

PALM BEACH COUNTY
BOARD OF COUNTY COMMISSIONERS

BOARD APPOINTMENT SUMMARY

Meeting Date: September 27, 2016

Department:

Submitted By: Office of Financial Management and Budget
Submitted For: Investment Policy Committee

I. EXECUTIVE BRIEF

Motion & Title: Staff recommends motion to approve: One appointment to Seat No. 5 and re-appointments to Seats No. 2, 3 and 4 to the Investment Policy Committee, each for a three (3) year term.

A) Appoint to Seat No. 5

Nominee

Mark A. Parks, Jr.

Term

10/1/16 - 9/30/19

Nominated By

Vice Mayor Valeche
Commissioner Burdick
Commissioner Vana
Commissioner Taylor

OR

Stephen Martin Prielozny

10/1/16 - 9/30/19

Commissioner McKinlay

B) Reappoint to Seat No. 2

Nominee

Ned Roger Nashban

Term

10/1/19 - 9/30/19

Nominated By

Mayor Berger
Vice Mayor Valeche
Commissioner Burdick
Commissioner Vana
Commissioner Abrams
Commissioner McKinlay
Commissioner Taylor

Continued on Page 2

Summary: The Investment Policy Committee is comprised of seven (7) members, with four (4) being at-large appointments by the Board of County Commissioners (BCC), one being a representative appointed by the Sheriff, a member appointed from OFMB by the County Administrator, and the Chair being a member of the BCC, currently Vice Mayor Valeche. The three (3) year term of the incumbent BCC appointees will expire on September 30, 2016, which ends the first of three (3), three (3) year terms. One of the incumbents, Mr. William Moore, has requested not to be reappointed. Three (3) of the incumbents desire to be reappointed, thereby creating one (1) vacancy with their reappointments. Of the seats currently filled five (5) are white males (71.4%), one (1) black male (14.3%) and one (1) white female (14.3%). Countywide (PK)

Background and Justification: Per Ordinance No. 87-11, Section 9 (Investment Ordinance), approved June 9, 1987, Chapter 218.415, F.S., the Committee shall meet three times per annum, or at the call of the Chairman. The IPC shall address such issues as liquidity, risk diversification, safety of principal, yield, maturity, and investment quality, as well as qualifications of investment dealers and issuers, thereby suggesting guidelines for use in the investment of County funds.

Attachments:

Applications

Recommended by:

Department Director

Date

Legal Sufficiency:

Assistant County Attorney

Date

C) Reappoint to Seat No. 3

Nominee
Frederick Euclid Walker

Term
10/1/19 - 9/30/19

Nominated By
Mayor Berger
Vice Mayor Valeche
Commissioner Burdick
Commissioner Vana
Commissioner Abrams
Commissioner McKinlay
Commissioner Taylor

D) Reappoint to Seat No. 4

Nominee
Stephen Henry Freilich

Term
10/1/19 - 9/30/19

Nominated By
Mayor Berger
Vice Mayor Valeche
Commissioner Burdick
Commissioner Vana
Commissioner Abrams
Commissioner McKinlay
Commissioner Taylor

PALM BEACH COUNTY
BOARD OF COUNTY COMMISSIONERS
BOARDS/COMMITTEES APPLICATION

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Department): (Please Print)

Board Name: Investment Policy Committee Advisory ☒ Not Advisory ☐

☒ At Large Appointment or ☐ District Appointment /District #: _____

Term of Appointment: 3 Years. From: 10-01-2016 To: 09-30-2019

Seat Requirement: Private Sector Representative Seat #: 5

☐ *Reappointment or ☒ New Appointment

or ☐ to complete the term of _____ Due to: ☐ resignation ☒ other

Completion of term to expire on: _____

***When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: _____**

Section II (Applicant): (Please Print)

APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT

Name: Parks, Jr. Mark A
Last First Middle

Occupation/Affiliation: Director of Finance

Owner ☐ Employee ☒ Officer ☐

Business Name: City of West Palm Beach

Business Address: 401 Clematis Street

City & State West Palm Beach, FL Zip Code: 33401

Residence Address: 255 Evcnia Street #822

City & State West Palm Beach, FL Zip Code: 33401

Home Phone: 216-407-4973 Business Phone: 561-822-1322

Cell Phone: 216-407-4973 Fax: 561-822-1349

Email Address: mparks@wpb.org

Mailing Address Preference: ☒ Business ☐ Residence

Have you ever been convicted of a felony: Yes _____ No ☒

If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☐ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☒ African-American ☐ Caucasian

Section II Continued:

CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

<u>Contract/Transaction No.</u>	<u>Department/Division</u>	<u>Description of Services</u>	<u>Term</u>
<u>Example: (R#XX-XX/PO XX)</u>	<u>Parks & Recreation</u>	<u>General Maintenance</u>	<u>10/01/00-09/30/2100</u>

_____	_____	_____	_____
_____	_____	_____	_____

(Attach Additional Sheet(s), if necessary)
OR

NONE ☒

NOT APPLICABLE/ ☒
(Governmental Entity)

ETHICS TRAINING: All board members are required to read and complete training on Article XIII, the Palm Beach County Code of Ethics, and read the State Guide to the Sunshine Amendment, Article XIII, and the training requirement can be found on the web at: <http://www.palmbeachcountyethics.com/training.htm>. Ethics training is on-going, and pursuant to PPM CW-P-79 is required before appointment, and upon reappointment.

☒ By signing below I acknowledge that I have read, understand, and agree to abide by Article XIII, the Palm Beach County Code of Ethics, and I have received the required Ethics training (in the manner checked below):

☒ By watching the training program on the Web, DVD or VHS on June 10 2016
☐ By attending a live presentation given on _____, 20____

AND

☒ By signing below I acknowledge that I have read, understand and agree to abide by the Guide to the Sunshine Amendment & State of Florida Code of Ethics:

* Applicant's Signature: Mark A. Parks Jr. Printed Name: Mark A. Parks Jr. Date: 6-16-16

Any questions and/or concerns regarding Article XIII, the Palm Beach County Code of Ethics, please visit the Commission on Ethics website www.palmbeachcountyethics.com or contact us via email at ethics@palmbeachcountyethics.com or (561) 233-0724.

Return this FORM to:
{Insert Liaison Name Here}, {Insert Department/Division Here}
{Insert Address Here}

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on:

Commissioner's Signature: Helene Valadez Date: 7/21/16

Pursuant to Florida's Public Records Law, this document may be reviewed and photocopied by members of the public.

Revised 01/14/2014

**PALM BEACH COUNTY
BOARD OF COUNTY COMMISSIONERS
BOARDS/COMMITTEES APPLICATION**

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Section I (Department): (Please Print)

Board Name: Investment Policy Committee Advisory ☒ Not Advisory ☐
☐ At Large Appointment or ☐ District Appointment /District #: _____
Term of Appointment: 3 Years. From: 10-01-2016 To: 09-30-2019
Seat Requirement: Private Sector Representative Seat #: 5
☐ *Reappointment or ☒ New Appointment
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*When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: _____

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Last First Middle
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City & State West Palm Beach, FL Zip Code: 33401
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Cell Phone: 216-407-4973 Fax: 561-822-1349
Email Address: mparks@wpb.org
Mailing Address Preference: ☒ Business ☐ Residence
Have you ever been convicted of a felony: Yes _____ No ☒
If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☒ African-American ☐ Caucasian

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(Governmental Entity)

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*Applicant's Signature: Mark A. Parks Jr. Printed Name: Mark A. Parks Jr. Date: 6-16-16

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{Insert Address Here}

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on: _____

Commissioner's Signature: Paula Burdick Date: 7-25-2016

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Revised 01/14/2014

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Commissioner's Signature: Shelley L. Lora Date: 7/21/16

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{Insert Liaison Name Here}, {Insert Department/Division Here}
{Insert Address Here}

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on:

Commissioner's Signature: [Signature] Date: 7/27/16

MARK A. PARKS, JR., CPA, MBA

255 Evernia Street, Suite 822, West Palm Beach, FL 33401

Telephone: (216)407-4973 ♦ E-mail: mapcpagroup@gmail.com

Executive Leadership/Chief Financial Officer

Chief Fiscal Officer with a comprehensive knowledge of government finance and operations at the Federal, State, and Local levels. Proven ability to develop, oversee, and improve large complex governmental organizations. Results driven leader with a proven history of improving multi-faceted operations utilizing metrics and quantitative analysis.

QUALIFICATIONS AND ACCOMPLISHMENTS

Efficient performance with a high level of acumen in:

- Budget development, financial management, cash management, general accounting, taxation, internal controls, governmental operations, and small business consulting.
- Thorough knowledge of both GASB and FASB accounting
- Change management and organizational design
- Awarded the Government Finance Officers Association (GFOA) Distinguished Budget Presentation Award and the GFOA Certificate for Excellence in Financial Reporting

Technical Skills:

- Experience in financial systems selection and implementation
 - Microsoft Office Word, Excel, PowerPoint, Access, Publisher
 - Experienced using various accounting software packages, For Profit and Not For Profit
-

PROFESSIONAL EXPERIENCE

DIRECTOR OF FINANCE, City of West Palm Beach, West Palm Beach, FL 2015 - Present

- ♦ Responsible for all financial operations of the City
- ♦ Manage the sale of notes and bonds to finance major projects by coordinating information among bond counsel, underwriters' trustees, and banks
- ♦ Manage City funds, long-term and short-term investments of more than \$300 million

CHIEF FISCAL OFFICER, Cuyahoga County, Cleveland, OH 2013- 2015

- ♦ CFO with comprehensive knowledge of County finances and operations
- ♦ Serve as statutory County Auditor, Recorder, and Clerk of Courts (Title Division) as defined by the Ohio Revised Code
- ♦ Serve as Chief Fiscal Officer for the entire County, a \$1.5 billion governmental entity, overseeing financial operations, accounting, payroll, AP/AR, budgets, purchasing, property assessment, revenues and expenditures, investments, and debt offerings
- ♦ Manage the sale of notes and bonds by coordinating information among bond counsel, underwriters' trustees, and banks, including but not limited to participating on the \$465 million financing team for the Global Center for Health Innovation and Convention Center and the \$260 million Convention Center Hotel

TREASURER, Cuyahoga County, Cleveland, OH 2012- 2013

- ♦ Performed all functions of the County Treasurer for a \$1.5 billion governmental entity
- ♦ Managed the collection of over \$1.0 billion in taxes annually
- ♦ Managed County funds, long-term and short-term investments of more than \$800 million
- ♦ Disbursed tax revenues to school districts, municipalities, libraries, and other taxing subdivisions
- ♦ Administered the monthly installment and automatic bill payment programs to make paying property taxes more convenient and less burdensome

