

PALM BEACH COUNTY BOARD OF COUNTY COMMISSIONERS

AGENDA ITEM SUMMARY

November 5, 2019

[X] Consent
[] Ordinance

[] Regular

[] Public Hearing

Department:

Submitted By: Submitted For:

Office of Financial Management and Budget

Investment Policy Committee

I. EXECUTIVE BRIEF

Motion & Title: Staff recommends motion to approve: Re-appointments to Seats No. 2, 4 and 5 to the Investment Policy Committee, each for a three (3) year term.

A) Reappoint to Seat No. 2

Nominee

Ned Roger Nashban

<u>Term</u>

10/1/19 - 9/30/22

Nominated By

Mayor Bernard

Commissioner Weiss Commissioner Weinroth Commissioner McKinlay

B) Reappoint to Seat No. 4

<u>Nominee</u>

Stephen H. Freilich

Term

10/1/19 - 9/30/22

Nominated By

Mayor Bernard Commissioner Weiss

Commissioner Weinroth Commissioner McKinlay

C) Reappoint to Seat No. 5

<u>Nominee</u>

Mark A. Parks, Jr.

<u>Term</u>

10/1/19 - 9/30/22

Nominated By

Mayor Bernard Commissioner Weiss Commissioner Weinroth Commissioner McKinlay

Summary: The Investment Policy Committee is comprised of seven (7) members, with four (4) being at-large appointments by the Board of County Commissioners (BCC), one being a representative appointed by the Sheriff, a member appointed from OFMB by the County Administrator, and the Chair being a member of the BCC, currently Commissioner Valeche. The three (3) year term of the incumbent BCC appointees expired on September 30, 2019, which ends the second of three (3), three (3) year terms. The three (3) incumbents desire to be reappointed, thereby creating one (1) vacancy with their reappointments. Of the seats currently filled four (4) are white males (66%), one (1) black male (17%) and one (1) white female (17%). Countywide (DB)

Background and Justification: Per Section 2-41(c)(6) of the Palm Beach County Code of Ordinances, the Committee shall meet three times per annum, or at the call of the Chairman. The IPC shall address such issues as liquidity, risk diversification, safety of principal, yield, maturity, and investment quality, as well as qualifications of investment dealers and issuers, thereby suggesting guidelines for use in the investment of County funds.

Attachments:

Applications (including résumés)

Recommended by:

Department Director

Date

Legal Sufficiency:

Assistant County Attorney

Date

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

	tment): (Please Print				
Board Name:	INVESTMENT	POLICY COMMITTEE		Advisory [X]	Not Advisory []
[X] At I.	arge Appointment	or	[] District Appoin	tment /District #: _	
Term of Appoint	ment: 3	Years, From:	10/1/19	To: 9/30	/22
Seat Requiremen		nvestment, banking or secu nagement; related legal exp ereof		Seat #:	
[X]*Rea	ppointment	or	[] New Appointme	ent	
	complete the term of	**	Due to: []	resignation	[] other
Completion of ter	rm to expire on:				
term shall be con Section II (Appli	nsidered by the Boar icant): (Please Print)	for reappointment, the nun d of County Commissioner) , <i>MUST BE A COUNTY RE</i>	s:		
				0.	Λ
Name:	NASHBAN	NED		Kouz	/ \$
Name:	NASHBAN Last	NED First		Middle	<u> </u>
Name: Occupation/Affili	Last				ζζ
•	Last	First TORNSY	Employee []		
Decupation/Affili	Last lation: A	First TTORNSY E R172 + COLM	AN LLP	Middle Officer	
Occupation/Affili	Last iation: A- Owner	First First First	AN LLP	Middle Officer	
Occupation/Affili Business Name: Business Addres	Last iation: A- Owner	First ITORNEY [] E RIT2 + COLM 75 BROKEN SE CA RATON	AN LL P OUND PK FL Zip Code	Middle Officer	[] OF Cours
Occupation/Affili Business Name: Business Addres City & State	Last iation: Owner Ration: Books:	First TTORNSY E LITZ + COLM 75 BROKEN SE	AN LL P OUND PK FL Zip Code	Middle Officer	[] OF Cours
Occupation/Affili Business Name: Business Addres City & State Residence Addre	Last intion: Owner Ration: Book ess: Door	First ITORNEY ITORNEY ITORNEY First First Form Form Form First Form Form First Form Form First Form First Form Form Form First Form Form Form First Form First Form Form First Form Form First Form First Form First Form Form First Form Form First Form First Form First Form Form First Form First Form First Form First Form	AN LL P OUND PK FL Zip Code	Middle Officer wy 70 3348	ite 102
Decupation/Affili Business Name: Business Addres City & State Residence Addre	Last intion: Owner Ration: Book ess: Door	First 170 RN 34 110 RN 34 110 RN 34 1172 + COLM 75 BROKEN SO CA RATON 003 NW 23 CA RATON 003 NW 23 CA RATON 004 BUS 008 BUS 00	AN LL P OUND PK FL Zip Code W Ay FC Zip Code iness Phone: (Ne)	Middle Officer wy 70 3348 3346 8645	ite 102
Occupation/Affili Business Name: Business Addres City & State Residence Addre City & State Home Phone: Cell Phone:	Last iation: Owner Barrier Barrier Country Barrier Barrier	First 170 R N 3 y [] R 172 + (o L M 75 B ROKEN SO CA RATON 003 N W 23 CA RATON 003 N W 23 CA RATON Fax: Fax:	AN LL P OUND PK FL Zip Code WAY FC Zip Code iness Phone: (5k)	Middle Officer wy 70 3348 3346 8645	ite 102
Decupation/Affili Business Name: Business Addres City & State Residence Addre City & State Home Phone:	Last iation: Owner Barrier Barrier Country Barrier Barrier	First 170 RN 34 110 RN 34 110 RN 34 1172 + COLM 75 BROKEN SO CA RATON 003 NW 23 CA RATON 003 NW 23 CA RATON 004 BUS 008 BUS 00	AN LL P OUND PK FL Zip Code WAY FC Zip Code iness Phone: (5k)	Middle Officer wy 70 3348 3346 8645	ite 102
Decupation/Affili Business Name: Business Addres City & State Residence Addre City & State Home Phone: Cell Phone: Email Address:	Last iation: Owner Barrier Barrier Country Barrier Barrier	First TTORNEY First TTORNEY First TTORNEY FIRST FOR MATOR POST RATOR POST NW 23 CN PATON, -8599 Busi -8730 Fax: ban Chantz colma	AN LL P OUND PK FL Zip Code WAY FC Zip Code iness Phone: (5k)	Middle Officer wy 70 3348 3346 8645	ite 102

Contract/Transaction No.	Department/Division	Description of Services	<u>Term</u>
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
	(Attach Additiona	al Sheet(s), if necessary) OR	
NONE		NOT APPLICABLE/ (Governmental Entity)	
of Ethics, and read the State Gui	de to the Sunshine Amendmen countyethics.com/training.htm	l and complete training on Article XII nt. Article XIII, and the training req m. Ethics training is on-going, and	uirement can be found on the
By signing below I ackr County Code of Ethics, a	nowledge that I have read, until have read, until have received the require	nderstand, and agree to abide by ed Ethics training (in the manner ch	Article XIII, the Palm Beach ecked below):
By wa	tching the training program on ending a live presentation giver	the Web, DVD or VHS on, 20	2014
	AND		
Amendment & State of F *Applicant's Signature: Any questions and/or concerns re	Florida Code of Ethics; Print garding Article XIII, the Palm	understand and agree to abide be ted Name: No. NASHARA Beach County Code of Ethics, please til at ethics@palmbeachcountyethics.c	Date: $\frac{9}{21}/19$ visit the Commission on Ethics
	Irwin L Jacobon	this FORM to: vitz, J.D., OFMB/CDC 5, West Palm Beach, FL 33401	
Section III (Commissioner, if a Appointment to be made	e at BCC Meeting on:		
Commissioner's Signature:		Date:	n
Pursuant to Florida's Public Records Law	, this document may be reviewed and p	photocopied by members of the public.	Revised 02/01/2016

