm Beach, 1	FL #822 FL	Zi ness Phone:	p Code:	33401 322		
Business Address: City & State  Residence Address: City & State  Home Phone: Cell Phone:	401 Clematis Street West Palm Beach, 1 255 Evernia Street: West Palm Beach, 1 216-407-4973	FL #822 FL Busi	Zi ness Phone:	p Code: 561-822-1	33401 322		
Business Address: City & State  Residence Address: City & State  Home Phone:	401 Clematis Street West Palm Beach, I  255 Evernia Street: West Palm Beach, I  216-407-4973  216-407-4973  mparks@wpb.org	FL #822 FL Busi	Zi ness Phone:	p Code: 561-822-1	33401 322		

Sect	ion	Ħ	Cont	in	ued	l:

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Contract/Transaction No.		Department/Division	Description of Services	<u>Term</u>	
Example: (R#XX-XX/PQ XX)		Parks & Recreation	General Maintenance	10/01/00-09/30/2100	
		(Attach Additiona	al Sheet(s), if necessary) OR		
	NONE		NOT APPLICABLE/ (Governmental Entity)		
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			the Web, DVD or VHS on20	•	
		AND			
X	Amendment & State of	f Florida Code of Ethics:	, understand and agree to abide		
*Appli	cant's Signature: <u>MO</u>	1: a tacky Print	ed Name: Mark A. Farks J	C Date: 6-16:46	
Any qu website	estions and/or concerns re www.palmbeachcountye	garding Article XIII, the Palm lities.com or contact us via ema	Beach County Code of Ethics, please if at ethics@palmbeachcountyethics.c	visit the Commission on Ethics om or (561) 233-0724.	
		{Insert Liaison Name Here},	his FORM to: {Insert Department/Division Here} Address Here)		
Section	III (Commissioner, if a				

7-25-2016

Revised 01/14/2014

Page 2 of 2

Commissioner's Signature;

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[X] At Larg	e Appointment	or	[ ]		Appointment /[	
Term of Appointment:	Years.	From:	10-01-2016		To: 09-30-2	***************************************
Seat Requirement:	Private Sector Representa	tive			Seat #: 5	5
[ ]*Reappoin	tment	or	[X] New A	.ppointme	ent	
or [ ] to complete Completion of term to			Due to:	[ ]	resignation	[X] other
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Name: Parks			SIDE!VI			
Last	, 01.	Mark First			A Middle	
Occupation/Affiliation:	Director of Finance				Muddle	
	Owner [ ]	Eı	nployee [ X]		Officer	[]
Business Name:	City of West Palm I	Beach				
Business Address:	401 Clematis Street					
City & State	West Palm Beach, F	er -	Zi	p Code:	33401	
Residence Address:	_255 Evernia Street #	<del>(</del> 822				
City & State	West Palm Beach, F					
Home Phone:	216-407-4973			Code:	_33401	
Cell Phone:	216-407-4973		ess Phone:	561-822		
Email Address:	mparks@wpb.org	Fax:		561-822	2-1349	
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Mailing Address Prefere	nce: [X] Business [] Re	esidence				
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	(Attach Additional S	heet(s), if necessary) OR	
NONE	X	NOT APPLICABLE/ X (Governmental Entity)	
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o <sup>©</sup>		Web, DVD or VHS on Juile (	•
	AND		
EXTRICATIONAL OX STATE ON	nionida Pode of Exercs:	nderstand and agree to abide by t	
*Applicant's Signature: 1164	LARUFY Printed 1	Name: Mark A. Parks Jc	_ Date: <u>6-16-76</u>
Any questions and/or concerns reg website www.palmbeachcountyeth	arding Article XIII, the Palm Bea ics.com or contact us via email at	ch County Code of Ethics, please visit ethics@palmbeachcountyethics.com	the Commission on Ethics or (561) 233-0724.
{1	Return this Insert Liaison Name Here}, {Ins {Insert Add	sert Department/Division Here}	
Section III (Commissioner, if app Appointment to be made a	plicable): at BCG Meeting on:		
Commissioner's Signature:	Shelly Me	Ne Date: 7/2	1/16
Pursuant to Florida's Public Records Law, t	his document may be reviewed and photo	copied by members of the public.	Revised 01/14/2014

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[X] At Larg	e Appointment	or	[ ] District	Appointment /Dis	
Term of Appointment:	3 Years.	From: 10-01	-2016	To: 09-30-20	
Seat Requirement:	Private Sector Representa	tive .		Seat #: 5	
[ ]*Reappoin	tment	or [X	] New Appointm	_	
or [ ] to completion of term to	ete the term of		Due to: [ ]	resignation	[X] other
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Name: Parks	•	Mark		A	
Last Occupation/Affiliation:	Director of Finance	First		Middle	
	Owner [ ]	Employee	e [ X]	Officer [	]
Business Name:	City of West Palm 1	3each			•
Business Address:	401 Clematis Street				
City & State	West Palm Beach, I		Zip Code:	33401	
Residence Address:	_255 Evernia Street #				
City & State					
Iome Phone:	216-407-4973	L		33401	
Cell Phone:	<u>216-407-4973</u>	Business Pho	one: <u>561-82</u>	22-1322	
mail Address:	mparks@wpb.org	Fax:	561-82	22-1349	
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linority Identification		[]Female	nerican [X].	African-American	[] Caucasian

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	AND		
*Applicant's Signature: Must Any questions and/or concerns re	Print Code of Exmes:  Print  Pegarding Article XIII. the Palm	ted Name: Mark A. Parks Jo Beach County Code of Ethics, please visit at <a href="mailto:ethics.com">ethics.@palmbeachcountyethics.com</a>	Date: 6-16-46
	Return f {Insert Liaison Name Here},	this FORM to: {Insert Department/Division Here} Address Here)	<u></u>
Appointment to be made  Commissioner's Signature:  Pursuant to Florida's Public Records Law	e at BCC Meeting on:		p
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# MARK A. PARKS, JR., CPA, MBA

255 Evernia Street, Suite 822, West Palm Beach, FL 33401 Telephone: (216)407-4973 & E-mail: mapcpagroup@gmail.com

#### Executive Leadership/Chief Financial Officer

Chief Fiscal Officer with a comprehensive knowledge of government finance and operations at the Federal, State, and Local levels. Proven ability to develop, oversee, and improve large complex governmental organizations. Results driven leader with a proven history of improving multi-faceted operations utilizing metrics and quantitative analysis.