DIRECTOR OF SPECIAL PROJECTS, Cuyahoga County, Cleveland, OH

2012- 2013

- ◆ Managed a process called CountyStat to quantitatively evaluate the operations of the Fiscal Office and determine corrective actions
- ◆ Assisted the Chief Fiscal Officer with organization and consolidation of the new fiscal office, including but not limited to organizational structure, physical plant, and accounting structure
- ◆ Served as controller for the entire County, overseeing financial operations, accounting, payroll, AP/AR, reviewing and preparing budgets, monitoring revenues and expenditures, and implementing corrective actions
- ◆ Evaluated the effectiveness and challenges of the newly formed government and recommend structural changes
- ◆ Evaluated staffing for effectiveness and efficiency and recommend changes
- ◆ Implemented new Charter requirements for reformed County government

CHIEF FISCAL OFFICER/TREASURER (Acting), Cuyahoga County, Cleveland, OH

2011- 2012

- ◆ Began financial transition from former County Commissioner form of government to Executive form of government by facilitating the consolidation of three formerly elected officials' offices and four departments into one financial enterprise
- ◆ Developed and implemented strategies to bring together a very diverse group of employees, many of whom had never worked together before
- ◆ Began the elimination of redundancies that were inherent in the former form of government
- ◆ Performed all functions of the Chief Financial Officer and Treasurer for a \$1.5 billion governmental entity
- ◆ Managed the entire financial enterprise for the County
- ◆ Implemented new Charter requirements for reformed County government

FINANCIAL SYSTEM ADMINISTRATOR, Cuyahoga County, Cleveland, OH

2010 - 2011

- ◆ Performed development of operating budget, monitored revenue estimates for the General Fund and Health & Human Services Levies and debt service
- ◆ Assisted in the sale of notes and bonds by coordinating information among bond counsel, underwriters, trustees, and banks, including participating on the \$465 million financing team of the Cleveland Medical Mart and Convention Center
- ◆ Facilitated maintaining the County's note and bond ratings
- ◆ Performed capital management duties, developed indirect cost plan, and evaluated proposals for new or expanded projects
- ◆ Provided computer software technical support and assistance to end-users in County departments

BUDGET MANAGEMENT ANALYST, Board of County Commissioners, Cleveland, OH

2005 - 2010

- ◆ Developed, prepared and monitored \$1.5 billion budget for the Board of County Commissioners (BOCC)
- ◆ Analyzed fiscal activities of assigned departments and make forecasts based on expenditure patterns
- ◆ Performed fiscal management functions for BOCC agencies

ADJUNCT INSTRUCTOR, Cuyahoga Community College, Cleveland, OH

2007 - 2011

- ◆ Teach basic, intermediate, governmental, and advanced principles of accounting courses
- ◆ Prepare students for life in the business world

CHIEF FINANCIAL OFFICER, Lake Metropolitan Housing Authority, Painesville, OH

2002 - 2005

- ◆ Managed and performed all fiscal duties including: cash management, investments, payroll, accounts receivable, accounts payable, taxes, budgeting, forecasting and general accounting
- ◆ Automated the accounting processes and implemented changes that increased efficiency

STATUTORY CUYAHOGA COUNTY BOARDS AND COMMISSIONS

- ◆ Board of Control
- ◆ Contracts and Purchasing Board
- ◆ Cuyahoga County Audit Committee
- ◆ Board of Revision
- ◆ Budget Commission
- ◆ Cuyahoga County Community Improvement Corporation
- ◆ Cuyahoga County Land Reutilization Corporation (Land Bank)
- ◆ Tax Incentive Review Board
- ◆ Cuyahoga County Investment Advisory Committee

CIVIC ENGAGEMENT

VILLAGE COUNCIL PRESIDENT & FINANCE COMMITTEE CHAIRMAN, Orange Village, OH 2005, 2008-2013

The President of Council is responsible for presiding over all Council meetings, appointing all committee chairs, and serving as acting Mayor in the Mayor's absence or in the event of incapacity. The Finance chair is responsible for choosing and presiding over a committee to scrutinize the financial records and transactions of the Village.

COMMUNITY ACTIVITIES

- ◆ 2011 – 2015: Orange Schools Vision and Strategic Planning Committee
- ◆ 2010 - 2015: Lander Circle Kiwanis Club
- ◆ 2010 - 2011: Representative for the Cuyahoga County Transition Team for Finance

EDUCATION AND LICENSURE(S)

University of Phoenix

Masters of Business Administration Degree, concentration in *e-Business*, July 2006

- ◆ 3.88 grade point average on a 4.0 scale

Cleveland State University

Completed coursework equivalent to an accounting major

- ◆ 3.4 grade point average on a 4.0 scale in accounting
- ◆ Completed Cleveland State Leadership Academy in 2008

Baldwin-Wallace University

Bachelor of Arts Degree with a dual major in Business Administration and Political Science

President's Council Foundation

Emerging Entrepreneurs Class of 2005

Passed:

- ◆ Life, Accident & Health and Variable Products exam
- ◆ Passed Securities Series 6, 63, and Series 26
- ◆ Passed Ohio Certified Public Accountants Examination

**PALM BEACH COUNTY
BOARD OF COUNTY COMMISSIONERS
BOARDS/COMMITTEES APPLICATION**

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Department): (Please Print)

Board Name: INVESTMENT POLICY COMMITTEE Advisory ☒ Not Advisory ☐
☒ At Large Appointment or ☐ District Appointment / District #: _____
Term of Appointment: 3 Years. From: 10/1/16 To: 9/30/19
Seat Requirement: Experience in investment, banking or securities community;
investment management, or any combination thereof Seat #: 5
☐ *Reappointment or ☒ New Appointment
or ☐ to complete the term of _____ Due to: ☐ resignation ☐ other
Completion of term to expire on: _____

*When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: _____

Section II (Applicant): (Please Print)

APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT

Name: Prieleaux Stephen Martin
Last First Middle
Occupation/Affiliation: Banker
Owner ☐ Employee ☐ Officer ☒ Pres/CEO
Business Name: Bank of Belle Glade
Business Address: 108 SE Ave D
City & State: Belle Glade, FL Zip Code: 33430
Residence Address: 833 N.E. 18th St.
City & State: Belle Glade, FL Zip Code: 33430
Home Phone: 661 992 5779 Business Phone: 661 Ext: 996 6711
Cell Phone: 661 261 0657 Fax: 661 996 6558
Email Address: Steve@bankbg.com
Mailing Address Preference: ☒ Business ☐ Residence
Have you ever been convicted of a felony: Yes _____ No ☒
If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☐ African-American ☒ Caucasian

Section II Continued:

CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids; certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

Contract/Transaction No.	Department/Division	Description of Services	Term
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
_____	_____	_____	_____
_____	_____	_____	_____
(Attach Additional Sheet(s), if necessary)			
OR			
NONE	<input checked="" type="checkbox"/>	NOT APPLICABLE/ (Governmental Entity)	<input type="checkbox"/>

ETHICS TRAINING: All board members are required to read and complete training on Article XIII, the Palm Beach County Code of Ethics, and read the State Guide to the Sunshine Amendment, Article XIII, and the training requirement can be found on the web at: <http://www.palmbeachcountylethics.com/training.htm>. Ethics training is on-going, and pursuant to PPM CW-P-79 is required before appointment, and upon reappointment.

By signing below I acknowledge that I have read, understand, and agree to abide by Article XIII, the Palm Beach County Code of Ethics, and I have received the required Ethics training (in the manner checked below):

☒ By watching the training program on the Web, DVD or VHS on 7.29 2016
☐ By attending a live presentation given on _____, 20____

AND

By signing below I acknowledge that I have read, understand and agree to abide by the Guide to the Sunshine Amendment & State of Florida Code of Ethics:

*Applicant's Signature: [Signature] Printed Name: Stephen M. Price Date: 7.29.16

Any questions and/or concerns regarding Article XIII, the Palm Beach County Code of Ethics, please visit the Commission on Ethics website www.palmbeachcountylethics.com or contact us via email at ethics@palmbeachcountylethics.com or (561) 355-1915.

Return this FORM to:
 Irwin L Jacobowitz, J.D., OFMB/CDC
 301 N. Olive Ave., #701.5, West Palm Beach, FL 33401

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on: _____

Commissioner's Signature: Melissa McKeel Date: 8/9/16

Pursuant to Florida's Public Records Law, this document may be reviewed and photocopied by members of the public.

Revised 02/01/2016

August 2, 2016

Biography

Stephen M. Prielozny
833 NE 18th St
Belle Glade, FL 33430

Experience:

- | | |
|----------------|---|
| 1/98 – Present | Bank of Belle Glade
Belle Glade, FL 33430

President & CEO/Director |
| 5/97-12/97 | First Community Bank of Henry County
McDonough, GA

Executive Vice President, my duties included commercial and retail lending, risk management, asset/liability management, marketing, business development and in general: overseeing the everyday operation of the Bank. |
| 4/92-1/97 | First American Bank of Walton County
Santa Rosa Beach, FL

As President & CEO and Director my duties included all aspects of managing an independently owned bank. FAB was located in the rapidly growing resort area of Destin, Florida. |
| 3/72-10/91 | Synovus Financial Corporation
Columbus, GA

During this 19 year period of time I served in the following capacities:

7/88-10/91 President, CEO, and Director of the Fort Rucker National Bank, Fort Rucker, AL

3/88-6/88 Vice President, CB&T BankCard Center, managing 150 officers and employees, and \$84 million in Visa and MasterCard account receivables.

8/81-2/88 Vice President, CB&T Correspondent Banking Department. Duties included loan participations, credit card agent bank relationships, international services, and other wholesale banking products and services to correspondent and affiliate banks located in Georgia, Alabama, and the Florida panhandle.

6/77-7/81 Assistant Vice President, CB&T Banking Division. Managing three, at various times, of the thirteen branches. |

9/76/5/77 Auditing Officer, CB&T Audit Division. Responsibilities included director audits of various correspondent banks.

12/72-8/76 BankCard Center Collector

3/72-11/72 Teller

Education:

- 1987 Graduated from the Graduate School Banking of the South, Louisiana State University.
- 1985 Graduated from the Commercial Lending School, University of Georgia.
- 1983 Certificate of Completion Robert Morris Associates Omega Commercial Loan Program.
- 1981 Graduated from the Georgia Banking School, University of Georgia.
- 1976 Graduated from Columbus College, Columbus, Georgia, with a BS Degree in Business Administration.
- 1968 Graduated from the Frankfurt American High School. Frankfurt, Germany.