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Depa	<u>artment):</u> (Please Pri	nt)				
Board Name:	INVESTMENT	POLICY COMMIT	TEE	Advisory	[X] Not	Advisory []
[X] At	Large Appointment	or	[] District	Appointment /Dist	rict #:	_
Term of Appoin	ntment: 3	Years. Fro	m: <u>10/1/19</u>	To:	9/30/22	
Seat Requireme	nt: Experience in investment m	investment, banking or anagement; related legal thereof	securities communit experience; or any	ty; Seat #:		
[X]*Re	eappointment	or	[] New App	pointment		
	complete the term of		Due to:	[] resignati	on []	other
term shall be co Section II (App	onsidered by the Boulicant): (Please Prin	d for reappointment, the ard of County Commissiont) The contract of the county Count of the cou	oners:	alsclosed voting	zonincis duri	ng the previous
Name:	NASHBAN	NEI		/Cv Middl	wer	
Occupation/Affi	Last iliation: Owns	First	Employee []		fficer []	OF Counse
Business Name	: <u> </u>	AR172 + COL	-MAN LLF)		
Business Addre	ess:	075 BROKEN	SOUND	PKWY	Tuite	102
City & State	B	OCA RATON	/ FL Zi	ip Code: / <u>23</u>	487	
Residence Addı	ress:	6003 NW Z	3 hd WAY			
City & State		OCA RATION,	<i></i>	p Code: 331	196	
Home Phone:	566 94	5-8599	Business Phone:	(Su) 86 4.	51 Ext. 7	14
Cell Phone:	(1/1) 3T	7	Fax:	061) 864-	5101	
Email Address:	nnast	rban e bantzcol	ma. lon			
Mailing Address		siness [] Residence	•			
Have you ever b If Yes, state the	een convicted of a fe court, nature of offer	lony: Yes No use, disposition of case and	l date:			
Minority Identi		Male] Hispanic-American	[] Female [] Asian-American	[] African-An	nerican 🔏	Ćaucasian

Contract/Transaction No.

CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

Department/Division

Description of Services

<u>Term</u>

Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
	(Attach Additio	nal Sheet(s), if necessary) OR	
NONE		NOT APPLICABLE/ (Governmental Entity)	
of Ethics, and read the State Gu web at: http://www.palmbeac/required before appointment,	ide to the Sunshine Amendme heountyethics.com/training.h and upon reappointment.	ad and complete training on Article XI ent, Article XIII, and the training re ttm. Ethics training is on-going, and	quirement can be found on the pursuant to PPM CW-P-79 is
By signing below I ack County Code of Ethics,	nowledge that I have read, and I have received the requi	understand, and agree to abide by ired Ethics training (in the manner c	Article XIII, the Palm Beach hecked below):
By w By at	ratching the training program o tending a live presentation give	n the Web, DVD or VHS on	2014
	AND		
By signing below I ac Amendment & State of *Applicant's Signature:	Florida Gode of Ethics:	nted Name: NED R. MB66/1	
Any questions and/or concerns r website <u>www.palmbeachcounty</u>	regarding Article XIII, the Pain ethics.com or contact us via en	n Beach County Code of Ethics, please nail at <u>ethics@palmbeachcountyethics.</u>	visit the Commission on Ethics com or (561) 355-1915.
	Irwin L Jacobe	n this FORM to: owitz, J.D., OFMB/CDC 1.5, West Palm Beach, FL 33401	•
Section III (Commissioner, if a Appointment to be made Commissioner's Signature: Pursuant to Florida's Public Records La	de at BCC Meeting on:	Date: 5/16/d photocopied by members of the public.	Revised 02/01/2016

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Department): (Please Print) Advisory [X] Not Advisory [] Board Name: INVESTMENT POLICY COMMITTEE [] District Appointment /District #: [X] At Large Appointment 10/1/19 To: 9/30/22 Term of Appointment: From: Years. Experience in investment, banking or securities community; Seat #: Seat Requirement: investment management; related legal experience; or any combination thereof [] New Appointment [X]*Reappointment or [] to complete the term of resignation Due to: [] Completion of term to expire on: *When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: Section II (Applicant): (Please Print)

APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT Name: NASHBAN Occupation/Affiliation: **Business Name: Business Address:** City & State Residence Address: City & State **Business Phone:** Home Phone: Cell Phone: Email Address: Mailing Address Preference: Business [] Residence Have you ever been convicted of a felony: Yes If Yes, state the court, nature of offense, disposition of case and date [] Female [] Male Minority Identification Code: [] Asian-American [] African-American [] Caucasian

[] Native-American

Page 1 of 2

[] Hispanic-American

Contract/Transaction No.	Department/Division	Description of Services	<u>Term</u>
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
	(Attach Additions	al Sheet(s), if necessary) OR	
NONE		NOT APPLICABLE/ (Governmental Entity)	
of Ethics, and read the State Gu web at: http://www.palmbeactrequired before appointment, By signing below I ack	ide to the Sunshine Amendmen heountyethics.com/training.htm and upon reappointment. nowledge that I have read, u	and complete training on Article XIII, Article XIII, and the training rean. Ethics training is on-going, and nderstand, and agree to abide by	quirement can be found on the pursuant to PPM CW-P-79 is Article XIII, the Palm Beach
County Code of Ethics,	and I have received the require	the Web, DVD or VHS on, 20	necked below):
	AND		
By signing below I acl Amendment & State of *Applicant's Signature:	Florida Gode of Ethics:	understand and agree to abide been deaders.	
Any questions and/or concerns r website www.palmbeachcountye	egarding Article XIII, the Palm l ethics.com or contact us via ema	Beach County Code of Ethics, please il at ethics@palmbeachcountyethics.c	visit the Commission on Ethics om or (561) 355-1915.
	Irwin L Jacobow	chis FORM to: vitz, J.D., OFMB/CDC 5, West Palm Beach, FL 33401	
Section III (Commissioner, if a Appointment to be made	e ar BCC Meeting on:	Date: 9 16 16	
Commissioner's Signature: Pursuant to Florida's Public Records La	w, this document may be reviewed and p	11-1	Revised 02/01/2016

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Depa	rtment): (Ple	ase Print)								
Board Name:	INVEST	MENT POL	CY COM	AITTEE			Advisory	[X] N	ot Adviso	'y {]
[X] At I	Large Appoin	tment	or		[] District	Appoint	ment /Dist	rict #:		
Term of Appoint	tment:	3 Y	ears.	From:	10/1/19		То:	9/30/22		
Seat Requiremen	investn				rities communit erience; or any		Seat #: _			·
[X]*Rea	appointment		or		[] New App	ointmer	ıt			
or [] to c	complete the t	erm of			Due to:	[]	resignati	on [) other	
Completion of te	rm to expire o	n:		<u>-</u>		_ 、			•	
term shall be con Section II (Appli APPLICANT, U	icant): (Plea	se Print)	-							
Name:	NASHBAN			NED				uzh	*******	
Occupation/Affili	Last iation: _	ATTO Owner []	RNSY	_	mployee [])	Middle O:	fficer []	dF o	COUNSEL
Business Name:	-	5ARIT	2 + (OLMA		0.				
Business Addres	is: _	1075	BROKE		UND	PKI	NY TO	Zui	E 102	
City & State	-	Bock	RATI	,	FL Zij	p Code:	7 33	48 1		
Residence Addre	ess:	6003	NW	23 4	WAY					······································
City & State		DOCK	RATION	(, F	<u>之</u> zi _l	p Code:	33 y	96		
lome Phone:	56/	945-8	599	Busin	ess Phone:	(54)			24	
Cell Phone:	511)	358 - 82	70	Fax:		04/)	864-5	101		
Email Address:	M	ashban	C truits	colma	· loru	-				
Mailing Address I	Preference: 🕽	Business	[] Residence	9						
lave you ever been f Yes, state the co				No A					······································	
Minority Identifi [} Nativ	ication Code: /e-American	7. 5	nic-American		emale sian-American	[]A	frican-Am	erican 🏅	Caucasia	n