#### QUALIFICATIONS AND ACCOMPLISHMENTS

#### Efficient performance with a high level of acumen in:

- Budget development, financial management, cash management, general accounting, taxation, internal controls, governmental operations, and small business consulting.
- Thorough knowledge of both GASB and FASB accounting
- Change management and organizational design
- Awarded the Government Finance Officers Association (GFOA) Distinguished Budget Presentation
   Award and the GFOA Certificate for Excellence in Financial Reporting

### Technical Skills:

- Experience in financial systems selection and implementation
- Microsoft Office Word, Excel, PowerPoint, Access, Publisher
- Experienced using various accounting software packages, For Profit and Not For Profit

#### PROFESSIONAL EXPERIENCE

DIRECTOR OF FINANCE, City of West Palm Beach, West Palm Beach, FL

2015 - Present

- Responsible for all financial operations of the City
- Manage the sale of notes and bonds to finance major projects by coordinating information among bond counsel, underwriters' trustees, and banks
- Manage City funds, long-term and short-term investments of more than \$300 million

## CHIEF FISCAL OFFICER, Cuyahoga County, Cleveland, OH

2013-2015

- ♦ CFO with comprehensive knowledge of County finances and operations
- Serve as statutory County Auditor, Recorder, and Clerk of Courts (Title Division) as defined by the Ohio Revised Code
- Serve as Chief Fiscal Officer for the entire County, a \$1.5 billion governmental entity, overseeing financial operations, accounting, payroll, AP/AR, budgets, purchasing, property assessment, revenues and expenditures, investments, and debt offerings
- Manage the sale of notes and bonds by coordinating information among bond counsel, underwriters' trustees, and banks, including but not limited to participating on the \$465 million financing team for the Global Center for Health Innovation and Convention Center and the \$260 million Convention Center Hotel

# TREASURER, Cuyahoga County, Cleveland, OH

2012-2013

- Performed all functions of the County Treasurer for a \$1.5 billion governmental entity
- Managed the collection of over \$1.0 billion in taxes annually
- Managed County funds, long-term and short-term investments of more than \$800 million
- Disbursed tax revenues to school districts, municipalities, libraries, and other taxing subdivisions
- Administered the monthly installment and automatic bill payment programs to make paying property taxes more convenient and less burdensome

# DIRECTOR OF SPECIAL PROJECTS, Cuyahoga County, Cleveland, OH

2012-2013

- Managed a process called CountyStat to quantitatively evaluate the operations of the Fiscal Office and determine corrective actions
- Assisted the Chief Fiscal Officer with organization and consolidation of the new fiscal office, including but not limited to organizational structure, physical plant, and accounting structure
- Served as controller for the entire County, overseeing financial operations, accounting, payroll, AP/AR, reviewing and preparing budgets, monitoring revenues and expenditures, and implementing corrective actions
- Evaluated the effectiveness and challenges of the newly formed government and recommend structural changes
- Evaluated staffing for effectiveness and efficiency and recommend changes
- ♦ Implemented new Charter requirements for reformed County government

# CHIEF FISCAL OFFICER/TREASURER (Acting), Cuyahoga County, Cleveland, OH

2011-2012

- Began financial transition from former County Commissioner form of government to Executive form of government by facilitating the consolidation of three formerly elected officials' offices and four departments into one financial enterprise
- Developed and implemented strategies to bring together a very diverse group of employees, many of whom had never worked together before
- Began the elimination of redundancies that were inherent in the former form of government
- Performed all functions of the Chief Financial Officer and Treasurer for a \$1.5 billion governmental entity
- Managed the entire financial enterprise for the County
- Implemented new Charter requirements for reformed County government

# FINANCIAL SYSTEM ADMINISTRATOR, Cuyahoga County, Cleveland, OH

2010 - 2011

- Performed development of operating budget, monitored revenue estimates for the General Fund and Health & Human Services Levies and debt service
- Assisted in the sale of notes and bonds by coordinating information among bond counsel, underwriters, trustees, and banks, including participating on the \$465 million financing team of the Cleveland Medical Mart and Convention Center
- Facilitated maintaining the County's note and bond ratings
- Performed capital management duties, developed indirect cost plan, and evaluated proposals for new or expanded projects
- Provided computer software technical support and assistance to end-users in County departments

## **BUDGET MANAGEMENT ANALYST,** Board of County Commissioners, Cleveland, OH 2005 - 2010

- Developed, prepared and monitored \$1.5 billion budget for the Board of County Commissioners (BOCC)
- Analyzed fiscal activities of assigned departments and make forecasts based on expenditure patterns
- Performed fiscal management functions for BOCC agencies

# ADJUNCT INSTRUCTOR, Cuyahoga Community College, Cleveland, OH

2007 - 2011

- Teach basic, intermediate, governmental, and advanced principles of accounting courses
- Prepare students for life in the business world

# CHIEF FINANCIAL OFFICER, Lake Metropolitan Housing Authority, Painesville, OH

2002 - 2005

- Managed and performed all fiscal duties including: cash management, investments, payroll, accounts receivable, accounts payable, taxes, budgeting, forecasting and general accounting
- Automated the accounting processes and implemented changes that increased efficiency

# STATUTORY CUYAHOGA COUNTY BOARDS AND COMMISSIONS

- ♦ Board of Control
- Contracts and Purchasing Board
- Cuyahoga County Audit Committee
- Board of Revision
- Budget Commission
- Cuyahoga County Community Improvement Corporation
- Cuyahoga County Land Reutilization Corporation (Land Bank)
- ♦ Tax Incentive Review Board
- Cuyahoga County Investment Advisory Committee

#### CIVIC ENGAGEMENT

VILLAGE COUNCIL PRESIDENT & FINANCE COMMITTEE CHAIRMAN, Orange Village, OH 2005, 2008-2013 The President of Council is responsible for presiding over all Council meetings, appointing all committee chairs, and serving as acting Mayor in the Mayor's absence or in the event of incapacity. The Finance chair is responsible for choosing and presiding over a committee to scrutinize the financial records and transactions of the Village.