Military Service:

11/69-10/71 United States Army, Vietnam Veteran
Rank – Sgt. E-5 Top Secret Crypto Clearance
M. O. S. – Data Communications Com-Center Specialist
Citations and Medals
Outstanding Trainee – Basic Training
Highest Score on I. P. T. – Basic Training
Good Conduct Medal
Army Commendation Medal
Vietnam Service Medal

Other Business Related:

Western Palm Beach County Farm Bureau
Board Member

Glades ARC
Board Member

Belle Glade Chamber of Commerce
Board Member

Belle Glade Leadership
Board Member

Central Palm Beach County Chamber of Commerce
Trustee

PALM BEACH COUNTY
BOARD OF COUNTY COMMISSIONERS
BOARDS/COMMITTEES APPLICATION

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or ☐ to complete the term of _____ Due to: ☐ resignation ☐ other

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Name: NASHBAW N SON
Last First Middle

Occupation/Affiliation: ATTORNEY
Owner ☒ / PARTNER Employee ☐ Officer ☐

Business Name: GLASER WEIL FINK HOWARD AUCHSON + SHAPIRO, LLP

Business Address: 6751 N. FEDERAL Hwy, Suite 301
City & State: BOCA RATON, FL Zip Code: 33487

Residence Address: 6003 NW 23rd way
City & State: BOCA RATON, FL Zip Code: 33486

Home Phone: 561 995-8599 Business Phone: 310 282-6210 Ext.

Cell Phone: 561 358-8230 Fax: 310 785-3570

Email Address: nnashba@glaserweil.com

Mailing Address Preference: ☒ Business ☐ Residence

Have you ever been convicted of a felony: Yes _____ No ☒

If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☐ African-American ☒ Caucasian

Section II Continued:

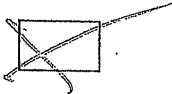
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<u>Contract/Transaction No.</u>	<u>Department/Division</u>	<u>Description of Services</u>	<u>Term</u>
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_____	_____	_____	_____
_____	_____	_____	_____

(Attach Additional Sheet(s), if necessary)
OR

NONE



NOT APPLICABLE/
(Governmental Entity)



ETHICS TRAINING: All board members are required to read and complete training on Article XIII, the Palm Beach County Code of Ethics, and read the State Guide to the Sunshine Amendment. Article XIII, and the training requirement can be found on the web at: <http://www.palmbeachcountyethics.com/training.htm>. Ethics training is on-going, and pursuant to PPM CW-P-79 is required before appointment, and upon reappointment.

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By watching the training program on the Web, DVD or VHS on JUN 18 2016
By attending a live presentation given on _____, 20____

AND

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*Applicant's Signature: [Signature] Printed Name: NED R. WASHBURN Date: _____

Any questions and/or concerns regarding Article XIII, the Palm Beach County Code of Ethics, please visit the Commission on Ethics website www.palmbeachcountyethics.com or contact us via email at ethics@palmbeachcountyethics.com or (561) 355-1915.

Return this FORM to:
Irwin L Jacobowitz, J.D., OFMB/CDC
301 N. Olive Ave., #701.5, West Palm Beach, FL 33401

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on: _____

Commissioner's Signature: [Signature] Date: 9-15-16

Pursuant to Florida's Public Records Law, this document may be reviewed and photocopied by members of the public. Revised 02/01/2016

BOARD OF COUNTY COMMISSIONERS
BOARDS/COMMITTEES APPLICATION

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Department): (Please Print)

Board Name: INVESTMENT POLICY COMMITTEE Advisory ☒ Not Advisory ☐

☒ At Large Appointment or ☐ District Appointment /District #: _____

Term of Appointment: 3 Years. From: 10/1/16 To: 9/30/19

Seat Requirement: Experience in investment, banking or securities community; investment management, or any combination thereof Seat #: _____

☒ *Reappointment or ☐ New Appointment

or ☐ to complete the term of _____ Due to: ☐ resignation ☐ other

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*When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: 0

Section II (Applicant): (Please Print)

APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT

Name: NASHAW N ROSEN
Last First Middle

Occupation/Affiliation: ATTORNEY
Owner ☒ /PARTNER Employee ☐ Officer ☐

Business Name: GLASER WEIL FINE HOWARD AUCHIN + SHAPIRO, LLP

Business Address: 6751 N. FEDERAL Hwy, Suite 301
City & State: BOCA RATON, FL Zip Code: 33487

Residence Address: 6003 NW 23rd way
City & State: BOCA RATON, FL Zip Code: 33496

Home Phone: 561 995-8599 Business Phone: 310 282-6210 Ext.

Cell Phone: 561 358-8230 Fax: 310 785-3770

Email Address: nnashaw@glaserweil.com

Mailing Address Preference: ☒ Business ☐ Residence

Have you ever been convicted of a felony: Yes _____ No ☒

If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☐ African-American ☒ Caucasian

CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

<u>Contract/Transaction No.</u>	<u>Department/Division</u>	<u>Description of Services</u>	<u>Term</u>
<u>Example: (R#XX-XX/PO XX)</u>	<u>Parks & Recreation</u>	<u>General Maintenance</u>	<u>10/01/00-09/30/2100</u>
_____	_____	_____	_____
_____	_____	_____	_____
(Attach Additional Sheet(s), if necessary) OR			
NONE	<input checked="" type="checkbox"/>	NOT APPLICABLE/ (Governmental Entity)	<input type="checkbox"/>

ETHICS TRAINING: All board members are required to read and complete training on Article XIII, the Palm Beach County Code of Ethics, and read the State Guide to the Sunshine Amendment, Article XIII, and the training requirement can be found on the web at: <http://www.palmbeachcountyethics.com/training.htm>. Ethics training is on-going, and pursuant to PPM CW-P-79 is required before appointment, and upon reappointment.

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☐ By attending a live presentation given on _____, 20____

AND

By signing below I acknowledge that I have read, understand and agree to abide by the Guide to the Sunshine Amendment & State of Florida Code of Ethics:

*Applicant's Signature: [Signature] Printed Name: NED R. WASHBURN Date: _____

Any questions and/or concerns regarding Article XIII, the Palm Beach County Code of Ethics, please visit the Commission on Ethics website www.palmbeachcountyethics.com or contact us via email at ethics@palmbeachcountyethics.com or (561) 355-1915.

Return this FORM to:
Irwin L Jacobowitz, J.D., OFMB/CDC
301 N. Olive Ave., #701.5, West Palm Beach, FL 33401

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on:

Commissioner's Signature: [Signature] Date: 7/25/16

BOARD OF COUNTY COMMISSIONERS
BOARDS/COMMITTEES APPLICATION

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Board Name: INVESTMENT POLICY COMMITTEE Advisory ☒ Not Advisory ☐

☒ At Large Appointment or ☐ District Appointment /District #: _____

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APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT

Name: NASHAWAN A/ST ROBER
Last First Middle

Occupation/Affiliation: ATTORNEY
Owner ☒ /PARTNER Employee ☐ Officer ☐

Business Name: GLASER WEIL FINE HOWARD AUCHINCLOSS & SHAPIRO, LLP

Business Address: 6751 N. FEDERAL HWY, SUITE 301
City & State: BOCA RATON, FL Zip Code: 33487

Residence Address: 6003 NW 23RD WAY
City & State: BOCA RATON, FL Zip Code: 33486

Home Phone: 561 995-8599 Business Phone: 310 282-6210 Ext.

Cell Phone: 561 358-8230 Fax: 310 785-9700

Email Address: nashawan@glaserweil.com

Mailing Address Preference: ☒ Business ☐ Residence

Have you ever been convicted of a felony: Yes _____ No ☒

If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☐ African-American ☒ Caucasian

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*Applicant's Signature: [Signature] Printed Name: WSD R. WASHBURN Date: _____

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301 N. Olive Ave., #701.5, West Palm Beach, FL 33401

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on: _____

Commissioner's Signature: [Signature] Date: 2-8-2016

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Revised 02/01/2016

BOARD OF COUNTY COMMISSIONERS
BOARDS/COMMITTEES APPLICATION

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Minority Identification Code: ☒ Male ☐ Female
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Section I (Department): (Please Print)

Board Name: INVESTMENT POLICY COMMITTEE Advisory ☒ Not Advisory ☐
☒ At Large Appointment or ☐ District Appointment /District #: _____
Term of Appointment: 3 Years. From: 10/1/16 To: 9/30/19
Seat Requirement: Experience in investment, banking or securities community; investment management, or any combination thereof Seat #: 2
☒ *Reappointment or ☐ New Appointment
or ☐ to complete the term of _____ Due to: ☐ resignation ☐ other
Completion of term to expire on: _____

*When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: 0

Section II (Applicant): (Please Print)

APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT

Name: NASHAW N ROSEN
Last First Middle
Occupation/Affiliation: ATTORNEY
Owner ☒ /PARTNER Employee ☐ Officer ☐
Business Name: GLASER WEIL FINE HOWARD AUCHIN + SHAPIRO, LLP
Business Address: 6751 N. FEDERAL Hwy, Suite 301
City & State: BOCA RATON, FL Zip Code: 33487
Residence Address: 6003 NW 23rd way
City & State: BOCA RATON, FL Zip Code: 33496
Home Phone: 561 995-8599 Business Phone: 310 282-6210 Ext.
Cell Phone: 561 358-8230 Fax: 310 785-3570
Email Address: nnashaw@glaserweil.com
Mailing Address Preference: ☒ Business ☐ Residence
Have you ever been convicted of a felony: Yes _____ No ☒
If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☐ African-American ☒ Caucasian

Section II Continued:

CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

<u>Contract/Transaction No.</u>	<u>Department/Division</u>	<u>Description of Services</u>	<u>Term</u>
<u>Example: (R#XX-XX/PO XX)</u>	<u>Parks & Recreation</u>	<u>General Maintenance</u>	<u>10/01/00-09/30/2100</u>
_____	_____	_____	_____
_____	_____	_____	_____
(Attach Additional Sheet(s), if necessary)			
OR			
NONE	<input checked="" type="checkbox"/>	NOT APPLICABLE/ (Governmental Entity)	<input type="checkbox"/>

ETHICS TRAINING: All board members are required to read and complete training on Article XIII, the Palm Beach County Code of Ethics, and read the State Guide to the Sunshine Amendment. Article XIII, and the training requirement can be found on the web at: <http://www.palmbeachcountyethics.com/training.htm>. Ethics training is on-going, and pursuant to PPM CW-P-79 is required before appointment, and upon reappointment.

By signing below I acknowledge that I have read, understand, and agree to abide by Article XIII, the Palm Beach County Code of Ethics, and I have received the required Ethics training (in the manner checked below):

☒ By watching the training program on the Web, DVD or VHS on JUNE 18 2016
☐ By attending a live presentation given on _____, 20____

AND

By signing below I acknowledge that I have read, understand and agree to abide by the Guide to the Sunshine Amendment & State of Florida Code of Ethics:

*Applicant's Signature: [Signature] Printed Name: NED R. WASHBURN Date: _____

Any questions and/or concerns regarding Article XIII, the Palm Beach County Code of Ethics, please visit the Commission on Ethics website www.palmbeachcountyethics.com or contact us via email at ethics@palmbeachcountyethics.com or (561) 355-1915.