Contract/Transaction No.	Department/Division	Description of Services	<u>Term</u>
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
	(Attach Additiona	I Sheet(s), if necessary) OR	
NONE		NOT APPLICABLE/ (Governmental Entity)	
of Ethics, and read the State Gu	ide to the Sunshine Amendment scountyethics.com/training.htm	and complete training on Article XII 4 Article XIII, and the training req 1. Ethics training is on-going, and	uirement can be found on the
		nderstand, and agree to abide by d Ethics training (in the manner ch	
By wa	atching the training program on t	he Web, DVD or VHS on 7/30	20 <u>1</u> 4
	AND		
By signing below I ack Amendment & State of I *Applicant's Signature:	lorida Gode of Ethics:	understand and agree to abide by	•
Any questions and/or concerns re	garding Article XIII, the Palm E	Beach County Code of Ethics, please value at ethics@palmbeachcountyethics.co	risit the Commission on Ethics
	Irwin L Jacobow	nis FORM to: itz, J.D., OFMB/CDC , West Palm Beach, FL 33401	
Section III (Commissioner, if a Appointment to be made			
Commissioner's Signature:_	Melesse McK	inte 9/110	1
Pursuant to Florida's Public Records Law	, this document may be reviewed and pl	notocopied by members of the public.	Revised 02/01/2016



BARITZ & COLMAN LLP

Ned R. Nashban

nnashban@baritzcolman.com



Download Ned's vCard

Ned R. Nashban, a partner in Baritz & Colman's Florida Office, has represented clients in the areas of commercial litigation, business law, bankruptcy, creditor's rights and problem loan workouts, for over four decades. Ned's practice focuses on prosecuting or defending commercial litigation, in business disputes, or related to bankruptcy cases, including preference and fraudulent transfer claims, non-dischargeability, litigation and the representation of purchasers of assets out of bankruptcy estates. He has also developed a substantial global maritime and admiralty practice in the areas of products liability, warranty claims, contract issues, dealership terminations and corporate transactional work. He works with clients worldwide, including those in the United Kingdom, Brazil, Mexico, Canada, China, Italy and Israel.

Prior to joining Baritz & Colman LLP, Ned was a partner at Glaser Weil Fink Howard Avchen & Shapiro LLP and is actively involved as a member of State of Israel Bonds' Board of Directors, an international organization offering securities issued by the Israeli government. He is also a member of the Palm Beach County Bar Association, the Broken Sound Club, Bankruptcy Bar of South Florida, American Bar Association and Commercial Law League of America.

Ned earned both his B.A. and his J.D. from the University of Wisconsin. He is admitted to practice law in Florida and Wisconsin.

Ned is admitted in the U.S. Supreme Court, the U.S. District Courts for three Districts of Florida, and U.S. District Courts for Eastern and Western Wisconsin.

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

[X] At Larg	е Арроіг	ntment	or		[] Dist	trict App	ointme	nt /Dist	rict #:		
erm of Appointmen	nt:	3 Y	ears.	From:	10/1/19			'o:	9/30/2		-
eat Requirement:	investr	ence in invest nent managen nation thereof	nent; related	ng or securi I legal exper	ties comm	unity;	Sea		370072		
[X]*Reappo	intment		or		[]New	Appoint	ment				
[] to comp	lete the t	erm of			Due	to: [1 re	signatio	on [1	other
ompletion of term to	expire o					•	-		•	•	
PPLICANT, UNLE	EILICH			STEPHEN	DENI			н.			
inc. FRI				STEPHEN				H.			
Last				First				Middle	,		
		Director, Fi	ixed Incom		s, SunTr	ust Adv		Middle Servic	es		
		Owner []		e Strategie Emp	oloyee [X]			Servic	ces		
ccupation/Affiliation		Owner [] SunTrust B	Bank - Sun	Emp	oloyee [X] sory Serv			Servic	es	!	
ccupation/Affiliation usiness Name: usiness Address:		Owner [] SunTrust B 150 South	Bank - SunT US Highwa	Emp	oloyee [X] sory Serv			Servic	es		
ccupation/Affiliation isiness Name: isiness Address:		Owner [] SunTrust B	Bank - SunT US Highwa	Emp	oloyee [X] sory Serv		visory	Servic	es		
ccupation/Affiliation usiness Name: usiness Address: ty & State		Owner [] SunTrust B 150 South	Bank - SunT US Highwa	Emp Emp Frust Advis	oloyee [X] sory Serv	l vices	visory	Servic	ficer []		
ccupation/Affiliation usiness Name: usiness Address: ty & State usidence Address:	- - -	Owner [] SunTrust B 150 South 1 Jupiter, FL	Bank - SunT US Highwa y Bay Drive	Emp Emp Frust Advis	oloyee [X] sory Serv	l vices	visory	Of	334	77	
Last ccupation/Affiliation usiness Name: usiness Address: ty & State esidence Address: ty & State ome Phone:		Owner [] SunTrust B 150 South I Jupiter, FL 7151 Grassy West Palm I 561-471-51	Bank - SunT US Highwa y Bay Drive Beach, FL 29	Emp Frust Advis ay One - 5t	oloyee [X] sory Serv	rices Zip Coo	visory	Of	ficer []	77	
ccupation/Affiliation usiness Name: usiness Address: ty & State esidence Address: ty & State ome Phone:	1: -	Owner [] SunTrust B 150 South 1 Jupiter, FL 7151 Grassy West Palm B 561-471-51 561-827-234	Bank - Sun US Highwa y Bay Drive Beach, FL 29	Emp Frust Advis ay One - 5t Busines Fax:	oloyee [X] sory Serv h Floor	rices Zip Coo	visory	Of	334	77	
ccupation/Affiliation usiness Name: usiness Address: ty & State usidence Address: ty & State ty & State	1: -	Owner [] SunTrust B 150 South I Jupiter, FL 7151 Grassy West Palm I 561-471-51	Bank - Sun US Highwa y Bay Drive Beach, FL 29	Emp Frust Advis ay One - 5t Busines Fax:	oloyee [X] sory Serv h Floor	rices Zip Coo	visory	Of	334	77	
scupation/Affiliation siness Name: siness Address: cy & State sidence Address: cy & State me Phone:		Owner [] SunTrust B 150 South I Jupiter, FL 7151 Grassy West Palm I 561-471-51 561-827-234	Bank - Sun US Highwa y Bay Drive Beach, FL 29 40 omcast.net	Emp Frust Advis ay One - 5t Busines Fax:	oloyee [X] sory Serv h Floor	rices Zip Coo	visory	Of	334	77	