#### **COMMUNITY ACTIVITIES**

- ♦ 2011 2015: Orange Schools Vision and Strategic Planning Committee
- 2010 2015: Lander Circle Kiwanis Club
- ♦ 2010 2011: Representative for the Cuyahoga County Transition Team for Finance

### **EDUCATION AND LICENSURE(S)**

University of Phoenix

Masters of Business Administration Degree, concentration in e-Business, July 2006

♦ 3.88 grade point average on a 4.0 scale

Cleveland State University

# Completed coursework equivalent to an accounting major

- 3.4 grade point average on a 4.0 scale in accounting
- Completed Cleveland State Leadership Academy in 2008

Baldwin-Wallace University

Bachelor of Arts Degree with a dual major in Business Administration and Political Science

President's Council Foundation

Emerging Entrepreneurs Class of 2005

#### Passed:

- Life, Accident & Health and Variable Products exam
- Passed Securities Series 6, 63, and Series 26
- Passed Ohio Certified Public Accountants Examination

# PALM BEACH COUNTY BOARD OF COUNTY COMMISSIONERS BOARDS/COMMITTEES APPLICATION

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or resume to this form.

Section 1 (Department): (Please Print) Board Name: INVESTMENT POLICY COMMITTEE Advisory [X] Not Advisory | | [X] At Large Appointment [ ] District Appointment /District #: Term of Appointment: Years. From: To: Experience in investment, banking or securities community; Seat Requirement: Seat #: investment management, or any combination thereof [ ]\*Reappointment New Appointment [ ] to complete the term of Due to: [ ]. resignation other Completion of term to expire on: \*When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: Section II (Applicant): (Please Print) APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT Name: Last Occupation/Affiliation: Owner [ ] Employee [ ] **Business Name: Business Address:** City & State Zip Code: Residence Address: City & State Zip Code: Home Phone: Business Phone: Cell Phone: Email Address: Mailing Address Preference: [ | Business [ ] Residence Have you ever been convicted of a felony: Yes If Yes, state the court, nature of offense, disposition of case and date: Male Minority Identification Code: [] Female [ ] Native-American [ ] Hispanic-American

Page 1 of 2

[] Asian-American [] African-American [A Caucasian

#### Section II Continued:

CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

Department/Division

Contract/Transaction No.	Department/Division	Description of Services	Term
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
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NONE		NOT APPLICABLE/ (Governmental Entity)	
of Ethics, and read the State Guweb at: <a href="http://www.palmbeachrequired-before appointment">http://www.palmbeachrequired-before appointment</a> , and a state of Ethics, and a sta	ide to the Sunshine Amendment acountyethics.com/training.ht and upon reappointment. nowledge that I have read, a and I have received the require	d and complete training on Article XIInt. Article XIII, and the training red m. Ethics training is on-going, and understand, and agree to abide by the Ethics training (in the manner cl	puirement can be found on the pursuant to PPM CW-P-79 is  Article XIII, the Palm Beach necked below):
By wa	atching the training program on ending a live presentation give	the Web, DVD or VHS on 7.2° n on, 20	<u>1_20_/6</u>
	AND		
Amendment & State of F  *Applicant's Signature:	Clorida Code of Ethics:	understand and agree to abide to ted Name: Stychen M. R. Beach County Code of Ethics, please	ie / 2 January 7.29. 16
website www.palmbeachcountye	thics.com or contact us via emi	ail at ethics@palmbeachcountyethics.c	om or (561) 355-1915.
	Irwin L Jacobo	this FORM to: witz, J.D., OFMB/CDC 5, West Palm Beach, FL 33401	
Section III (Commissioner, if a Appointment to be made	pplicable); at BCC Meeting on:	) <del></del>	
Commissioner's Signature;_	Melisse McKin	Date: 8/9/	lle
Pursuant to Florida's Public Records Law	this document may be reviewed and	photocopied by members of the public,	Revised 02/01/2016

#### August 2, 2016

#### Biography

Stephen M. Prielozny 833 NE 18<sup>th</sup> St Belle Glade, FL 33430

#### Experience:

1/98 - Present

Bank of Belle Glade Belle Glade, FL 33430

President & CEO/Director

5/97-12/97

First Community Bank of Henry County

McDonough, GA

Executive Vice President, my duties included commercial and retail lending, risk management, asset/liability management, marketing, business development and in general: overseeing the everyday operation of the Bank.

4/92-1/97

First American Bank of Walton County

Santa Rosa Beach, FL

As President & CEO and Director my duties included all aspects of managing an independently owned bank. FAB was located in the rapidly growing resort area of Destin, Florida.

3/72-10/91

Synovus Financial Corporation

Columbus, GA

During this 19 year period of time I served in the following capacities:

7/88-10/91 President, CEO, and Director of the Fort Rucker National Bank, Fort Rucker, AL

3/88-6/88 Vice President, CB&T BankCard Center, managing 150 officers and employees, and \$84 million in Visa and MasterCard account receivables.

8/81-2/88 Vice President, CB&T Correspondent Banking Department.

Duties included loan participations, credit card agent bank relationships, international services, and other wholesale banking products and services to correspondent and affiliate banks located in Georgia, Alabama, and the Florida panhandle.

6/77-7/81 Assistant Vice President, CB&T Banking Division.

Managing three, at various times, of the thirteen branches.

9/76/5/77 Auditing Officer, CB&T Audit Division. Responsibilities included director audits of various correspondent banks.

12/72-8/76 BankCard Center Collector

3/72-11/72 Teller

#### Education:

1987 Graduated from the Graduate School Banking of the South, Louisiana State University.

1985 Graduated from the Commercial Lending School, University of Georgia.

1983 Certificate of Completion Robert Morris Associates Omega Commercial Loan Program.

1981 Graduated from the Georgia Banking School, University of Georgia.

1976 Graduated from Columbus College, Columbus, Georgia, with a BS Degree in Business Administration.

1968 Graduated from the Frankfurt American High School. Frankfurt, Germany.

## Military Service:

11/69-10/71

United States Army, Vietnam Veteran

Rank - Sgt. E-5 Top Secret Crypto Clearance

M. O. S. - Data Communications Com-Center Specialist

Citations and Medals

Outstanding Trainee – Basic Training Highest Score on I. P. T. – Basic Training

Good Conduct Medal Army Commendation Medal Vietnam Service Medal

#### Other Business Related:

Western Palm Beach County Farm Bureau Board Member

Glades ARC Board Member

Belle Glade Chamber of Commerce Board Member

Belle Glade Leadership Board Member

Central Palm Beach County Chamber of Commerce Trustee

# PALM BEACH COUNTY BOARD OF COUNTY COMMISSIONERS BOARDS/COMMITTEES APPLICATION

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Page 1 of 2

Board Name: INVESTMENT POLICY COMMITTEE Advisory [X] Not Advisory [ ] [X] At Large Appointment or [ ] District Appointment /District #: Term of Appointment: Years. From: 10/1/16 Seat Requirement: Experience in investment, banking or securities community: investment management, or any combination thereof [X]\*Reappointment [ ] New Appointment ] to complete the term of Due to: resignation [] Completion of term to expire on: \*When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: Section II (Applicant): (Please Print) APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT Name: Occupation/Affiliation: Business Name: Business Address: City & State Zip Code: Residence Address: City & State Home Phone: Business Phone: Cell Phone: Fax. Email Address: Mailing Address Preference: D Business [] Residence Have you ever been convicted of a felony: Yes If Yes, state the court, nature of offense, disposition of case and date Minority Identification Code: ] Female [] Native-American ] Hispanic-American [] Asian-American [] African-American A Caucasian