Return this FORM to:
Irwin L Jacobowitz, J.D., OFMB/CDC
301 N. Olive Ave., #701.5, West Palm Beach, FL 33401

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on:

Commissioner's Signature: S. Abrams ☒ Date: 7/21/16

Pursuant to Florida's Public Records Law, this document may be reviewed and photocopied by members of the public.

Revised 02/01/2016

BOARD OF COUNTY COMMISSIONERS
BOARDS/COMMITTEES APPLICATION

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Department): (Please Print)

Board Name: INVESTMENT POLICY COMMITTEE Advisory ☒ Not Advisory ☐

☒ At Large Appointment or ☐ District Appointment /District #: _____

Term of Appointment: 3 Years. From: 10/1/16 To: 9/30/19

Seat Requirement: Experience in investment, banking or securities community; investment management, or any combination thereof Seat #: _____

☒ *Reappointment or ☐ New Appointment

or ☐ to complete the term of _____ Due to: ☐ resignation ☐ other

Completion of term to expire on: _____

*When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: 0

Section II (Applicant): (Please Print)

APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT

Name: NASHBAM NED ROBER
Last First Middle

Occupation/Affiliation: ATTORNEY
Owner ☒ /PARTNER Employee ☐ Officer ☐

Business Name: GLASER WEIL FINK HOWARD AUCHINCLOSS + SHAPIRO, LLP

Business Address: 6751 N. FEDERAL Hwy, SUITE 301
City & State: BOCA RATON, FL Zip Code: 33487

Residence Address: 6003 NW 23rd way
City & State: BOCA RATON, FL Zip Code: 33496

Home Phone: 561 995-8599 Business Phone: 310 282-6210 Ext.

Cell Phone: 561 358-8230 Fax: 310 785-9570

Email Address: nnashbam@glaserweil.com

Mailing Address Preference: ☒ Business ☐ Residence

Have you ever been convicted of a felony: Yes _____ No ☒
If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☐ African-American ☒ Caucasian

CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

Contract/Transaction No.	Department/Division	Description of Services	Term
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
_____	_____	_____	_____
_____	_____	_____	_____
(Attach Additional Sheet(s), if necessary)			
OR			
NONE	<input checked="" type="checkbox"/>	NOT APPLICABLE/ (Governmental Entity)	<input type="checkbox"/>

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AND

By signing below I acknowledge that I have read, understand and agree to abide by the Guide to the Sunshine Amendment & State of Florida Code of Ethics:

*Applicant's Signature: [Signature] Printed Name: NED R. WASHBURN Date: _____

Any questions and/or concerns regarding Article XIII, the Palm Beach County Code of Ethics, please visit the Commission on Ethics website www.palmbeachcountyethics.com or contact us via email at ethics@palmbeachcountyethics.com or (561) 355-1915.

Return this FORM to:
Irwin L Jacobowitz, J.D., OFMB/CDC
301 N. Olive Ave., #701.5, West Palm Beach, FL 33401

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on: _____

Commissioner's Signature: Melisse McKelvey Date: 7/27/16

BOARD OF COUNTY COMMISSIONERS
BOARDS/COMMITTEES APPLICATION

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Section I (Department): (Please Print)

Board Name: INVESTMENT POLICY COMMITTEE Advisory ☒ Not Advisory ☐

☒ At Large Appointment or ☐ District Appointment /District #: _____

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Seat Requirement: Experience in investment, banking or securities community; investment management, or any combination thereof Seat #: _____

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or ☐ to complete the term of _____ Due to: ☐ resignation ☐ other

Completion of term to expire on: _____

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Section II (Applicant): (Please Print)
APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT

Name: NASHBAM N SON ROBSON
Last First Middle

Occupation/Affiliation: ATTORNEY
Owner ☒ /PARTNER Employee ☐ Officer ☐

Business Name: GLASER WEIL FINK HOWARD AUCHSON + SHAPIRO, LLP

Business Address: 6751 N. FEDERAL HWY, SUITE 301

City & State: BOCA RATON, FL Zip Code: 33487

Residence Address: 6003 NW 23RD WAY

City & State: BOCA RATON, FL Zip Code: 33496

Home Phone: 561 995-8599 Business Phone: 310 282-6210 Ext.

Cell Phone: 561 358-8230 Fax: 310 785-3570

Email Address: nnashbam@glaserweil.com

Mailing Address Preference: ☒ Business ☐ Residence

Have you ever been convicted of a felony: Yes _____ No ☒

If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☐ African-American ☒ Caucasian

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(Attach Additional Sheet(s), if necessary)			
OR			
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301 N. Olive Ave., #701.5, West Palm Beach, FL 33401

Section III (Commissioner, if applicable):
Appointment to be made at BCC Meeting on: _____
Commissioner's Signature: [Signature] Date: 7/27/16

Glaser Weil

Your Powerhouse



t: 561-358-8230
f: 561-982-9878
nnashban@glaserweil.com

Ned Nashban

Partner

Ned Nashban, a partner in Glaser Weil's Litigation Department, has represented clients in the area of commercial litigation, business law, bankruptcy or creditor's rights and problem loan workouts for more than three decades.

Mr. Nashban's practice focuses on prosecuting or defending litigation in or related to bankruptcy cases, including preference and fraudulent transfer claims, non-dischargeability litigation and the representation of purchasers of assets out of bankruptcy estates. He has also developed a substantial global maritime and admiralty practice in the areas of products liability, warranty claims, contract issues, dealership terminations and corporate transactional work. He works with clients worldwide, including those in the United Kingdom, Brazil, Mexico, Canada, China, Italy and Israel.

Prior to joining Glaser Weil, Mr. Nashban was a principal at Akerman LLP and Greenberg Traurig LLP. Mr. Nashban is actively involved as a member of State of Israel Bonds' National Board of Directors, an international organization offering securities issued by the Israeli government. He is also a member of the Palm Beach County Bar Association, the Broken Sound Club, Bankruptcy Bar of South Florida, American Bar Association and Commercial Law League of America.

Mr. Nashban earned both his B.A. and his J.D. from the University of Wisconsin. He is admitted to practice law in Florida and Wisconsin.

Practice Areas

Litigation

Business Litigation

General Commercial Litigation & Contract Disputes

Education

University of Wisconsin, J.D.

University of Wisconsin, B.A., Political Science and Government

Federal and Patent Bar Admissions

U.S. Supreme Court

State Bar Admissions

Florida

Wisconsin

Associations

State Bar of Florida

State Bar of Wisconsin

Israel Bonds - Board of Directors and National Campaign Advisory Board

Palm Beach County Investment Policy Committee

Broken Sound Club - Grievance Committee member

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BOARDS/COMMITTEES APPLICATION

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Section I (Department): (Please Print)

Board Name: INVESTMENT POLICY COMMITTEE Advisory ☒ Not Advisory ☐

☒ At Large Appointment or ☐ District Appointment /District #: _____

Term of Appointment: 3 Years. From: 10/1/16 To: 9/30/19

Seat Requirement: Experience in investment, banking or securities community; investment management, or any combination thereof Seat #: _____

☒ *Reappointment or ☐ New Appointment

or ☐ to complete the term of _____ Due to: ☐ resignation ☐ other

Completion of term to expire on: _____

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Section II (Applicant): (Please Print)

APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT

Name: Walker Frederick Euclid

Occupation/Affiliation: Asset Management

Owner ☐ Employee ☐ Officer ☒

Business Name: Parkway Investment Management, LLC

Business Address: _____

City & State: _____ Zip Code: _____

Residence Address: 5569 Sea Biscuit Road

City & State: Palm Beach Gardens, FL Zip Code: 3418

Home Phone: () Business Phone: (561) 244-9906 Ext. _____

Cell Phone: () Fax: ()

Email Address: euclid.walker@parkwayIM.com

Mailing Address Preference: ☐ Business ☒ Residence

Have you ever been convicted of a felony: Yes _____ No X

If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female

☐ Native-American ☐ Hispanic-American ☐ Asian-American ☒ African-American ☐ Caucasian

board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

<u>Contract/Transaction No.</u>	<u>Department/Division</u>	<u>Description of Services</u>	<u>Term</u>
<u>Example: (R#XX-XX/PO XX)</u>	<u>Parks & Recreation</u>	<u>General Maintenance</u>	<u>10/01/00-09/30/2100</u>
_____	_____	_____	_____
_____	_____	_____	_____
(Attach Additional Sheet(s), if necessary)			
OR			
NONE	<input checked="" type="checkbox"/>	NOT APPLICABLE/ (Governmental Entity)	<input type="checkbox"/>

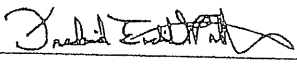
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AND

By signing below I acknowledge that I have read, understand and agree to abide by the Guide to the Sunshine Amendment & State of Florida Code of Ethics:

*Applicant's Signature:  Printed Name: Frederick Euclid Walker Date: July 11, 2016

Any questions and/or concerns regarding Article XIII, the Palm Beach County Code of Ethics, please visit the Commission on Ethics website www.palmbeachcountyethics.com or contact us via email at ethics@palmbeachcountyethics.com or (561) 355-1915.

Return this FORM to:
{Insert Liaison Name Here}, {Insert Department/Division Here}
{Insert Address Here}

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on: _____

Commissioner's Signature:  Date: 7-15-16

Pursuant to Florida's Public Records Law, this document may be reviewed and photocopied by members of the public.

Revised 02/01/2016

Section I (Department): (Please Print)

☒ At Large Appointment or ☐ District Appointment / District #: _____

Seat Requirement: Experience in investment, banking or securities community; investment management, or any combination thereof Seat #:

or [] to complete the term of _____ Due to: [] resignation [] other

Section II (Applicant): (Please Print)

Name: Walker Frederick Euclid

Last First Middle

Business Name: Parkway Investment Management, LLC

Business Address: _____

City & State _____ Zip Code: _____

Residence Address: 5569 Sea Biscuit Road

City & State Palm Beach Gardens, FL Zip Code: 3418

Home Phone: () Business Phone: (561) 244-9906 Ext. _____

Cell Phone: () Fax: ()

Email Address: euclid.walker@parkwayIM.com

Mailing Address Preference: ☐ Business ☒ Residence

Have you ever been convicted of a felony: Yes _____ No ☒

If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female
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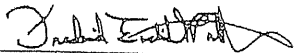
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AND

By signing below I acknowledge that I have read, understand and agree to abide by the Guide to the Sunshine Amendment & State of Florida Code of Ethics:

Applicant's Signature:  Printed Name: Frederick Euclid Walker Date: July 11, 2016

Any questions and/or concerns regarding Article XIII, the Palm Beach County Code of Ethics, please visit the Commission on Ethics website www.palmbeachcountyethics.com or contact us via email at ethics@palmbeachcountyethics.com or (561) 355-1915.