Contract/Transaction No.	Department/Division	Description of Services	<u>Term</u>
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
·	(Attach Addition	nal Sheet(s), if necessary) OR	
NONE	XXX	NOT APPLICABLE/ (Governmental Entity)	
or Eurics, and read the State Gillo	ie to the Sunshine Amendmer Countvethics.com/training.ht	d and complete training on Article XIII, nt. Article XIII, and the training requent. Ethics training is on-going, and p	irement can be found on the
By signing below I acknowledge County Code of Ethics, and	owledge that I have read, and I have received the requir	anderstand, and agree to abide by A red Ethics training (in the manner chec	rticle XIII, the Palm Beach cked below):
XX By water By atter	ching the training program on nding a live presentation giver	the Web, DVD or VHS on 8/20	20/19
	AND		
By signing below I ackn Amendment & State of Fl	owledge that I have read, orida Code of Ethics:	understand and agree to abide by	the Guide to the Sunshine
*Applicant's Signature:	M. Hall Print	ed Name: Stephen Freilic	h Date: 8/26/19
Any questions and/or concerns reg website www.palmbeachcountyeth	arding Article XIII, the Palm I ics.com or contact us via ema	Beach County Code of Ethics, please visil at ethics@palmbeachcountyethics.con	sit the Commission on Ethics or (561) 355-1915.
	Irwin L Jacobow	his FORM to: pitz, J.D., OFMB/CDC 5, West Palm Beach, FL 33401	
Section III (Commissioner, if app Appointment to be made a	olicable): at BCC Meeting on:		
Commissioner's Signature:	Machan Se	Date:	
Pursuant to Florida's Public Records Law, the	his document may be reviewed and pl	hotocopied by members of the public.	Revised 02/01/2016

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

[X] At La	arge Appo	intment	or		[] Dis	trict A	ppoint	ment /Dis	trict #: _		
erm of Appointm	ent:	3	Years.	From:	10/1/19			То:	9/30/	/22	
eat Requirement:	inves	rience in inve ment manag ination there	estment, bank ement; relate of	ing or securion d legal exper	ies comm ience; or	unity; any	;	Seat #:			
[X]*Reap	pointmen	:	or		[]New	Appoi	intme	nt			
[] to con	mplete the	term of			Due	to:	ſ 1	resignat	ion	[] oti	ıer
ompletion of term								100151144		[] 02	ici
PPLICANT, UNI	LESS EXI	EMPTED, M	UST BE A CO	UNTY RESI	DENT						
ıme: <u>F</u>	REILICI	I		STEPHEN				н.			
	ast		Fixed Incon	First	s, SunTr	rust A	.dvisc	H. Middl ory Servi	e ces		
L cupation/Affiliat	ast	Director, Owner []	T	First ne Strategie Emp	loyee [X]]	dvisc	Middl ory Servi	e ces fficer []	
L ccupation/Affiliat usiness Name:	ast	Director, Owner [] SunTrust	Bank - Sun' h US Highw	First 1e Strategie Emp Frust Advis	loyee [X] sory Serv]	dvisc	Middl ory Servi	ces]	
L ccupation/Affiliat usiness Name: usiness Address:	ast	Director, Owner [] SunTrust	Bank - Sun' h US Highw	First 1e Strategie Emp Frust Advis	loyee [X] sory Serv]		Middl ory Servi	fficer [1 477	
L ccupation/Affiliat usiness Name: usiness Address: ty & State	ast ion:	Director, Owner [] SunTrust 150 South Jupiter, F	Bank - Sun' h US Highw	First ne Strategie Emp Trust Advis ay One - 5tl	loyee [X] sory Serv] vices		Middl ory Servi	fficer [
Lecupation/Affiliations in the same of the	ast ion:	Director, Owner [] SunTrust 150 South Jupiter, F 7151 Gran	Bank - Sun n US Highw L	First ne Strategie Emp Trust Advis ay One - 5tl	loyee [X] sory Serv] vices	Code;	Middl ory Servi	fficer [
L	ast ion:	Director, Owner [] SunTrust 150 South Jupiter, F 7151 Grast West Palm	Bank - Sun'n US Highwa L Ssy Bay Driv n Beach, FL	First ne Strategie Emp Trust Advis ay One - 5th	loyee [X] sory Serv] vices Zip C	Code;	Middl ory Servi	fficer [477 411	
L scupation/Affiliati siness Name: siness Address: y & State sidence Address: y & State me Phone:	ast ion: :	Director, Owner [] SunTrust 150 South Jupiter, F 7151 Grad West Palm 561-471-5	Bank - Sun'n US Highwa L Ssy Bay Driv n Beach, FL 5129 340	First ne Strategie Emp Trust Advis ay One - 5the Business Fax:	loyee [X] sory Serv h Floor] vices Zip C	Code;	Middl ory Servi O	fficer [477 411	
L ccupation/Affiliati siness Name: siness Address: ty & State sidence Address: y & State	ast ion: :	Director, Owner [] SunTrust 150 South Jupiter, F 7151 Grad West Palm 561-471-5	Bank - Sun'n US Highwa L Ssy Bay Driv n Beach, FL	First ne Strategie Emp Trust Advis ay One - 5the Business Fax:	loyee [X] sory Serv h Floor] vices Zip C	Code;	Middl ory Servi O	fficer [477 411	
Lecupation/Affiliations Name: siness Name: siness Address: y & State sidence Address: y & State me Phone:	ast ion:	Director, Owner [] SunTrust 150 South Jupiter, F 7151 Grast West Palm 561-471-5 561-827-2	Bank - Sun'n US Highwa L Ssy Bay Driv n Beach, FL 5129 340 Ocomcast.net	First ne Strategie Emp Trust Advis ay One - 5the Business	loyee [X] sory Serv h Floor] vices Zip C	Code;	Middl ory Servi O	fficer [477 411	

Contract/Transaction No.	Department/Division	Description of Services	<u>Term</u>
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
	(Attach Additiona	I Sheet(s), if necessary) OR	
NONE	XXX	NOT APPLICABLE/ (Governmental Entity)	
or purios, and read the plate Oil	countvethics.com/training.htm	and complete training on Article XII Article XIII, and the training req Ethics training is on-going, and	winomand oan ha fared at the
By signing below I ackn County Code of Ethics, a	owledge that I have read, un nd I have received the required	derstand, and agree to abide by a l Ethics training (in the manner che	Article XIII, the Palm Beach ecked below):
. XX By wat		ne Web, DVD or VHS on 8/2	
	AND		
By signing below I ackr Amendment & State of Fl	owledge that I have read, u prida Code of Ethics:	nderstand and agree to abide by	the Guide to the Sunshine
*Applicant's Signature:		Name: Stephen Freilic	, , ,
Any questions and/or concerns reg website <u>www.palmbeachcountyeff</u>	arding Article XIII, the Palm Benics.com or contact us via email	each County Code of Ethics, please viate ethics@palmbeachcountyethics.co	sit the Commission on Ethics m or (561) 355-1915.
	Irwin L Jacobowitz	s FORM to: z, J.D., OFMB/CDC West Palm Beach, FL 33401	
Appointment to be made a	olicable): OCC Meeting on:	Date: 9/16/	9
ursuant to Florida's Public Records Law, t	his document may be reviewed and phot		Revised 02/01/2016