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Contract/Transaction No.	Department/Division	Description of Services	Term
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
	(Attach Addition	nal Sheet(s), if necessary) OR	
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	countyethics.com/training.ht	d and complete training on Article XIII nt. Article XIII, and the training requ tm. Ethics training is on-going, and p	
	and t have received the ledini	understand, and agree to abide by Ared Ethics training (in the manner che the Web, DVD or VHS on JUNE 28	ecked below):
By att	ending a live presentation give	n on, 20	20 16
	AND	*	
By signing below Lack Amendment & State of F	nowledge that I have read, lorida Code of Ethics:	understand and agree to abide by	the Guide to the Sunshine
*Applicant's Signature: <u> </u>	VC May Print	ted Name: NED R. WÄSKB	ANSate:
Any questions and/or concerns rewebsite <a href="https://www.palmbeachcountye-">www.palmbeachcountye</a>	garding Article XIII, the Palm thics.com or contact us via ema	Beach County Code of Ethics, please vi il at ethics@palmbeachcountyethics.com	sit the Commission on Ethics m or (561) 355-1915.
	Irwin L Jacobou	this FORM to: vitz, J.D., OFMB/CDC 5, West Palm Beach, FL 33401	
Section III (Commissioner, if a Appointment to be made	oplicable): at BCC Meeting on:		
Commissioner's Signature:	Mary Jon Beg	Ce. Date: 9-15-16	,
oursuant to Florida's Public Records Law,	this document may be reviewed and p	hotocopied by members of the public.	Revised 02/01/2016

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Section I (Department): (Please Print)

[] Native-American

Page 1 of 2

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Caucasian

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NONE		NOT APPLICABLE/ (Governmental Entity)	
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Applicant's Signature.	nowledge that I have read, lorida Code of Ethics:  Print	ed Name: MSD (2 - MASK)  Beach County Code of Ethics, please il at ethics@palmbeachcountyethics.c	BA/ Bate:
,	Return t Irwin L Jacobow	his FORM to: itz, J.D., OFMB/CDC , West Palm Beach, FL 33401	or (301) 335-1915.
ection III (Commissioner, if ap Appointment to be made Commissioner's Signature:	plicable): at BCG Meeting on:	OU Date: 1/25/	16
ursuant to Florida's Public Records Law,	this document may be reviewed and pl	notocopied by members of the public.	Revised 02/01/2016

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[ ] Female

[ ] Asian-American

[] African-American

A Caucasian

Minority Identification Code:

Page 1 of 2

[] Native-American

Male

] Hispanic-American

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	(Attach Additional S	Sheet(s), if necessary)	
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*Applicant's Signature.	116 10	Name: NSV R. Wilstyl	
Any questions and/or concerns,r website www.palmbeachcountye	egarding Article XIII, the Palm Ber ethics.com or contact us via email a	10 . 0	
	Return this <i>Irwin L Jacobowitz,</i> 301 N. Olive Ave., #701.5, H	J.D., OFMR/CDC	
Section III (Commissioner, if a Appointment to be mad	pplicable):		
Commissioner's Signature:	Toutette Duid		8-2016
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# BOARD OF COUNTY COMMISSIONERS BOARDS/COMMITTEES APPLICATION

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[] Native-American

Page 1 of 2

Hispanic-American

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	AND	,	
By signing below Lack Amendment & State of I Applicant's Signature		understand and agree to abide by	
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Hispanic-American

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	, , , , , , , , , , , , , , , , , , ,	inderstand, and agree to abide by ed Ethics training (in the manner ch the Web, DVD or VHS on JVVS 28	ecked below):
	AND	. •	
By signing below Lackin Amendment & State of Fl	owledge that I have read, orida Code of Ethics:	understand and agree to abide by	y the Guide to the Sunshine
*Applicant's Signature. <u> </u>	College Printe	ed Name: <u>NSV R. WÄSK</u> A	BABate:
Any questions and/or concerns reg website <u>www.palmbeachcountyeth</u>	rarding Article VIII the Date of	Beach County Code of Ethics, please vil at ethics@palmbeachcountyethics.co	
	Irwin L. Jacobow.	his FORM to: itz, J.D., OFMB/CDC , West Palm Beach, FL 33401	
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# BOARD OF COUNTY COMMISSIONERS BOARDS/COMMITTEES APPLICATION

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Section I (Department): (Please Print) Board Name: INVESTMENT POLICY COMMITTEE Not Advisory [ ] Advisory [X] [X] At Large Appointment or [ ] District Appointment /District #: Term of Appointment: Years. From: To: 9/30/19 Seat Requirement: Experience in investment, banking or securities community; Seat #: investment management, or any combination thereof [X]\*Reappointment [ ] New Appointment [ ] to complete the term of Due to: resignation [] other Completion of term to expire on: \*When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: Section II (Applicant): (Please Print) APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT Middle Occupation/Affiliation: Employee [ ] Officer [ ] **Business Name: Business Address:** City & State Residence Address: City & State Home Phone: Business Phone: Cell Phone: Email Address: Mailing Address Preference: DI Business [ ] Residence Have you ever been convicted of a felony: Yes If Yes, state the court, nature of offense, disposition of case and date

[ ] Female

[ ] Asian-American

[ ] African-American

A Caucasian

Minority Identification Code:

Page 1 of 2

[] Native-American

Male

Hispanic-American

CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

Contract/Transaction No.	Department/Division	Description of Services	<u>Term</u>		
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100		
			27/30/2100		
	(Attach Additional Sh	neet(s), if necessary) OR			
NONE	The state of the s				
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By signing below I acknowled County Code of Ethics, an	owledge that I have read, under ad I have received the required E	rstand, and agree to abide by Art thics training (in the manner check	icle XIII, the Palm Beach		
By water		Web DVD or VIII or TIME 99			
	AND				
*Applicant's Signature	Printed N	erstand and agree to abide by th	Bate:		
website <u>www.palmbeachcountyeth</u>	arding Article XIII, the Palm Beacl ics.com or contact us via email at s	h County Code of Ethics, please visit ethics@palmbeachcountyethics.com c	the Commission on Ethics or (561) 355-1915.		
	Return this F Irwin L Jacobowitz, J 301 N. Olive Ave., #701.5, We	D. OFMR/CDC			
Section III (Commissioner, if app Appointment to be made a	t BCC Meeting on:		•		
Commissioner's Signature:	nelisse Mckely				
Pursuant to Florida's Public Records Law, th	nis document may be reviewed and photoco		Revised 02/01/2016		

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Amendment & State of F	lorida Cøde of Ethics:	understand and agree to abide by	the Guide to the Sunshine
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Any questions and/or concerns reg	randing Astist Tritt of me		
website www.palmbeachcountyet	nics.com or contact us via email	seach County Code of Ethics, please vis at ethics@palmbeachcountyethics.com	it the Commission on Ethics
			i or (561) 355-1915.
	Return th	is FORM to:	
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# Glaser Weil

Your Powerhouse



t: 561-358-8230 f: 561-982-9878 nnashban@glaserweil.com

# **Ned Nashban**

#### **Partner**

Ned Nashban, a partner in Glaser Weil's Litigation Department, has represented clients in the area of commercial litigation, business law, bankruptcy or creditor's rights and problem loan workouts for more than three decades.

Mr. Nashban's practice focuses on prosecuting or defending litigation in or related to bankruptcy cases, including preference and fraudulent transfer claims, non-dischargeability litigation and the representation of purchasers of assets out of bankruptcy estates. He has also developed a substantial global maritime and admiralty practice in the areas of products liability, warranty claims, contract issues, dealership terminations and corporate transactional work. He works with clients worldwide, including those in the United Kingdom, Brazil, Mexico, Canada, China, Italy and Israel.

Prior to joining Glaser Weil, Mr. Nashban was a principal at Akerman LLP and Greenberg Traurig LLP. Mr. Nashban is actively involved as a member of State of Israel Bonds' National Board of Directors, an international organization offering securities issued by the Israeli government. He is also a member of the Palm Beach County Bar Association, the Broken Sound Club, Bankruptcy Bar of South Florida, American Bar Association and Commercial Law League of America.

Mr. Nashban earned both his B.A. and his J.D. from the University of Wisconsin. He is admitted to practice law in Florida and Wisconsin.

#### **Practice Areas**

Litigation

Business Litigation

General Commercial Litigation & Contract Disputes

#### Education

University of Wisconsin, J.D.

University of Wisconsin, B.A., Political Science and Government

### Federal and Patent Bar Admissions

U.S. Supreme Court

#### State Bar Admissions

Florida

Wisconsin

### **Associations**

State Bar of Florida

State Bar of Wisconsin

Israel Bonds - Board of Directors and National Campaign Advisory Board

Palm Beach County Investment Policy Committee

Broken Sound Club - Grievance Committee member

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# BUARDSCUMMILLEES APPLICATION

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Section I (Department): (Please Print)

Page 1 of 2

Board Name:	INVEST	MENT P	OLICY CO	ITIMMC	EE		Advisor	y [X]	Not.	Advisory
[X] At L	arge Appoi	ntment	•	or	[ ] Distr	rict Appoi	ntment /Dis	trict #:		_
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*When a person term shall be con	is being considered by	nsidered fo the Board	r reappoint of County (	ment, the n Commission	number of previ	ious disclo	osed voting	conflic	cts durii	ng the previous
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Business Name:		Owner [		ment Ma	Employee [			Officer	[X] ·	
Business Address	S <b>:</b>					•		·		
City & State	•				•	Zip Code	e:			
Residence Addres	ss:	5569 S	ea Biscu	uit Road						
City & State		Palm B	each Gar	dens, FL	•	Zip Code	e: 3	418		
Home Phone:	_(_	)		В	usiness Phone:	•	) 244-9906	· F.	xt.	
Cell Phone:	(	)			ax:	(	)		74.6	
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[X] At Large Appointment or [] District Appointment /District #:

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euclid.walker@parkwaylM.com

[] Hispanic-American

No

[ ] Female

[] Asian-American

M African-American [ ] Caucasian

Mailing Address Preference: [ ] Business | X Residence

If Yes, state the court, nature of offense, disposition of case and date:

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Fax:

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Mailing Address Preference: [ ] Business X Residence

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Cell Phone:

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Section I (Department): (Pl	ease Print)				
Board Name:INVEST	IMENT POLICY CO	OMMITTEE		Advisory [X]	Not Advisory
[X] At Large Appoi	ntment	or	[ ] District Ap	pointment /District #: _	
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Seat Requirement: Experiment:	ience in investment, b ment management, or	anking or secur any combinatio	ities community; on thereof	Seat #:	
[X]*Reappointment		or	[] New Appoi	ntment	
or [ ] to complete the	e on:			[ ] resignation	[ ] other
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A <i>PPLICANT, UNLESS EX</i> Name: Walker	EMPTED, MUST BE /	4 <i>County RE:</i> Frederic	-	Euclid	
Last Decupation/Affiliation:	Asset Manageme	First ent		Middle	
Business Name: Business Address:	Owner [ ] Parkway Inves			Officer	ľXI ·
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Residence Address:	5569 Sea Bisco Palm Beach Ga		Zip (	Code: 3418	
ome Phone:	)	Busir	ness Phone:	(561)244-9906 E	xt.
ell Phone: ( mail Address:   Output  O	) clid.walker@parl	Fax: kwaylM.cor	n	( )	
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Contract/Transaction No.	Department/Division	Description of Services	Term
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
	(Attach Addition	al Sheet(s), if necessary) OR	
NONE	X	NOT APPLICABLE/ (Governmental Entity)	
web at: <a href="http://www.palmbeactrequired">http://www.palmbeactrequired</a> before appointment,  By signing below I ack County Code of Ethics,  By we	ncountyethics.com/training.ht and upon reappointment. nowledge that I have read, a and I have received the requir atching the training program on	and complete training on Article XIII. Article XIII., and the training rem. Ethics training is on-going, and anderstand, and agree to abide by the Ethics training (in the manner content with the Web, DVD or VHS on, 20	quirement can be found on the pursuant to PPM CW-P-79 is Article XIII, the Palm Beach hecked below):
	AND		
		understand and agree to abide	
*Applicant's Signature:	and Entitled Prin	ted Name: Frederick Euclid Wal	ker Date: July 11, 2016
Any questions and/or concerns re	egarding Article VIII the Polm	Beach County Code of Ethics, please il at ethics@palmbeachcountyethics.	
•	{Insert Liaison Name Here},	this FORM to: {Insert Department/Division Here} Address Here)	
Section III (Commissioner, if a Appointment to be made	pplicable): e at BCC Meeting on:		
Commissioner's Signature:_	= Horans	<u>15)</u> Date: 7-21-	- 14
Pursuant to Florida's Public Records Law	, this document may be reviewed and p	photocopied by members of the public.	Revised 02/01/2016
Page 7 of 7	•		