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{Insert Liaison Name Here}, {Insert Department/Division Here}
{Insert Address Here}

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Revised 02/01/2016

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☒ At Large Appointment or ☐ District Appointment /District #: _____

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Name: Walker Frederick Euclid
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Owner ☐ Employee ☐ Officer ☒

Business Name: Parkway Investment Management, LLC

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City & State _____ Zip Code: _____

Residence Address: 5569 Sea Biscuit Road

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Home Phone: () Business Phone: (561) 244-9906 Ext. _____

Cell Phone: () Fax: ()

Email Address: euclid.walker@parkwayIM.com

Mailing Address Preference: ☐ Business ☒ Residence

Have you ever been convicted of a felony: Yes _____ No ☒
If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☒ African-American ☐ Caucasian

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OR			
NONE	<input checked="" type="checkbox"/>	NOT APPLICABLE/ (Governmental Entity)	<input type="checkbox"/>

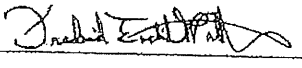
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By signing below I acknowledge that I have read, understand, and agree to abide by Article XIII, the Palm Beach County Code of Ethics, and I have received the required Ethics training (in the manner checked below):

☒ By watching the training program on the Web, DVD or VHS on _____ 20____
☐ By attending a live presentation given on _____, 20____

AND

By signing below I acknowledge that I have read, understand and agree to abide by the Guide to the Sunshine Amendment & State of Florida Code of Ethics:

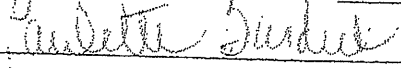
*Applicant's Signature:  Printed Name: Frederick Euclid Walker Date: July 11, 2016

Any questions and/or concerns regarding Article XIII, the Palm Beach County Code of Ethics, please visit the Commission on Ethics website www.palmbeachcountyethics.com or contact us via email at ethics@palmbeachcountyethics.com or (561) 355-1915.

Return this FORM to:
{Insert Liaison Name Here}, {Insert Department/Division Here}
{Insert Address Here}

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on: _____

Commissioner's Signature:  Date: 8/8/16

Pursuant to Florida's Public Records Law, this document may be reviewed and photocopied by members of the public.

Revised 02/01/2016

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Department): (Please Print)

Board Name: INVESTMENT POLICY COMMITTEE Advisory ☒ Not Advisory ☐

☒ At Large Appointment or ☐ District Appointment /District #: _____

Term of Appointment: 3 Years. From: 10/1/16 To: 9/30/19

Seat Requirement: Experience in investment, banking or securities community; investment management, or any combination thereof Seat #: _____

☒ *Reappointment or ☐ New Appointment

or ☐ to complete the term of _____ Due to: ☐ resignation ☐ other

Completion of term to expire on: _____

*When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: _____

Section II (Applicant): (Please Print)
APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT

Name: Walker Frederick Euclid
Last First Middle

Occupation/Affiliation: Asset Management
Owner ☐ Employee ☐ Officer ☒

Business Name: Parkway Investment Management, LLC

Business Address: _____

City & State: _____ Zip Code: _____

Residence Address: 5569 Sea Biscuit Road
City & State: Palm Beach Gardens, FL Zip Code: 3418

Home Phone: () Business Phone: (561) 244-9906 Ext. _____

Cell Phone: () Fax: ()

Email Address: euclid.walker@parkwayIM.com

Mailing Address Preference: ☐ Business ☒ Residence

Have you ever been convicted of a felony: Yes _____ No ☒

If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☒ African-American ☐ Caucasian

Board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

<u>Contract/Transaction No.</u>	<u>Department/Division</u>	<u>Description of Services</u>	<u>Term</u>
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
_____	_____	_____	_____
_____	_____	_____	_____
(Attach Additional Sheet(s), if necessary)			
OR			
NONE	<input checked="" type="checkbox"/>	NOT APPLICABLE/ (Governmental Entity)	<input type="checkbox"/>

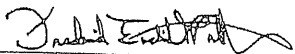
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AND

By signing below I acknowledge that I have read, understand and agree to abide by the Guide to the Sunshine Amendment & State of Florida Code of Ethics:

Applicant's Signature:  Printed Name: Frederick Euclid Walker Date: July 11, 2016

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Return this FORM to:
{Insert Liaison Name Here}, {Insert Department/Division Here}
{Insert Address Here}

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on: _____

Commissioner's Signature:  Date: 7/21/16

Pursuant to Florida's Public Records Law, this document may be reviewed and photocopied by members of the public.

Revised 02/01/2016

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Department): (Please Print)

Board Name: INVESTMENT POLICY COMMITTEE Advisory ☒ Not Advisory ☐

☒ At Large Appointment or ☐ District Appointment /District #: _____

Term of Appointment: 3 Years. From: 10/1/16 To: 9/30/19

Seat Requirement: Experience in investment, banking or securities community; investment management, or any combination thereof Seat #: _____

☒ *Reappointment or ☐ New Appointment

or ☐ to complete the term of _____ Due to: ☐ resignation ☐ other

Completion of term to expire on: _____

When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: _____

Section II (Applicant): (Please Print)

APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT

Name: Walker Frederick Euclid
Last First Middle

Occupation/Affiliation: Asset Management
Owner ☐ Employee ☐ Officer ☒

Business Name: Parkway Investment Management, LLC

Business Address: _____

City & State: _____ Zip Code: _____

Residence Address: 5569 Sea Biscuit Road

City & State: Palm Beach Gardens, FL Zip Code: 3418

Home Phone: () Business Phone: (561) 244-9906 Ext. _____

Cell Phone: () Fax: ()

Email Address: euclid.walker@parkwayIM.com

Mailing Address Preference: ☐ Business ☒ Residence

Have you ever been convicted of a felony: Yes _____ No ☒

If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☒ African-American ☐ Caucasian

Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

<u>Contract/Transaction No.</u>	<u>Department/Division</u>	<u>Description of Services</u>	<u>Term</u>
<u>Example: (R#XX-XX/PO XX)</u>	<u>Parks & Recreation</u>	<u>General Maintenance</u>	<u>10/01/00-09/30/2100</u>
_____	_____	_____	_____
_____	_____	_____	_____
(Attach Additional Sheet(s), if necessary) OR			
NONE <input checked="" type="checkbox"/>		NOT APPLICABLE/ (Governmental Entity) <input type="checkbox"/>	

ETHICS TRAINING: All board members are required to read and complete training on Article XIII, the Palm Beach County Code of Ethics, and read the State Guide to the Sunshine Amendment, Article XIII, and the training requirement can be found on the web at: <http://www.palmbeachcountyethics.com/training.htm>. Ethics training is on-going, and pursuant to PPM CW-P-79 is required before appointment, and upon reappointment.

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*Applicant's Signature: Frederick Euclid Walker Printed Name: Frederick Euclid Walker Date: July 11, 2016

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Return this FORM to:
{Insert Liaison Name Here}, {Insert Department/Division Here}
{Insert Address Here}

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on:

Commissioner's Signature: S. Abrams 16 Date: 7-21-16

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Revised 02/01/2016

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Section I (Department): (Please Print)

Board Name: INVESTMENT POLICY COMMITTEE Advisory [X] Not Advisory []

[X] At Large Appointment or [] District Appointment /District #:

Term of Appointment: 3 Years. From: 10/1/16 To: 9/30/19

Seat Requirement: Experience in investment, banking or securities community; investment management, or any combination thereof Seat #:

[X]*Reappointment or [] New Appointment

or [] to complete the term of Due to: [] resignation [] other

Completion of term to expire on:

*When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners:

Section II (Applicant): (Please Print)

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Name: Walker Frederick Euclid
Last First Middle

Occupation/Affiliation: Asset Management
Owner [] Employee [] Officer [X]

Business Name: Parkway Investment Management, LLC

Business Address:

City & State Zip Code:

Residence Address: 5569 Sea Biscuit Road
City & State Palm Beach Gardens, FL Zip Code: 3418

Home Phone: () Business Phone: (561) 244-9906 Ext.

Cell Phone: () Fax: ()

Email Address: euclid.walker@parkwayIM.com

Mailing Address Preference: [] Business [X] Residence

Have you ever been convicted of a felony: Yes No [X]
If Yes, state the court, nature of offense, disposition of case and date:

Minority Identification Code: [X] Male [] Female
[] Native-American [] Hispanic-American [] Asian-American [X] African-American [] Caucasian

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Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
_____	_____	_____	_____
_____	_____	_____	_____

(Attach Additional Sheet(s), if necessary)
OR

NONE ☒ NOT APPLICABLE/ ☐
(Governmental Entity)

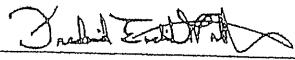
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Applicant's Signature:  Printed Name: Frederick Euclid Walker Date: July 11, 2016

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Return this FORM to:
{Insert Liaison Name Here}, {Insert Department/Division Here}
{Insert Address Here}

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on:

Commissioner's Signature:  Date: 7/27/16

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Revised 02/01/2016

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Section I (Department): (Please Print)

Board Name: INVESTMENT POLICY COMMITTEE Advisory ☒ Not Advisory ☐

☒ At Large Appointment or ☐ District Appointment /District #:

Term of Appointment: 3 Years. From: 10/1/16 To: 9/30/19

Seat Requirement: Experience in investment, banking or securities community; investment management, or any combination thereof Seat #: 3

☒ *Reappointment or ☐ New Appointment

or ☐ to complete the term of Due to: ☐ resignation ☐ other

Completion of term to expire on:

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Owner ☐ Employee ☐ Officer ☒

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Business Address:

City & State Zip Code:

Residence Address: 5569 Sea Biscuit Road

City & State Palm Beach Gardens, FL Zip Code: 3418

Home Phone: () Business Phone: (561) 244-9906 Ext.