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

[X] A	At Large App	ointment	or		[] Dis	strict /	Appoin	tment/Dis	strict #:	
Term of Appo	intment:	3	Years.	From:	10/1/19)		To:	9/30/2	2
Seat Requirem	inve	erience in inves stment manage bination thereo	ment; related.	g or secui legal expe	rities comn erience; or	nunity any	y;	Seat #:		
[X]*F	Reappointme	nt	or		[]New	v Appo	ointme	nt	•	
or [] to	o complete th	e term of			Due	to:	[]	resignat	tion l	l other
Completion of	term to expir									onioi
Section II (App APPLICANT,	plicant): (P UNLESS EX	lease Print) EMPTED, MU	ST BE A COU	NTY RES	SIDENT					
Name:	FREILIC	н		TEPHEN	<u> </u>	·		н.		
Name: Occupation/Aff	Last		I	irst?		rust A	Adviso	Middl	le ices	
	Last	Director, F		irst Strategi	ies, SunT		Adviso	Middl ory Servi	ices	1
Occupation/Aff	Last iliation:	Director, F	I	First E Strategi Em	ies, SunTi iployee [X	 []		Middl ory Servi	le ices Officer []
Occupation/Aff	Last Iliation:	Director, F Owner [] SunTrust I	ixed Income	First Estrategi Em rust Adv	ies, SunTi nployee [X risory Ser	 []		Middl ory Servi	ices]
	Last Iliation:	Director, F Owner [] SunTrust I	Fixed Income Bank - SunTi US Highway	First Estrategi Em rust Adv	ies, SunTi nployee [X risory Ser	[] vices		Middl ory Servi	ices	
Occupation/Aff Business Name Business Addra	Last iliation: :	Owner [] SunTrust I 150 South Jupiter, FL	Fixed Income Bank - SunTi US Highway	First Estrategi Em rust Adv	ies, SunTi nployee [X risory Ser	[] vices		Middl ory Servi	ices	
Occupation/Aff Business Name Business Addre City & State	Last iliation: :	Owner [] SunTrust I 150 South Jupiter, FL	Fixed Income Bank - SunTi US Highway Bay Drive	First Estrategi Em rust Adv	ies, SunTi nployee [X risory Ser	vices Zip	Code;	Middl ory Servi	ices	.77
Occupation/Aff Business Name Business Addre City & State Residence Addre	Last iliation: :	Director, F Owner [] SunTrust I 150 South Jupiter, FL 7151 Grass	Bank - SunTi US Highway y Bay Drive Beach, FL	Pirst Estrategi Em rust Adv One - 5	ies, SunTi nployee [X risory Ser	vices Zip	Code;	Middl ory Servi	334	.77
Occupation/Aff Business Name Business Addre City & State Residence Addre City & State Lity & State Lity & State Lity & State	Last diliation: :: :: :: :: :: :: :: :: :: :: :: ::	Director, F Owner [] SunTrust I 150 South Jupiter, FL 7151 Grass West Palm) 561-471-51) 561-827-23	Bank - SunTa US Highway Bay Drive Beach, FL	Pirst Estrategi Em rust Adv One - 5	ies, SunT pployee [X risory Ser oth Floor	vices Zip	Code;	Middl ory Servi	334	.77
Occupation/Aff Business Name Business Addre City & State Residence Addre City & State	Last diliation: :: :: :: :: :: :: :: :: :: :: :: ::	Director, F Owner [] SunTrust I 150 South Jupiter, FL 7151 Grass West Palm) 561-471-51	Bank - SunTa US Highway Bay Drive Beach, FL	Pirst Emrust Adv One - 5	ies, SunT pployee [X risory Ser oth Floor	vices Zip	Code;	Middl ory Servi	334	.77
Occupation/Aff Business Name Business Addre City & State Residence Addre City & State Iome Phone: Cell Phone: mail Address:	Last filiation:	Director, F Owner [] SunTrust I 150 South Jupiter, FL 7151 Grass West Palm) 561-471-51) 561-827-23	Bank - SunTi US Highway Bay Drive Beach, FL 129 40 comcast.net	Pirst Emrust Adv One - 5	ies, SunT pployee [X risory Ser oth Floor	vices Zip	Code;	Middl ory Servi	334	.77

Contract/Transaction No.	Department/Division	Description of Services	<u>Term</u>
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
		-	
	(Attach Addition	nal Sheet(s), if necessary) OR	
NONE	XXX	NOT APPLICABLE/ (Governmental Entity)	
of Etnics, and read the State Guid	de to the Sunshine Amendment countyethics.com/training.ht	d and complete training on Article XII nt. Article XIII, and the training req tm. Ethics training is on-going, and	nirement can be found on the
By signing below I acknown County Code of Ethics, and	owledge that I have read, and I have require	understand, and agree to abide by red Ethics training (in the manner ch	Article XIII, the Palm Beach ecked below):
XX By wat	ching the training program on nding a live presentation give	the Web, DVD or VHS on <u>8/2</u> n on, 20	5 20 19
·	AND		
By signing below I ackn Amendment & State of Fl	owledge that I have read,	understand and agree to abide by	the Guide to the Sunshine
*Applicant's Signature:	' /	ted Name: Stephen Freili	Ch Date: 8/26/19
Any questions and/or concerns reg website www.palmbeachcountyeth	arding Article XIII, the Palm nics.com or contact us via ema	Beach County Code of Ethics, please vil at ethics@palmbeachcountyethics.co	isit the Commission on Ethics m or (561) 355-1915.
	Irwin L Jacobon	this FORM to: vitz, J.D., OFMB/CDC 5, West Palm Beach, FL 33401	
Section III (Commissioner, if app	plicable):		
Appointment to be made a	BCC Meeting on:	15 (1)	
Commissioner's Signature:	r. Wyrenth	Date: 9/10	119
Pursuant to Florida's Public Records Law, t	this document may be reviewed and p	hotocopied by members of the public.	Revised 02/01/2016

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL.

Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Department): (Please Print) INVESTMENT POLICY COMMITTEE Board Name: Advisory [X] Not Advisory [] [X] At Large Appointment [] District Appointment /District #: Term of Appointment: Years. From: 10/1/19 9/30/22 Seat Requirement: Experience in investment, banking or securities community; Seat #: investment management; related legal experience; or any combination thereof [X]*Reappointment or [] New Appointment [] to complete the term of Due to: [] resignation 1 other Completion of term to expire on: *When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: Section II (Applicant): (Please Print)

APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT Name: **FREILICH STEPHEN** Last **First** Middle Director, Fixed Income Strategies, SunTrust Advisory Services Occupation/Affiliation: Owner [] Employee [X] Officer [] SunTrust Bank - SunTrust Advisory Services **Business Name:** 150 South US Highway One - 5th Floor **Business Address:** Jupiter, FL City & State 33477 Zip Code: 7151 Grassy Bay Drive Residence Address: West Palm Beach, FL City & State 33411 Zip Code: 561-471-5129 Home Phone: 1561-972-9350Ext **Business Phone:** 561-827-2340 Cell Phone: sfreilich1127@comcast.net Email Address: Mailing Address Preference: [] Business [X] Residence Have you ever been convicted of a felony: Yes If Yes, state the court, nature of offense, disposition of case and date: **Minority Identification Code:** [X] Male [] Female [] Native-American [] Hispanic-American [] Asian-American [] African-American [X] Caucasian

Contract/Transaction No.	Department/Division	Description of Services	<u>Term</u>
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
<u> </u>	(Attach Additiona	ol Sheet(s), if necessary) OR	
NONE	XXX	NOT APPLICABLE/ (Governmental Entity)	
	Ounivethics com/training him	and complete training on Article XIII, Article XIII, and the training requ. Ethics training is on-going, and p	
By signing below I acknow County Code of Ethics, an	wledge that I have read, un d I have received the require	derstand, and agree to abide by A d Ethics training (in the manner che	rticle XIII, the Palm Beach cked below):
XX By water By atter	thing the training program on the	ne Web, DVD or VHS on 8/20	20/9
	AND		
*Applicant's Signature: Any questions and/or concerns regard	Printed	I Name: Stephen Freilice each County Code of Ethics, please visuate ethics@palmbeachcountyethics.com	h Date: 8/26/19
	Irwin L Jacobowitz	s FORM to: z, J.D., OFMB/CDC West Palm Beach, FL 33401	
Section III (Commissioner, if app	licable):		
Appointment to be made at Commissioner's Signature:	· · · · · · · · · · · · · · · · · · ·) —————————————————————————————————————	
Commissioner's Signature: 1 1 Pursuant to Florida's Public Records Law, th	C	Occopied by members of the public.	Revised 02/01/2016