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Business Phone:

[] Female

[] Asian-American

Fax:

No

euclid.walker@parkwaylM.com

Male

[ ] Hispanic-American

Mailing Address Preference: [ ] Business X Residence

If Yes, state the court, nature of offense, disposition of case and date:

Have you ever been convicted of a felony: Yes

Minority Identification Code:

[] Native-American

Cell Phone:

Page 1 of 2

Email Address:

(561) 244-9906

African-American

Ext.

[] Caucasian

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Revised 02/01/2016

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Female

[ ] Asian-American

African-American

[] Caucasian

Minority Identification Code:

Page 1 of 2

[] Native-American

Male Male

] Hispanic-American

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#### F. EUCLID WALKER

777 S. Flagler Drive, West Tower | 8th Floor West Palm Beach, FL 33401 (561) 244-9906 (tel) | euclid.walker@parkwayIM.com

EXPERIENCED INVESTMENT MANAGEMENT, INVESTMENT BANKING & PRIVATE EQUITY EXECUTIVE – Executive with a strong track record of accomplishment internationally and domestically. Goal oriented and execution focused utilizing a strong network of high level private and public sector relationships to accomplish objectives. Excellent manager known for effectively negotiating large and small organizations to achieve success and get the most out of staff while training them for the future.

Work Experience

PARKWAY INVESTMENT MANAGEMENT

2013-Present

Co-Founder and Managing Director

Palm Beach, FL, USA

In 2013 Mr. Walker founded a broad based alternative investment management firm. Parkway focuses on attractive global investment opportunities through hedge, private equity, real estate and real asset strategies. Its target investor base includes family offices; corporate pensions and public pension.

**BRIGHTWOOD CAPITAL** 

2011-2013

Head of Midwerst

Chicago, IL, USA

In 2011 Mr. Walker was a founding member of Brightwood Capital SBIC Fund and SSBIC (a middle market private equity and mezzanine investment fund). His responsibilities included marketing new funds and sourcing, analyzing, structuring, executing and monitoring investments. Including, investment in LMI, smaller and Minority owned and managed companies.

RENASCENT CAPITAL

2004-2011

Managing Director

Chicago, IL, USA

In 2004 Mr. Walker formed Renascent Capital to advise and invest alongside his clients. Investments have included: middle market private companies, Private Equity Investments with top PE funds & under valued commercial real estate assets. Advisory activities have included representing multi-billion dollar private equity funds on international acquisitions, advising companies on cross-boarder acquisitions, co-advising with top Wall Street firms on international assignments and advising wealthy families on private investments and acquisitions.

MORGAN STANLEY

2003-2004

Executive Director, Midwestern Corporate Finance Department Chicago, IL, USA
Responsible for advising a broad range of publicly listed and large private companies across the Midwest on corporate finance, capital raising and strategic issues (e.g. initial public offerings, merger and acquisitions). Industries of focus include Consumer Products, Food Services, Financial Services and General Industrial. Clients include; Allstate, Wrigley, Maytag, Whirlpool, Domino's Pizza, Anheuser Busch, Sara Lee, Emerson, Morningstar, Enersys, Career

Education and McDonald's

Accomplishments - Involved in over \$10MM of new business revenue - Led teams that successfully raised over \$500MM in debt & equity capital for clients

2001-2003

Vice President & Operations Officer Europe Banking Department London, England Member of seven-person team responsible for managing the Investment Banking business for Europe, the Middle East and Africa. Specific responsibility for management and strategic issues related to industry and regional groups. Involved in strategic business reviews and responsible for managing the budgeting, business development and pipeline processes for the department. Worked closely with the Global Head of strategy on developing and implementing new initiatives. Member of technology input committee, accelerated career promotion panel and performance evaluation committee. Conducted "Trusted Advisor" training for officers across Europe. Communicated evaluation feedback and compensation messages to associates and analysts.

Accomplishments -Led team that developed analytical methodology for restructuring business model that led to over \$10MM of cost savings.

-Trained and mentored over 50 high caliber investment banking professionals (corporate finance and mergers & acquisitions) across Europe, Middle East & Africa

- Developed business development training materials and trained senior staff members across Europe to become better "trusted advisors" to their clients

1996-2001

Vice President, Morgan Stanley South Africa (PTY) LTD Johannesburg, RSA
Second professional to be based in Africa. Responsible for strategy, personnel and management of the office. Involved in providing country specific coverage to support all of Morgan Stanley's divisions and clients. Responsible for facilitating South African companies reintegration into the international capital markets with a focus on mergers acquisitions, restructurings & capital raisings. Clients and transactions include; Anglo American/Minorco, Anglovaal, DeBeers, Dimension Data, Gold Fields, Heineken, MIH, Old Mutual, Placer Dome, Barrick Gold, Orange/France Telecom, Quadrem, Republic of South Africa, SAB, Sappi, and South African Reserve Bank

Accomplishments -Grew business by over \$20MM of fee revenue in 3 years

-Established M&A business development efforts that led to MS consistently being a leading M&A advisor in South Africa

-Team leader for raising over \$2 Billion of financing for the South African Government & South African companies

-Team leader for over \$1 Billion of merger and acquisition activity

-Recruited and trained high caliber investment bankers

1994-1996

Senior Acquisitions Analyst, Morgan Stanley Private Equity New York, NY, USA Member of the \$2 billion real estate private equity fund's acquisition team. Responsible for obtaining, evaluating and executing investment opportunities. Frequently required to provide partnership and acquisition structure alternatives, co-ordinate due diligence teams, participate

1994-1995

in negotiations, and evaluate accounting and taxation issues. Financial Analyst, Morgan Stanley Realty Involved with restructuring Morgan Stanley Realty's client advisory business. Member of

two-person team that covered Midwestern companies. Frequently prepared presentation materials and met with clients. Areas of focus included mergers and acquisitions and lodging New York, NY, USA

1993 Summer Analyst, Investment Banking Division

One of two candidates chosen to participate in the Summer Analyst Program. Participated in weekly round table discussions with senior bankers from various areas of the firm. Worked as a financial analyst in the Real Estate Fund.