Cell Phone: () Fax: ()

Email Address: euclid.walker@parkwayIM.com

Mailing Address Preference: ☐ Business ☒ Residence

Have you ever been convicted of a felony: Yes No ☒

If Yes, state the court, nature of offense, disposition of case and date:

Minority Identification Code: ☒ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☒ African-American ☐ Caucasian

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Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
_____	_____	_____	_____
_____	_____	_____	_____
(Attach Additional Sheet(s), if necessary)			
OR			
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☐ By attending a live presentation given on _____, 20____

AND

By signing below I acknowledge that I have read, understand and agree to abide by the Guide to the Sunshine Amendment & State of Florida Code of Ethics:

Applicant's Signature: Frederick Euclid Walker Printed Name: Frederick Euclid Walker Date: July 11, 2016

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{Insert Liaison Name Here}, {Insert Department/Division Here}
{Insert Address Here}

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on: _____

Commissioner's Signature: [Signature] Date: 7/27/16

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Revised 02/01/2016

F. EUCLID WALKER

777 S. Flagler Drive, West Tower | 8th Floor West Palm Beach, FL 33401
(561) 244-9906 (tel) | euclid.walker@parkwayIM.com

EXPERIENCED INVESTMENT MANAGEMENT, INVESTMENT BANKING & PRIVATE EQUITY EXECUTIVE – Executive with a strong track record of accomplishment internationally and domestically. Goal oriented and execution focused utilizing a strong network of high level private and public sector relationships to accomplish objectives. Excellent manager known for effectively negotiating large and small organizations to achieve success and get the most out of staff while training them for the future.

Work Experience

PARKWAY INVESTMENT MANAGEMENT

2013-Present *Co-Founder and Managing Director* **Palm Beach, FL, USA**
In 2013 Mr. Walker founded a broad based alternative investment management firm. Parkway focuses on attractive global investment opportunities through hedge, private equity, real estate and real asset strategies. Its target investor base includes family offices, corporate pensions and public pension.

BRIGHTWOOD CAPITAL

2011-2013 *Head of Midwest* **Chicago, IL, USA**
In 2011 Mr. Walker was a founding member of Brightwood Capital SBIC Fund and SSBIC (a middle market private equity and mezzanine investment fund). His responsibilities included marketing new funds and sourcing, analyzing, structuring, executing and monitoring investments. Including, investment in LMI, smaller and Minority owned and managed companies.

RENASCENT CAPITAL

2004-2011 *Managing Director* **Chicago, IL, USA**
In 2004 Mr. Walker formed Renascent Capital to advise and invest alongside his clients. Investments have included: middle market private companies, Private Equity Investments with top PE funds & under valued commercial real estate assets. Advisory activities have included representing multi-billion dollar private equity funds on international acquisitions, advising companies on cross-boarder acquisitions, co-advising with top Wall Street firms on international assignments and advising wealthy families on private investments and acquisitions.

MORGAN STANLEY

2003-2004 *Executive Director, Midwestern Corporate Finance Department* **Chicago, IL, USA**
Responsible for advising a broad range of publicly listed and large private companies across the Midwest on corporate finance, capital raising and strategic issues (e.g. initial public offerings, merger and acquisitions). Industries of focus include Consumer Products, Food Services, Financial Services and General Industrial. Clients include; Allstate, Wrigley, Maytag, Whirlpool, Domino’s Pizza, Anheuser Busch, Sara Lee, Emerson, Morningstar, Enersys, Career Education and McDonald’s

Accomplishments - Involved in over \$10MM of new business revenue
- Led teams that successfully raised over \$500MM in debt & equity capital for clients

2001-2003 *Vice President & Operations Officer Europe Banking Department* **London, England**
Member of seven-person team responsible for managing the Investment Banking business for Europe, the Middle East and Africa. Specific responsibility for management and strategic issues related to industry and regional groups. Involved in strategic business reviews and responsible for managing the budgeting, business development and pipeline processes for the department. Worked closely with the Global Head of strategy on developing and implementing new initiatives. Member of technology input committee, accelerated career promotion panel and performance evaluation committee. Conducted “Trusted Advisor” training for officers across Europe. Communicated evaluation feedback and compensation messages to associates and analysts.

Accomplishments			<ul style="list-style-type: none"> -Led team that developed analytical methodology for restructuring business model that led to over \$10MM of cost savings. -Trained and mentored over 50 high caliber investment banking professionals (corporate finance and mergers & acquisitions) across Europe, Middle East & Africa - Developed business development training materials and trained senior staff members across Europe to become better "trusted advisors" to their clients
1996-2001	<i>Vice President, Morgan Stanley South Africa (PTY) LTD</i>	Johannesburg, RSA	<p>Second professional to be based in Africa. Responsible for strategy, personnel and management of the office. Involved in providing country specific coverage to support all of Morgan Stanley's divisions and clients. Responsible for facilitating South African companies reintegration into the international capital markets with a focus on mergers acquisitions, restructurings & capital raisings. Clients and transactions include; Anglo American/Minorco, Anglovaal, DeBeers, Dimension Data, Gold Fields, Heineken, MIH, Old Mutual, Placer Dome, Barrick Gold, Orange/France Telecom, Quadrem, Republic of South Africa, SAB, Sappi, and South African Reserve Bank</p>
Accomplishments			<ul style="list-style-type: none"> -Grew business by over \$20MM of fee revenue in 3 years -Established M&A business development efforts that led to MS consistently being a leading M&A advisor in South Africa -Team leader for raising over \$2 Billion of financing for the South African Government & South African companies -Team leader for over \$1 Billion of merger and acquisition activity -Recruited and trained high caliber investment bankers
1994-1996	<i>Senior Acquisitions Analyst, Morgan Stanley Private Equity</i>	New York, NY, USA	<p>Member of the \$2 billion real estate private equity fund's acquisition team. Responsible for obtaining, evaluating and executing investment opportunities. Frequently required to provide partnership and acquisition structure alternatives, co-ordinate due diligence teams, participate in negotiations, and evaluate accounting and taxation issues.</p>
1994-1995	<i>Financial Analyst, Morgan Stanley Realty</i>	New York, NY, USA	<p>Involved with restructuring Morgan Stanley Realty's client advisory business. Member of two-person team that covered Midwestern companies. Frequently prepared presentation materials and met with clients. Areas of focus included mergers and acquisitions and lodging and leisure.</p>
1993	<i>Summer Analyst, Investment Banking Division</i>	New York, NY, USA	<p>One of two candidates chosen to participate in the Summer Analyst Program. Participated in weekly round table discussions with senior bankers from various areas of the firm. Worked as a financial analyst in the Real Estate Fund.</p>
Education			
1990-1994	MOREHOUSE COLLEGE	Atlanta, GA, USA	<p>Graduated <i>magna cum laude</i> with a Bachelor of Arts in Business Administration (<i>Dual concentrations in Banking Finance & Real Estate</i>) and a Minor in Economics.</p>
Honors			<p><i>Phi Beta Kappa</i> Honor Society, <i>Omicron Delta Epsilon</i> Economics Honor Society, Golden Key Honor Society, National Dean's List (1990-1994), Who's Who Among American University Students.</p>
Non-Profit			<p>Member, City of Chicago Plan Commission; Trustee, Francis W. Parker School; Visitor, Morehouse College Board of Trustees.</p>

BOARD OF COUNTY COMMISSIONERS
BOARDS/COMMITTEES APPLICATION

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Department): (Please Print)

Board Name: INVESTMENT POLICY COMMITTEE Advisory ☒ Not Advisory ☐

☒ At Large Appointment or ☐ District Appointment / District #: _____

Term of Appointment: 3 Years. From: 10/1/16 To: 9/30/19

Seat Requirement: Experience in investment, banking or securities community; investment management, or any combination thereof Seat #: _____

☒ *Reappointment or ☐ New Appointment

or ☐ to complete the term of _____ Due to: ☐ resignation ☐ other

Completion of term to expire on: _____

*When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: _____

Section II (Applicant): (Please Print)

APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT

Name: FREILICH STEPHEN HENRY
Last First Middle

Occupation/Affiliation: Senior Fixed-Income Portfolio Manager
Owner ☐ Employee ☒ Officer ☐

Business Name: SUNTRUST Bank

Business Address: 150 South US Highway 1 Suite 501

City & State: Jupiter, FL Zip Code: 33477

Residence Address: 7151 Grassy Bay Drive

City & State: West Palm Beach, FL Zip Code: 33411

Home Phone: () 561-471-8129 Business Phone: () 561 Ext. 972-9350

Cell Phone: () 561-827-2340 Fax: ()

Email Address: SFreilich1127@Comcast.net

Mailing Address Preference: ☐ Business ☒ Residence

Have you ever been convicted of a felony: Yes _____ No ☒
If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☐ African-American ☒ Caucasian

CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

<u>Contract/Transaction No.</u>	<u>Department/Division</u>	<u>Description of Services</u>	<u>Term</u>
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
_____	_____	_____	_____
_____	_____	_____	_____

(Attach Additional Sheet(s), if necessary)
OR

NONE



NOT APPLICABLE/
(Governmental Entity)



ETHICS TRAINING: All board members are required to read and complete training on Article XIII, the Palm Beach County Code of Ethics, and read the State Guide to the Sunshine Amendment, Article XIII, and the training requirement can be found on the web at: <http://www.palmbeachcountyethics.com/training.htm>. Ethics training is on-going, and pursuant to PPM CW-P-79 is required before appointment, and upon reappointment.

By signing below I acknowledge that I have read, understand, and agree to abide by Article XIII, the Palm Beach County Code of Ethics, and I have received the required Ethics training (in the manner checked below):



By watching the training program on the Web, DVD or VHS on JULY 5 20 16

By attending a live presentation given on _____, 20 _____

AND

By signing below I acknowledge that I have read, understand and agree to abide by the Guide to the Sunshine Amendment & State of Florida Code of Ethics:

Applicant's Signature: Steph Freilich Printed Name: Stephen Freilich Date: 7-8-16

For any questions and/or concerns regarding Article XIII, the Palm Beach County Code of Ethics, please visit the Commission on Ethics website www.palmbeachcountyethics.com or contact us via email at ethics@palmbeachcountyethics.com or (561) 355-1915.

Return this FORM to:

{Insert Liaison Name Here}, {Insert Department/Division Here}
{Insert Address Here}

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on: _____

Commissioner's Signature: Mary Lou Berger Date: 7-15-16

Pursuant to Florida's Public Records Law, this document may be reviewed and photocopied by members of the public.