STEPHEN H. FREILICH, CFA

7151 Grassy Bay Drive West Palm Beach, FL 33411 Phone (561) 827-2340 E-mail: sfreilich1127@comcast.net

Career Summary

Highly effective financial professional with over twenty years of success in portfolio management and financial analysis. Demonstrated strength in maximizing investment performance with excellent communication skills. Experience encompasses:

- Fixed-Income Portfolio Management
- Client Presentations and Development
- Relative Value Analysis of Fixed-Income Securities
- Risk Management and Investment Reporting

Professional Experience

SunTrust Advisory Services – SunTrust Bank Investment Advisory Group Director, Fixed Income Strategies

(2013-Present)

- Active portfolio management, asset allocation and security selection for \$2.6 Billion of investment grade portfolios across multiple strategies
- Customized management of 300 separately managed institutional and retail accounts (SMA's) including; insurance companies, public funds, endowments, not-for-profits, trusts and high net worth clients
- Drive returns through duration and yield curve positioning, relative value analysis, sector and credit exposure, spread analysis, and risk management controls
- Manage to multiple benchmarks including the Barclays Aggregate, medium and short-term indices
- Manage portfolios across the yield curve with; total return, absolute return and liability matched mandates
- Created a corporate bond composite model portfolio and corporate relative value spreadsheets ranked by sector, credit quality, issuer and maturity range
- Highly proficient in the analysis of MBS' (RMBS, CMBS, CMO's) and callable securities
- Recommend and present firm's philosophy, strategy and approach to investing
- Aid in business development resulting in teams AUM growth from \$450 MM to \$5.1 Billion

Financial Consultant Independent financial advisor

(2012-2013)

- Portfolio management and asset allocation advisory for public sector bond portfolios
- Develop cash flow models for start-up companies private placement memorandums
- Aid in the raise of debt and equity capital for a variety of business projects

South Florida Water Management District Treasurer

(2002-2011)

Treasurer for one of the nation's largest flood control and water management agencies. A \$5 billion (assets) special taxing district with a \$1.5 billion annual budget. The District is responsible for the largest environmental restoration project in U.S. history. Responsible for managing the agencies debts, investments and banking. Reported directly to the CFO.

- Direct management of the District's \$850M fixed-income portfolio. (MBS, Agencies, Corporates)
- Extensive network of street contacts including commercial lenders, primary dealers, analyst and traders.
- Issuance and management of all debt consisting of; \$2B in bonding authorization, \$700M Certificates of Participation, \$200M bank loans, \$20M capital leases, \$20M lines of credit, Repo and reverse repo agreements.
- Raised debt capital through public finance banking syndicate, financial advisors, bond counsel, rating agency and bond insurers to secure optimal financing rate and terms. New money issues and refundings.
- Received the Bond Buyers 2007 "Deal of the Year Award" for creativity and ingenuity in debt issuance.
- Conducted due diligence, rating agency reviews and investor conference calls.
- Wrote, bid and negotiated RFP's for banking services, banking syndicate, financial advisors, software and treasury services.

Clerk & Comptroller, Palm Beach County

(1998-2002)

(The Clerk maintains custody and oversight of all County funds. Palm Beach is a \$6.2 billion county with a \$4.8 billion budget)

Investment Analyst/Portfolio Manager

- Developed and executed investment strategies for the \$2.0 billion domestic fixed-income portfolio.
- Monitored economic and financial market conditions, performed credit analysis of structured securities, and expanded investment research, analysis and reporting.
- Supervised cash management staff, monitored banking relations, and maintained oversight of all funds transfer and custodial activities. Wrote the performance summary and economic update for the investment newsletter.
- Efforts resulted in increased portfolio yield and consistent outperformance of the portfolio's benchmarks.

Capital Asset Research Corp.

(1996-1998)

(Formerly the nation's largest tax lien investment and servicing firm. Taken over by MBIA in 1998)

Foreclosure Manager

- Managed \$400 million of tax lien and land lease securitized private investment trusts.
- Developed the policies and procedures for a new division created to service municipal debt portfolios.
- Implemented all foreclosure activity from initial filing to disposition.
- Monitored commercial real estate market activity and prepared the investment summary and inventory reports.
- Primary liaison with foreclosure and bankruptcy attorneys, brokers, appraisers, bondholders and city officials.
- Exceeded forecasted cash redemptions resulting in accelerated payoff to investors.

Education and Credentials

Masters of Science in Finance (MSF) Georgetown University – McDonough School of Business

Bachelor of Science in Finance
George Mason University
(concentration in investment analysis and portfolio management)

Chartered Financial Analyst (CFA)

Professional Associations

Palm Beach County Investment Policy Committee (vice chair - appointed 2003) (Includes oversight of the county's \$2 billion investment portfolio)

Financial Analyst Society of South Florida

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Board Name: IN	IVESTMENT P	OLICY CO	MMITTEE		Ad	visory [X]	Not Advisory
[X] At Large	Appointment	1	or	[] District Ap	pointmen	:/District#:	
Term of Appointment	3	Years.	From:	10/1/19	То	: <u>9/30</u>	/22
Seat Requirement:	Experience in invinuestment mana combination ther	gement: rela	king or secur ted legal expe	ities community; rience; or any	Seat	#:	
[X]*Reappoi	ıtment	o	er .	[] New Appoin	ntment		
or [] to comple	ete the term of			Due to: [1 resi	onation	[]
Completion of term to	expire on:		· · · · · · · · · · · · · · · · · · ·	Dub to: 1	1 1031	gnation	[] other
*When a person is beiterm shall be consider Section II (Applicant) APPLICANT, UNLES	(Please Print)	- would, co			sclosed vo	ting conflict	s during the previou
	KS, JR.	OST BEAU		DENT			
Last		^	MARK First		A	iddle	
Occupation/Affiliation:	Chei	+ Fina	ocial O.	fficec			
	Owner [1.	Emp	oloyee [X]		Officer [1
Business Name:	The C	itu of	West F	alm Bea	ch		•
Business Address:	4010	Lemati	s St.	<u> </u>			
City & State	West	Halm B	each, F	Zip Co	de:	3340	7/
Residence Address:	5600	N.Flac	der Dr	# 2207	7		7
City & State	West	PalmR	each. F	Zip Co.	de:	3340	7
Home Phone:	018 407-4	1973	Busines	s Phone: (5)	60 822-1	222 Ext.	<i>F</i>
Cell Phone:	016) 407-4	973	Fax:			-1349	
Email Address:	mparks@	wpb.org					
Mailing Address Preferer	ice: [X] Business	[] Residence	e .				
Have you ever been conv If Yes, state the court, nat	/ icted of a felony: ture of offense, dis	Yes position of cas	No X se and date:	N/A			
Minority Identification (le anic-America	[]Fem		African-A	American	[] Caucasian