Education

1990-1994

MOREHOUSE COLLEGE Atlanta, GA, USA

Graduated magna cum laude with a Bachelor of Arts in Business Administration (Dual concentrations in Banking Finance & Real Estate) and a Minor in Economics.

Honors

Phi Beta Kappa Honor Society, Omicron Delta Epsilon Economics Honor Society, Golden Key Honor Society, National Dean's List (1990-1994), Who's Who Among American University Students.

Non-Profit

Member, City of Chicago Plan Commission; Trustee, Francis W. Parker School; Visitor, Morehouse College Board of Trustees.

# BOARDS/COMMITTEES APPLICATION

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL, Answer "none" or "not applicable" where appropriate. Please attach a biography or resume to this form. 

Section I (Department): (Please Print)

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Revised 02/01/2016

ONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory pard members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Acceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and ansactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not only when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations garding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify

## BOARD OF COUNTY COMMISSIONERS BOARDS/COMMITTEES APPLICATION

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL, Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Department): (Please Print)

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Contract/Transaction No.	Department/Division	Description of Services	Term
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	<u>10/01/00-09/3</u> 0/2100
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NONE	3-	NOT APPLICABLE/ (Governmental Entity)	
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Pursuant to Florida's Public Records Law,	this document may be reviewed and	photocopied by members of the public.	Revised 02/01/2016

Page 2 of 2

#### BOARDS/COMMITTEES APPLICATION

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CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

Contract/Transaction No.

Department/Division

Description of Services

Term

Contract/Transaction No.	Department/Division	Description of Services	<u>Terin</u>
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
			-
	(Attach Additio	nal Sheet(s), if necessary) OR	
NONE		NOT APPLICABLE/ (Governmental Entity)	
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## BOARD OF COUNTY COMMISSIONERS BOARDS/COMMITTEES APPLICATION

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL.

Answer "none" or "not applicable" where appropriate. Please attach a biography or resume to this form.

Section I (Department): (Please Print)

Board Name: INVEST	IMENT POLI	CY COMM	ATTEE		Talvi Talvi	Advisory	Mod [X]	Advisory	]
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CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

Contract/Transaction No.	Department/Division	Description of Services	<u>Term</u>
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	<u>10/01/00-09/30/2</u> 100
	(Attach Addition	nal Sheet(s), if necessary) OR	
NONE		NOT APPLICABLE/ (Governmental Entity)	
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Page 2 of 2

## BOARD OF COUNTY COMMISSIONERS BOARDS/COMMITTEES APPLICATION

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or resume to this form.

Board Name: IN	VESTMENT	POLICY	COMMITTE	E 123-1-1-1-1	taka t	Advisory	ron [X]	Advisory
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## BOARD OF COUNTY COMMISSIONERS BOARDS/COMMITTEES APPLICATION

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Answer "none" or "not applicable" where appropriate. Please withich a biography or resume to this form.

Section I (Department): (Please Print)

Board Name: INVE	STMENT POLICY	COMMITTEE	TZARAN SANTANIAN TZARAN SANTANIAN	Advisory	X  Not Advisory
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apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code. Contract/Transaction No. Department/Division Description of Services Example: (R#XX-XX/PO XX) Parks & Recreation General Maintenance 10/01/00-09/30/2100 (Attach Additional Sheet(s), if necessary) OR NONE NOT APPLICABLE/ (Governmental Entity) ETHICS TRAINING: All board members are required to read and complete training on Article XIII, the Palm Beach County Code of Ethics, and read the State Guide to the Sunshine Amendment. Article XIII, and the training requirement can be found on the web at: http://www.palmbeachcountyethics.com/training.htm. Ethics training is on-going, and pursuant to PPM CW-P-79 is required before appointment, and upon reappointment. By signing below I acknowledge that I have read, understand, and agree to abide by Article XIII, the Palm Beach County Code of Ethics, and I have received the required Ethics training (in the manner checked below): By watching the training program on the Web, DVD or VHS on  $\mathcal{J} \cup \mathcal{V}$ By attending a live presentation given on By signing below I acknowledge that I have read, understand and agree to abide by the Guide to the Sunshine Amendment & State of Florida Code of Ethics: \*Applicant's Signature: Printed Name: Any questions and/or concerns regarding Article XIII, the Palm Beach County Code of Ethics, please visit the Commission on Ethics website www.palmbeachcountyethics.com or contact us via email at ethics@palmbeachcountyethics.com or (561) 355-1915. Return this FORM to: {Insert Liaison Name Here}, {Insert Department/Division Here}

(Insert Address Here)

CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory oard members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on:

Commissioner's Signature:

Pursuant to Florida's Public Records Law, this document may be reviewed and photocopied by members of the public.