Revised 02/01/2016

BOARD OF COUNTY COMMISSIONERS
BOARDS/COMMITTEES APPLICATION

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL.
Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Department): (Please Print)

Board Name: INVESTMENT POLICY COMMITTEE Advisory ☒ Not Advisory ☐

☒ At Large Appointment or ☐ District Appointment / District #: _____

Term of Appointment: 3 Years. From: 10/1/16 To: 9/30/19

Seat Requirement: Experience in investment, banking or securities community; investment management, or any combination thereof Seat #: 4

☒ *Reappointment or ☐ New Appointment

or ☐ to complete the term of _____ Due to: ☐ resignation ☐ other

Completion of term to expire on: _____

When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: _____

Section II (Applicant): (Please Print)

APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT

Name: FREILICH STEPHEN HENRY
Last First Middle

Occupation/Affiliation: Senior Fixed-Income Portfolio Manager
Owner ☐ Employee ☒ Officer ☐

Business Name: SUNTRUST Bank

Business Address: 150 South US Highway 1 Suite 501
City & State: Jupiter, FL Zip Code: 33477

Residence Address: 7151 Grassy Bay Drive
City & State: West Palm Beach, FL Zip Code: 33411

Home Phone: () 561-471-8129 Business Phone: () 561 Ext. 972-9350

Cell Phone: () 561-827-2340 Fax: ()

Email Address: SFreilich1127@Comcast.net

Mailing Address Preference: ☐ Business ☒ Residence

Have you ever been convicted of a felony: Yes _____ No ☒

Yes, state the court, nature of offense, disposition of case and date: _____

Ethnicity Identification Code: ☒ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☐ African-American ☒ Caucasian

CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

<u>Contract/Transaction No.</u>	<u>Department/Division</u>	<u>Description of Services</u>	<u>Term</u>
<u>Example: (R#XX-XX/PO XX)</u>	<u>Parks & Recreation</u>	<u>General Maintenance</u>	<u>10/01/00-09/30/2100</u>

<hr/>	<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>	<hr/>

(Attach Additional Sheet(s), if necessary)
OR

NONE

☒

NOT APPLICABLE/
(Governmental Entity)

☐

ETHICS TRAINING: All board members are required to read and complete training on Article XIII, the Palm Beach County Code of Ethics, and read the State Guide to the Sunshine Amendment, Article XIII, and the training requirement can be found on the web at: <http://www.palmbeachcountyethics.com/training.htm>. Ethics training is on-going, and pursuant to PPM CW-P-79 is required before appointment, and upon reappointment.

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☒

By watching the training program on the Web, DVD or VHS on JULY 5 20 16

☐

By attending a live presentation given on _____, 20____

AND

By signing below I acknowledge that I have read, understand and agree to abide by the Guide to the Sunshine Amendment & State of Florida Code of Ethics:

*Applicant's Signature: Stephen Freilich Printed Name: Stephen Freilich Date: 7-8-16

Any questions and/or concerns regarding Article XIII, the Palm Beach County Code of Ethics, please visit the Commission on Ethics website www.palmbeachcountyethics.com or contact us via email at ethics@palmbeachcountyethics.com or (561) 355-1915.

Return this FORM to:
{Insert Liaison Name Here}, {Insert Department/Division Here}
{Insert Address Here}

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on:

Commissioner's Signature: Harold Valente Date: 7/21/16

Pursuant to Florida's Public Records Law, this document may be reviewed and photocopied by members of the public.

Revised 02/01/2016

BOARDS/COMMITTEES APPLICATION

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Department): (Please Print)

Board Name: INVESTMENT POLICY COMMITTEE Advisory ☒ Not Advisory ☐

☒ At Large Appointment or ☐ District Appointment / District #: _____

Term of Appointment: 3 Years. From: 10/1/16 To: 9/30/19

Seat Requirement: Experience in investment, banking or securities community; investment management, or any combination thereof Seat #: _____

☒ *Reappointment or ☐ New Appointment

or ☐ to complete the term of _____ Due to: ☐ resignation ☐ other

Completion of term to expire on: _____

*When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: _____

Section II (Applicant): (Please Print)

APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT

Name: FREILICH STEPHEN HENRY
Last First Middle

Occupation/Affiliation: Senior Fixed-Income Portfolio Manager
Owner ☐ Employee ☒ Officer ☐

Business Name: SUNTRUST Bank

Business Address: 150 South US Highway 1 Suite 501

City & State: Jupiter, FL Zip Code: 33477

Residence Address: 7151 Grassy Bay Drive

City & State: West Palm Beach, FL Zip Code: 33411

Home Phone: () 561-471-8129 Business Phone: () 561 Ext. 972-9350

Cell Phone: () 561-827-2340 Fax: ()

Email Address: SFreilich1127@Comcast.net

Mailing Address Preference: ☐ Business ☒ Residence

Have you ever been convicted of a felony: Yes _____ No ☒

If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☐ African-American ☒ Caucasian

CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

<u>Contract/Transaction No.</u>	<u>Department/Division</u>	<u>Description of Services</u>	<u>Term</u>
<u>Example: (R#XX-XX/PO XX)</u>	<u>Parks & Recreation</u>	<u>General Maintenance</u>	<u>10/01/00-09/30/2100</u>
(Attach Additional Sheet(s), if necessary) OR			
NONE	<input checked="" type="checkbox"/>	NOT APPLICABLE/ (Governmental Entity)	<input type="checkbox"/>

ETHICS TRAINING: All board members are required to read and complete training on Article XIII, the Palm Beach County Code of Ethics, and read the State Guide to the Sunshine Amendment, Article XIII, and the training requirement can be found on the web at: <http://www.palmbeachcountyethics.com/training.htm>. Ethics training is on-going, and pursuant to PPM CW-P-79 is required before appointment, and upon reappointment.

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☒ By watching the training program on the Web, DVD or VHS on JULY 5 2016
☐ By attending a live presentation given on _____, 20____

AND

By signing below I acknowledge that I have read, understand and agree to abide by the Guide to the Sunshine Amendment & State of Florida Code of Ethics:

*Applicant's Signature: Stephen Freilich Printed Name: Stephen Freilich Date: 7-8-16

Any questions and/or concerns regarding Article XIII, the Palm Beach County Code of Ethics, please visit the Commission on Ethics website www.palmbeachcountyethics.com or contact us via email at ethics@palmbeachcountyethics.com or (561) 355-1915.

Return this FORM to:
{Insert Liaison Name Here}, {Insert Department/Division Here}
{Insert Address Here}

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on: _____

Commissioner's Signature: Paula Little Date: 8/8/16

BOARD OF COUNTY COMMISSIONERS
BOARDS/COMMITTEES APPLICATION

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL.
Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Department): (Please Print)

Board Name: INVESTMENT POLICY COMMITTEE Advisory ☒ Not Advisory ☐

☒ At Large Appointment or ☐ District Appointment / District #: _____

Term of Appointment: 3 Years. From: 10/1/16 To: 9/30/19

Seat Requirement: Experience in investment, banking or securities community; investment management, or any combination thereof Seat #: _____

☒ Reappointment or ☐ New Appointment

or ☐ to complete the term of _____ Due to: ☐ resignation ☐ other

Completion of term to expire on: _____

When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: _____

Section II (Applicant): (Please Print)

APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT

Name: FREILICH STEPHEN HENRY
Last First Middle

Occupation/Affiliation: Senior Fixed-Income Portfolio Manager
Owner ☐ Employee ☒ Officer ☐

Business Name: SUNTRUST Bank

Business Address: 150 South US Highway 1 Suite 501
City & State: Jupiter, FL Zip Code: 33477

Residence Address: 7151 Grassy Bay Drive
City & State: West Palm Beach, FL Zip Code: 33411

Home Phone: () 561-471-5129 Business Phone: () 561 Ext. 972-9350
Cell Phone: () 561-827-2340 Fax: ()

Email Address: SFreilich1127@Comcast.net

Mailing Address Preference: ☐ Business ☒ Residence

Have you ever been convicted of a felony: Yes _____ No ☒
Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☐ African-American ☒ Caucasian

CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

<u>Contract/Transaction No.</u>	<u>Department/Division</u>	<u>Description of Services</u>	<u>Term</u>
<u>Example: (R#XX-XX/PO XX)</u>	<u>Parks & Recreation</u>	<u>General Maintenance</u>	<u>10/01/00-09/30/2100</u>

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<hr/>	<hr/>	<hr/>	<hr/>

(Attach Additional Sheet(s), if necessary)
OR

NONE

☒

NOT APPLICABLE/
(Governmental Entity)

☐

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☒ By watching the training program on the Web, DVD or VHS on JULY 5 2016
☐ By attending a live presentation given on _____, 20____

AND

By signing below I acknowledge that I have read, understand and agree to abide by the Guide to the Sunshine Amendment & State of Florida Code of Ethics:

*Applicant's Signature: Steph Freilich Printed Name: Stephen Freilich Date: 7-8-16

Any questions and/or concerns regarding Article XIII, the Palm Beach County Code of Ethics, please visit the Commission on Ethics website www.palmbeachcountyethics.com or contact us via email at ethics@palmbeachcountyethics.com or (561) 355-1915.

Return this FORM to:
{Insert Liaison Name Here}, {Insert Department/Division Here}
{Insert Address Here}

Section III (Commissioner, if applicable):
Appointment to be made at BCC Meeting on:

Commissioner's Signature: Shelley Young Date: 7/21/16

BOARD OF COUNTY COMMISSIONERS
BOARDS/COMMITTEES APPLICATION

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Department): (Please Print)

Board Name: INVESTMENT POLICY COMMITTEE Advisory ☒ Not Advisory ☐

☒ At Large Appointment or ☐ District Appointment /District #: _____

Term of Appointment: 3 Years. From: 10/1/16 To: 9/30/19

Seat Requirement: Experience in investment, banking or securities community; investment management, or any combination thereof Seat #: _____

☒ *Reappointment or ☐ New Appointment

or ☐ to complete the term of _____ Due to: ☐ resignation ☐ other

Completion of term to expire on: _____

*When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: _____

Section II (Applicant): (Please Print)

APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT

Name: FREILICH STEPHEN HENRY
Last First Middle

Occupation/Affiliation: Senior Fixed-Income Portfolio Manager
Owner ☐ Employee ☒ Officer ☐

Business Name: SUNTRUST Bank

Business Address: 150 South US Highway 1 Suite 501

City & State: Jupiter, FL Zip Code: 33477

Residence Address: 7151 Grassy Bay Drive

City & State: West Palm Beach, FL Zip Code: 33411

Home Phone: () 561-471-8129 Business Phone: () 561 Ext. 972-9380

Cell Phone: () 561-827-2340 Fax: ()

Email Address: SFreilich1127@Comcast.net

Mailing Address Preference: ☐ Business ☒ Residence

Have you ever been convicted of a felony: Yes _____ No ☒

If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☐ African-American ☒ Caucasian

CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

<u>Contract/Transaction No.</u>	<u>Department/Division</u>	<u>Description of Services</u>	<u>Term</u>
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
(Attach Additional Sheet(s), if necessary)			
OR			
NONE	<input checked="" type="checkbox"/>	NOT APPLICABLE/ (Governmental Entity)	<input type="checkbox"/>

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☐ By attending a live presentation given on _____, 20____

AND

By signing below I acknowledge that I have read, understand and agree to abide by the Guide to the Sunshine Amendment & State of Florida Code of Ethics:

Applicant's Signature: Steph Freilich Printed Name: Stephen Freilich Date: 7-8-16

Any questions and/or concerns regarding Article XIII, the Palm Beach County Code of Ethics, please visit the Commission on Ethics website www.palmbeachcountyethics.com or contact us via email at ethics@palmbeachcountyethics.com or (561) 355-1915.