Contract/Transaction No.	Department/Division	Description of Services	<u>Term</u>
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
	(Attach Additiona	ol Sheet(s), if necessary) OR	
NONE		NOT APPLICABLE/ (Governmental Entity)	j
	Ountvethics.com/training.htm	and complete training on Article XIII Article XIII, and the training requ Ethics training is on-going, and p	
By signing below I acknow County Code of Ethics, an	wledge that I have read, un d I have received the required	derstand, and agree to abide by A I Ethics training (in the manner che	article XIII, the Palm Beach
By water	thing the training program on the ding a live presentation given o	ne Web, DVD or VHS on	20
	AND	•	
	Cour of Bintes:	nderstand and agree to abide by	
*Applicant's Signature:	Printed.	Name: Mark A. Parks, Jr	Date: 8-28-/9
Any questions and/or concerns rega website <u>www.palmbeachcountyethi</u>	rding Article XIII the Palm Re	ach County Code of Ethics, please vis at ethics@palmbeachcountyethics.com	led - G
		s FORM to: 5, J.D., OFMB/CDC West Palm Beach, FL 33401	
Section III (Commissioner, if app	icable):		
Appointment to be made at	BCC Meeting on:		
Commissioner's Signature:	Mache 15	Date:	
Pursuant to Florida's Public Records Law, thi	s document may be reviewed and photo	ocopied by members of the public.	Revised 02/01/2016

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

[X] At Lar			MMITTEE		Adviso	ry X Not Advisory
	ge Appointme	nt	or	[] District A		
Term of Appointme	nt: <u>3</u>	Years.	From:	10/1/19	То:	9/30/22
Seat Requirement:	Experience investment combinatio	in investment, ban management; relai n thereof	king or secu ted legal expo	rities community; erience; or any	Seat #:	
[X]*Reappo	ointment	o	r ·	[] New Appoi	ntment	
or [] to com	plete the term	of		Due to: I	1 resigna	tion F 7
Completion of term t	o expire on:] resigna	tion [] other
*When a person is term shall be consid Section II (Applican APPLICANT, UNLE	t): (Please Pr	int)	mmissioners:		sclosed voting	conflicts during the previous
	RKS, JR.	•	MARK		A	
Las Occupation/Affiliation		has Cr.	First ,	or	A. Midd	le
Occupation/Attination		•	ncial 0	1-ticer		
	Own	er[]		ployee [X]	1	Officer []
Business Name:	<u> </u>	e City of	West 1	Palm Bea	<u>ch</u>	
Business Address:	40	1, Clemati	s St			
City & State	We	st talm 13	each, t	Zip Co	ode: <u>3</u>	3401
Residence Address:	56	OON. Fluo	der Dr	. # 2207	7	,
City & State	We	St Palm B	each. F	Zip Co	de: 3-	3407
Home Phone:	(218 40	7-4973	Busines		50 872-132	2 Ext.
Cell Phone:	Q16) 40°	1-4973	Fax:	<u> </u>	50 822-1	1349
Email Address:	mparks	@ wpb.org	<u> </u>			7/
Mailing Address Prefer	rence: [XÎ Bus	iness [] Residence	e e			
Have you ever been con If Yes, state the court, r	victed of a fel	ony: Yes se, disposition of cas	No X	N/A		
Minority Identification] Male] Hispanic-American	[]Fen		African-Ame	erican [] Caucasian

Contract/Transaction No.	Department/Division	Description of Services	Term
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
	(Attach Additio	onal Sheet(s), if necessary) OR	
NONE	A49.10.	NOT APPLICABLE/ (Governmental Entity)	
	untvethics.com/training h	ad and complete training on Article XIII, thent. Article XIII, and the training require tm. Ethics training is on-going, and pure	
By signing below I acknow County Code of Ethics, and	viedge that I have read, I have received the requi	understand, and agree to abide by Arti red Ethics training (in the manner checke	cle XIII, the Palm Beach
By watch By attend	ing the training program on ling a live presentation give	the Web, DVD or VHS on an on June 12, 2019	20
	AND	1	
By signing below I acknow Amendment & State of Flor *Applicant's Signature:	The Court of Edities.	understand and agree to abide by the	
	Print	ted Name: Mark A. Parks Jr	Date: 8-28-19
Any questions and/or concerns regard website www.palmbeachcountyethics	ding Article XIII, the Palm s.com or contact us via ema	Beach County Code of Ethics, please visit till at ethics@palmbeachcountyethics.com or	he Commission on Ethics (561) 355-1915.
	Irwin L Jacobow	this FORM to: pitz, J.D., OFMB/CDC 5, West Palm Beach, FL 33401	
Section III (Commissioner, if applied Appointment to be made at 1	cable): 3CC Meeting on:		
Commissioner's Signature:	Dugg	Date: 9/16/19	
Pursuant to Florida's Public Records Law, this	document may be reviewed and pl	holocopied by members of the public.	Revised 02/01/2016

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Board Name: 1	NVESTMENT I	POLICY COM	MMITTEE		Advisory	X Not Advisory
[X] At Larg	e Appointment	0	r	[] District A	ppointment /Dist	
Term of Appointmen	t: <u>3</u>	Years.	From:	10/1/19	To:	9/30/22
Seat Requirement:	Experience in in investment manacombination the	agement; relate	king or secur ed legal expe	ities community; rience; or any	Seat #:	
[X]*Reappoi	intment	01	· .	[] New Appoi	ntment	
or [] to comp	lete the term of			Due to:	· 1	5.7 0
Completion of term to		•		Due to,] resignation	on [] other
		r reappointme of County Con	nt, the numb nmissioners:	er of previous di	sclosed voting co	onflicts during the previou
Section II (Applicant APPLICANT, UNLES	E (Please Print) SEXEMPTED, A	MUST BE A CO	OUNTY RES	IDENT		
Name: PAR	KS, JR.		MARK		Α.	
Occupation/Affiliation:	Che	f Finan	First O.	fficer	Middle	
	Owner [].		ployee [X]	Off	icer []
Business Name:	The (City of	West F	Palm Bea	ch	
Business Address:	401	Clematic	SF		<u></u>	
City & State	West	Halm B	each, F	L Zip Co	ode: 35	3401
Residence Address:	5600	N. Flag	les Da	# 270	1	
City & State	West	PamR	ocich E	Zip Co	de: 22	407
Home Phone:	(218 407-4	1973	Busines		50 822-1322	Ext.
Cell Phone:	016) 407-4	1973	Fax:	_(2/)U9
Email Address:	mparks @	wob.org	*******	<u></u>	M 0657)	7-
Mailing Address Prefere	nce: [XÎ Business	[] Residence	:	•		
Have you ever been converted f Yes, state the court, na	/ victed of a felony: sture of offense, dis	Yessposition of case	No X and/date: _	N/A		
Ainority Identification	Code: [] Ma	ıle	[]Fem	-1.		,

Contract Tansaction (10.	Department/Division	Description of Services	<u>Term</u>
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
	(Attach Additions	ol Sheet(s), if necessary) OR	
NONE		NOT APPLICABLE/ (Governmental Entity)	
web at: http://www.palmbeach required before appointment, a By signing below 1 ackn	countyethics.com/training.htm nd upon reappointment.	and complete training on Article XIII. Article XIII, and the training requ. Ethics training is on-going, and parestand, and agree to abide by	uirement can be found on the oursuant to PPM CW-P-79 is
County Code of Ethics, an	nd I have received the required	derstand, and agree to apide by A l Ethics training (in the manner che	cked below):
By wat By atte	tching the training program on the ending a live presentation given o	on June 12, 2019	20
	AND	,	
By signing below I ackn Amendment & State of Fl	cone of Printes:	nderstand and agree to abide by	
*Applicant's Signature:	Printed	Name: Mark A. Parks, Jr	Date: 8-28-/9
Any questions and/or concerns reg website www.palmbeachcountyeth	arding Article XIII the Polm Re	ach County Code of Ethics, please visat ethics@palmbcachcountyethics.com	As at the second second
	lrwin L Jacobowitz	s FORM to: ,, J.D., OFMB/CDC West Palm Beach, FL 33401	
Appointment to be made a Commissioner's Signature:	BCC Meeting on:	Date: 9 16 19	
Pursuant to Florida's Public Records Law, th	nis document may be reviewed and photo	ocopied by members of the public.	Revised 02/01/2016