Revised 02/01/2016

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## BOARD OF COUNTY COMMISSIONERS BOARDS/COMMITTEES APPLICATION

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or resume to this form. Section I (Department): (Please Print) Board Name: Advisory [X] [X] At Large Appointment [ ] District Appointment /District #: Term of Appointment: From: Seat Requirement: Experience in investment, banking or securities community; Seat #: investment management, or any combination thereof [X]\*Reappointment [] New Appointment to complete the term of Due to: [] resignation other Completion of term to expire on: \*When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: Section II (Applicant): (Please Print) APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT Vame: First Middle Occupation/Affiliation: Owner [ ] Officer [ Business Name: Business Address: ity & State Zip Code: ity & State Iome Phone: Business Phone: ell Phone: mail Address: failing Address Preference: [ ] Business

[] Female

[] Asian-American

[] African-American

14 Caucasian

[ Residence

ave you ever been convicted of a felony: Yes

linority Identification Code:

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[] Native-American

Yes, state the court, nature of offense, disposition of case and date:

Male

[ ] Hispanic-American

CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

Contract/Transaction No.	Department/Division	Description of Services	<u>Term</u>
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	<u>10/01/00-09/30/2100</u>
			2700121100
And the same and t		*	-
	(Attach Addition	mal Sheet(s), if necessary) OR	
NONE		NOT APPLICABLE/ (Governmental Entity)	
web at: <a href="http://www.palmbeach">http://www.palmbeach</a> required before appointment, a  By signing below I ackr	countyethics.com/training.h  and upon reappointment.	ad and complete training on Article XIII ent. Article XIII, and the training requirem. Ethics training is on-going, and punderstand, and agree to abide by Article Ethics training (in the manner characteristics)	airement can be found on the pursuant to PPM CW-P-79 is
By wa		n the Web. DVD or VHS on JULY	•
	AND		
*Applicant's Signature: Any questions and/or concerns re	Prince Article VIII the Polin	nted Name: Stephen Freiller Beach County Code of Ethics, please viail at ethics@palmbeachcountyethics.co	1 Date: 7-8-16
	{Insert Liaison Name Here},	this FORM to: , {Insert Department/Division Here} Address Here)	
Section III (Commissioner, if ap	plicable):		
Appointment to be made	at BCC Meeting on:	1	
Commissioner's Signature:	the ) to -	Date:	VII.
Pursuant to Florida's Public Records Law,	this document may be reviewed and		Revised 02/01/2016

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#### STEPHEN H. FREILICH, CFA

7151 Grassy Bay Drive West Palm Beach, FL 33411 Phone (561) 827-2340

E-mail: sfreilich1127@comcast.net

#### Career Summary

Proven record of success in portfolio management and financial analysis. Demonstrated strength in maximizing investment performance with excellent communication skills. Experience encompasses:

- Fixed-Income Portfolio Management
- Relative Value Analysis of Fixed-Income Securities
- BondEdge and Bloomberg Expertise
- Investment Reporting and Risk Measurement

### **Professional Experience**

## SunTrust Bank

(May 2013-Present)

Wealth & Investment Management – Investment Advisory Group Senior Fixed Income Portfolio Manager

- Asset allocation and individual security selection for \$1.6B of domestic investment grade portfolios in multiple market sectors
- Directly manage over 150 client relationship including; insurance companies, public funds, endowments, not for profits, trusts and high net worth separately managed accounts
- Focus on duration analysis and yield curve positioning, relative value, sector exposure, credit quality and spread analysis, stress testing, risk management and compliance
- Benchmarks include the Barclays Aggregate, Intermediate Government/Credit, 1-5Year and other indices manage across the entire yield curve for total return and income mandates
- Created a corporate bond composite model portfolio and corporate relative value spreadsheets ranked by sector, credit quality, issuer and maturity range
- Highly proficient in the analysis of Mortgage Backed (MBS) and callable securities
- Proactive communication with team of credit analysts, traders, broker/dealers, economists and strategists
- Aid in policy creation and new business development
- Team manages \$3.4B with oversight of \$7.5B of individual fixed-income securities

#### Financial Consultant

(Aug 2011-May 2013)

Independent financial advisor

- Portfolio management and asset allocation advisory for public sector bond portfolios
- Develop cash flow models for start-up companies private placement memorandums
- Aid in the raise of debt and equity capital for a variety of business projects

#### South Florida Water Management District

(2002-2011)

#### Treasurer

Treasurer for one of the nation's largest flood control and water management agencies. A \$5 billion (assets) special taxing district with a \$1.5 billion annual budget. The District is responsible for the largest environmental restoration project in U.S. history. Responsible for managing the agencies debts, investments and banking. Reported directly to the CFO.

• Direct management of the District's \$850M fixed-income portfolio. (MBS, Agencies, Corporates)

- Extensive network of street contacts including commercial lenders, primary dealers, analyst and traders.
- Issuance and management of all debt consisting of; \$2B in bonding authorization, \$700M Certificates of Participation, \$200M bank loans, \$20M capital leases, \$20M lines of credit, Repo and reverse repo agreements.
- Raised debt capital through public finance banking syndicate, financial advisors, bond counsel, rating agency and bond insurers to secure optimal financing rate and terms. New money issues and refundings.
- Received the Bond Buyers 2007 "Deal of the Year Award" for creativity and ingenuity in debt issuance.
- Conducted due diligence, rating agency reviews and investor conference calls.
- Wrote, bid and negotiated RFP's for banking services, banking syndicate, financial advisors, software and treasury services.

## Clerk & Comptroller, Palm Beach County

(1998-2002)

(The Clerk maintains custody and oversight of all County funds. Palm Beach is a \$4.8 billion county with a \$3.8 billion budget)

#### Investment Analyst

- Developed and executed investment strategies for the \$1.4 billion domestic fixed-income portfolio.
- Monitored economic and financial market conditions, performed credit analysis of structured securities, added new asset classes and expanded investment research, analysis and reporting.
- Supervised cash management staff, monitored banking relations, and maintained oversight of all funds transfer and custodial activities. Wrote the performance summary and economic update for the investment newsletter.
- Efforts resulted in increased portfolio yield and consistent outperformance of the portfolio's benchmarks.

#### Capital Asset Research Corp.

(1996-1998)

(Formerly the nation's largest tax lien investment and servicing firm. Taken over by MBIA in 1998)

#### Foreclosure Manager

- Managed \$400 million of tax lien and land lease securitized private investment trusts.
- Developed the policies and procedures for a new division created to service municipal debt portfolios.
- Implemented all foreclosure activity from initial filing to disposition.
- Monitored commercial real estate market activity and prepared the investment summary and inventory reports.
- Primary liaison with foreclosure and bankruptcy attorneys, brokers, appraisers, bondholders and city officials.
- Exceeded forecasted cash redemptions resulting in accelerated payoff to investors.

## **Education and Credentials**

#### **Bachelor of Science in Finance**

George Mason University, Fairfax, Virginia (concentration in investment analysis and portfolio management)

#### **Chartered Financial Analyst**

Awarded by the CFA Institute

#### **Professional Associations**

Palm Beach County Investment Policy Committee (voting member - appointed 2003) (Includes oversight of the county's \$2 billion investment portfolio)

Financial Analyst Society of South Florida

CFA Institute