Return this FORM to:

{Insert Liaison Name Here}, {Insert Department/Division Here}
{Insert Address Here}

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on:

Commissioner's Signature: S. Abrams (16) Date: 7/21/16

Pursuant to Florida's Public Records Law, this document may be reviewed and photocopied by members of the public.

Revised 02/01/2016

BOARD OF COUNTY COMMISSIONERS
BOARDS/COMMITTEES APPLICATION

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Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Department): (Please Print)

Board Name: INVESTMENT POLICY COMMITTEE Advisory ☒ Not Advisory ☐

☒ At Large Appointment or ☐ District Appointment / District #: _____

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Seat Requirement: Experience in investment, banking or securities community; investment management, or any combination thereof Seat #: _____

☒ *Reappointment or ☐ New Appointment

or ☐ to complete the term of _____ Due to: ☐ resignation ☐ other

Completion of term to expire on: _____

*When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: _____

Section II (Applicant): (Please Print)

APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT

Name: FREILICH STEPHEN HENRY
Last First Middle

Occupation/Affiliation: Senior Fixed-Income Portfolio Manager
Owner ☐ Employee ☒ Officer ☐

Business Name: SUNTRUST Bank

Business Address: 150 South US Highway 1 Suite 501
City & State: Jupiter, FL Zip Code: 33477

Residence Address: 7151 Grassy Bay Drive
City & State: West Palm Beach, FL Zip Code: 33411

Home Phone: () 561-471-8129 Business Phone: () 561 Ext. 972-9350

Cell Phone: () 561-827-2340 Fax: ()

Email Address: SFreilich1127@Comcast.net

Mailing Address Preference: ☐ Business ☒ Residence

Have you ever been convicted of a felony: Yes _____ No ☒

If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female
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<u>Contract/Transaction No.</u>	<u>Department/Division</u>	<u>Description of Services</u>	<u>Term</u>
<u>Example: (R#XX-XX/PO XX)</u>	<u>Parks & Recreation</u>	<u>General Maintenance</u>	<u>10/01/00-09/30/2100</u>

_____	_____	_____	_____
_____	_____	_____	_____

(Attach Additional Sheet(s), if necessary)
OR

NONE ☒ NOT APPLICABLE/ (Governmental Entity) ☐

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*Applicant's Signature: Steph Freilich Printed Name: Stephen Freilich Date: 7-8-16

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Return this FORM to:
{Insert Liaison Name Here}, {Insert Department/Division Here}
{Insert Address Here}

Section III (Commissioner, if applicable):
Appointment to be made at BCC Meeting on: _____

Commissioner's Signature: Melissa McKeel Date: 7/27/16

BOARD OF COUNTY COMMISSIONERS
BOARDS/COMMITTEES APPLICATION

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Department): (Please Print)

Board Name: INVESTMENT POLICY COMMITTEE Advisory ☒ Not Advisory ☐

☒ At Large Appointment or ☐ District Appointment / District #: _____

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Completion of term to expire on: _____

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Section II (Applicant): (Please Print)

APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT

Name: FREILICH STEPHEN HENRY
Last First Middle

Occupation/Affiliation: Senior Fixed-Income Portfolio Manager
Owner ☐ Employee ☒ Officer ☐

Business Name: SUNTRUST Bank

Business Address: 150 South US Highway 1 Suite 501

City & State: Jupiter, FL Zip Code: 33477

Residence Address: 7151 Grassy Bay Drive

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Home Phone: () 561-471-8129 Business Phone: () 561 Ext. 972-9350

Cell Phone: () 561-827-2340 Fax: ()

Email Address: SFreilich1127@Comcast.net

Mailing Address Preference: ☐ Business ☒ Residence

Have you ever been convicted of a felony: Yes _____ No ☒

If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☐ African-American ☒ Caucasian

CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

<u>Contract/Transaction No.</u>	<u>Department/Division</u>	<u>Description of Services</u>	<u>Term</u>
<u>Example: (R#XX-XX/PO XX)</u>	<u>Parks & Recreation</u>	<u>General Maintenance</u>	<u>10/01/00-09/30/2100</u>
_____	_____	_____	_____
_____	_____	_____	_____

(Attach Additional Sheet(s), if necessary)
OR

NONE ☒ NOT APPLICABLE/ (Governmental Entity) ☐

ETHICS TRAINING: All board members are required to read and complete training on Article XIII, the Palm Beach County Code of Ethics, and read the State Guide to the Sunshine Amendment, Article XIII, and the training requirement can be found on the web at: <http://www.palmbeachcountyethics.com/training.htm>. Ethics training is on-going, and pursuant to PPM CW-P-79 is required before appointment, and upon reappointment.

By signing below I acknowledge that I have read, understand, and agree to abide by Article XIII, the Palm Beach County Code of Ethics, and I have received the required Ethics training (in the manner checked below):

☒ By watching the training program on the Web, DVD or VHS on JULY 5 20 16
☐ By attending a live presentation given on _____, 20____

AND

By signing below I acknowledge that I have read, understand and agree to abide by the Guide to the Sunshine Amendment & State of Florida Code of Ethics:

*Applicant's Signature: Style Failed Printed Name: Stephen Freilich Date: 7-8-16

Any questions and/or concerns regarding Article XIII, the Palm Beach County Code of Ethics, please visit the Commission on Ethics website www.palmbeachcountyethics.com or contact us via email at ethics@palmbeachcountyethics.com or (561) 355-1915.

Return this FORM to:
{Insert Liaison Name Here}, {Insert Department/Division Here}
{Insert Address Here}

Section III (Commissioner, if applicable):
Appointment to be made at BCC Meeting on: _____
Commissioner's Signature: [Signature] Date: 7/8/16

STEPHEN H. FREILICH, CFA

7151 Grassy Bay Drive
West Palm Beach, FL 33411

Phone (561) 827-2340
E-mail: sfreilich1127@comcast.net

Career Summary

Proven record of success in portfolio management and financial analysis. Demonstrated strength in maximizing investment performance with excellent communication skills. Experience encompasses:

- Fixed-Income Portfolio Management
 - Relative Value Analysis of Fixed-Income Securities
 - BondEdge and Bloomberg Expertise
 - Investment Reporting and Risk Measurement
-

Professional Experience

SunTrust Bank

(May 2013-Present)

Wealth & Investment Management – Investment Advisory Group *Senior Fixed Income Portfolio Manager*

- Asset allocation and individual security selection for \$1.6B of domestic investment grade portfolios in multiple market sectors
- Directly manage over 150 client relationship including; insurance companies, public funds, endowments, not for profits, trusts and high net worth separately managed accounts
- Focus on duration analysis and yield curve positioning, relative value, sector exposure, credit quality and spread analysis, stress testing, risk management and compliance
- Benchmarks include the Barclays Aggregate, Intermediate Government/Credit, 1-5 Year and other indices – manage across the entire yield curve for total return and income mandates
- Created a corporate bond composite model portfolio and corporate relative value spreadsheets ranked by sector, credit quality, issuer and maturity range
- Highly proficient in the analysis of Mortgage Backed (MBS) and callable securities
- Proactive communication with team of credit analysts, traders, broker/dealers, economists and strategists
- Aid in policy creation and new business development
- Team manages \$3.4B with oversight of \$7.5B of individual fixed-income securities

Financial Consultant

(Aug 2011-May 2013)

Independent financial advisor

- Portfolio management and asset allocation advisory for public sector bond portfolios
- Develop cash flow models for start-up companies private placement memorandums
- Aid in the raise of debt and equity capital for a variety of business projects

South Florida Water Management District

(2002-2011)

Treasurer

Treasurer for one of the nation's largest flood control and water management agencies. A \$5 billion (assets) special taxing district with a \$1.5 billion annual budget. The District is responsible for the largest environmental restoration project in U.S. history. Responsible for managing the agencies debts, investments and banking. Reported directly to the CFO.

- Direct management of the District's \$850M fixed-income portfolio. (MBS, Agencies, Corporates)

- Extensive network of street contacts including commercial lenders, primary dealers, analyst and traders.
- Issuance and management of all debt consisting of; \$2B in bonding authorization, \$700M Certificates of Participation, \$200M bank loans, \$20M capital leases, \$20M lines of credit, Repo and reverse repo agreements.
- Raised debt capital through public finance banking syndicate, financial advisors, bond counsel, rating agency and bond insurers to secure optimal financing rate and terms. New money issues and refundings.
- Received the Bond Buyers 2007 “Deal of the Year Award” for creativity and ingenuity in debt issuance.
- Conducted due diligence, rating agency reviews and investor conference calls.
- Wrote, bid and negotiated RFP’s for banking services, banking syndicate, financial advisors, software and treasury services.

Clerk & Comptroller, Palm Beach County

(1998-2002)

(The Clerk maintains custody and oversight of all County funds. Palm Beach is a \$4.8 billion county with a \$3.8 billion budget)

Investment Analyst

- Developed and executed investment strategies for the \$1.4 billion domestic fixed-income portfolio.
- Monitored economic and financial market conditions, performed credit analysis of structured securities, added new asset classes and expanded investment research, analysis and reporting.
- Supervised cash management staff, monitored banking relations, and maintained oversight of all funds transfer and custodial activities. Wrote the performance summary and economic update for the investment newsletter.
- Efforts resulted in increased portfolio yield and consistent outperformance of the portfolio’s benchmarks.

Capital Asset Research Corp.

(1996-1998)

(Formerly the nation’s largest tax lien investment and servicing firm. Taken over by MBIA in 1998)

Foreclosure Manager

- Managed \$400 million of tax lien and land lease securitized private investment trusts.
- Developed the policies and procedures for a new division created to service municipal debt portfolios.
- Implemented all foreclosure activity from initial filing to disposition.
- Monitored commercial real estate market activity and prepared the investment summary and inventory reports.
- Primary liaison with foreclosure and bankruptcy attorneys, brokers, appraisers, bondholders and city officials.
- Exceeded forecasted cash redemptions resulting in accelerated payoff to investors.

Education and Credentials

Bachelor of Science in Finance

George Mason University, Fairfax, Virginia
(concentration in investment analysis and portfolio management)

Chartered Financial Analyst

Awarded by the CFA Institute

Professional Associations

Palm Beach County Investment Policy Committee (voting member - appointed 2003)

(Includes oversight of the county’s \$2 billion investment portfolio)

Financial Analyst Society of South Florida

CFA Institute