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Department): (Please Print			0 7	y w resume to	,,,,,,	
Board Name: INV	ESTMENT	POLICY CO	MMITTEE	<u>;</u>	Advi	sory (X)	Not Advisory [
[X] At Large A	ppointment		or	[] Distric	t Appointment /		
Term of Appointment:	3	Years.	From:	10/1/19	То:	9/30	/22
461.	operience in it vestment man mbination the	agement: rela	iking or secu ted legal exp	rities commun erience; or any	ity; Seat #:	·	
[X]*Reappointm	ient	d)r	[] New Ap	pointment		
or [] to complete Completion of term to exp	-		<u>. </u>	Due to:	[] resign	Iation	[] other
*When a person is being term shall be considered Section II (Applicant): APPLICANT, UNLESS I	(Please Print)				disclosed votin	g conflicts	s during the previous
Name: PARKS			MARK		А.		
Occupation/Affillation:	Che Owner			ffice C	Mid	dle	
Business Name:	The	City of	West 1	ployee [X] Palm Be	each	Officer [1
Business Address: City & State	401 West	Clemati Palm R	s St.	-		3340	
Residence Address:	5,600	N.Flag	,	# 220		>5 /0	/
City & State Home Phone:	West 407-4	Palm B	each, F		Code: 3	340	7
	W 407-4	1973	Busine: Fax:	_	(56) 822-13. (56) 822-13.	1249	
Email Address:	parks@	wpb.org		-	SOU SEA	137/	
Mailing Address Preference:	[X] Business	[] Residenc	e				
Have you ever been convicte If Yes, state the court, nature	d of a felony: of offense, dis	Yessposition of cas	No X e and date; _	- N/A		• • • • • • • • • • • • • • • • • • • •	
Minority Identification Cod [] Native-American		nle Panic-American	[]Fem	ale n-American	🕅 African-Am	erican [] Caucasian

Contract/Transaction No.	Department/Division	Description of Services	<u>Term</u>
Example: (R#XX-XX/PO XX)	Parks & Recreation	General Maintenance	10/01/00-09/30/2100
			-
	(Attach Additions	al Sheet(s), if necessary) OR	
NONE		NOT APPLICABLE/ (Governmental Entity)	
ETHICS TRAINING: All board of Ethics, and read the State Gui web at: http://www.palmbeach required before appointment, a	countvethics.com/training.htm	and complete training on Article XIII, Article XIII, and the training requ L. Ethics training is on-going, and p	the Palm Beach County Code irement can be found on the ursuant to PPM CW-P-79 is
By signing below I ackn County Code of Ethics, and	owledge that I have read, un ad I have received the require	nderstand, and agree to abide by A d Ethics training (in the manner chec	rticle XIII, the Palm Beach eked below):
By wat By atte	ching the training program on the nding a live presentation given of	ne Web, DVD or VHS on	20
	AND	•	
*Applicant's Signature: Any questions and/or concerns rep	Printed	inderstand and agree to abide by Name: Mark A. Parks J. Peach County Code of Ethics, please visit at ethics@palmbcachcountycthics.com	Date: <u>8-28-/9</u>
	Return this Irwin L Jacobowitz	s FORM to: J.D., OFMB/CDC West Palm Beach, FL 33401	or (561) 355-1915.
Section III (Commissioner, if app Appointment to be made a	licable): t BCC Meeting on:		
Commissioner's Signature:	Alesse McKin	Date: 9/16/19	
Pursuant to Florida's Public Records Law, th	is document may be reviewed and photo	ocopied by members of the public.	Revised 02/01/2016

MARK A. PARKS, JR., CPA, MBA

5600 N Flagler Drive, Suite 2207, West Palm Beach, FL 33407 Telephone: (216)407-4973 ◆ E-mail: mapcpagroup@gmail.com

Executive Leadership/Chief Financial Officer

Senior level financial executive for one of the United States' fastest growing cities and served as the same for one of the United States' largest counties. Extremely knowledgeable about governmental operations at all levels. Highly skilled in crisis and performance management. Also knowledgeable about public financing, including developing public-private partnerships. Results driven leader with a proven history of improving multi-faceted operations utilizing metrics and quantitative analysis. Strong leadership skills and a track record of success leading in an environment of significant change. Proven ability to develop, oversee, and improve large complex organizations.

QUALIFICATIONS AND ACCOMPLISHMENTS

Efficient performance with a high level of acumen in:

- Budget development, financial management, cash management, general accounting, taxation, internal controls, governmental operations, and small business consulting.
- Thorough knowledge of both GASB and FASB accounting
- Change management and organizational design
- Awarded the Government Finance Officers Association (GFOA) Distinguished Budget Presentation Award and the GFOA Certificate for Excellence in Financial Reporting

Technical Skills:

- Experience in financial systems selection and implementation
- Microsoft Office Word, Excel, PowerPoint, Access, Publisher
- Experience using various accounting software packages, For Profit and Not For Profit
- Experience in implementing complex public finance structures

PROFESSIONAL EXPERIENCE

DIRECTOR OF FINANCE, City of West Palm Beach, West Palm Beach, FL

2015 - Present

- Responsible for all financial operations of the City
- Manage the sale of notes and bonds to finance major projects by coordinating information among bond counsel, underwriters' trustees, and banks
- ♦ Manage City funds, long-term and short-term investments of more than \$400 million

CHIEF FISCAL OFFICER, Cuyahoga County, Cleveland, OH

2013-2015

- ◆ CFO with comprehensive knowledge of County finances and operations
- ◆ Serve as statutory County Auditor, Recorder, and Clerk of Courts (Title Division) as defined by the Ohio Revised Code
- Serve as Chief Fiscal Officer for the entire County, a \$1.5 billion governmental entity, overseeing financial operations, accounting, payroll, AP/AR, budgets, purchasing, property assessment, revenues and expenditures, investments, and debt offerings
- Manage the sale of notes and bonds by coordinating information among bond counsel, underwriters' trustees, and banks, including but not limited to participating on the \$465 million financing team for the Global Center for Health Innovation and Convention Center and the \$260 million Convention Center Hotel

TREASURER, Cuyahoga County, Cleveland, OH

2012-2013

- Performed all functions of the County Treasurer for a \$1.5 billion governmental entity
- ♦ Managed the collection of over \$1.0 billion in taxes annually

MARK A. PARKS, JR. 2 of 2

- ♦ Managed County funds, long-term and short-term investments of more than \$800 million
- ♦ Disbursed tax revenues to school districts, municipalities, libraries, and other taxing subdivisions
- ♦ Administered the monthly installment and automatic bill payment programs to make paying property taxes more convenient and less burdensome

DIRECTOR OF SPECIAL PROJECTS, Cuyahoga County, Cleveland, OH

2012-2013

- Managed a process called CountyStat to quantitatively evaluate the operations of the Fiscal Office and determine corrective actions
- Assisted the Chief Fiscal Officer with organization and consolidation of the new fiscal office, including but not limited to organizational structure, physical plant, and accounting structure
- Served as controller for the entire County, overseeing financial operations, accounting, payroll, AP/AR, reviewing and preparing budgets, monitoring revenues and expenditures, and implementing corrective actions
- Evaluated the effectiveness and challenges of the newly formed government and recommend structural changes
- Evaluated staffing for effectiveness and efficiency and recommend changes
- ♦ Implemented new Charter requirements for reformed County government

CHIEF FISCAL OFFICER/TREASURER (Acting), Cuyahoga County, Cleveland, OH

2011- 2012

- Began financial transition from former County Commissioner form of government to Executive form of government by facilitating the consolidation of three formerly elected officials' offices and four departments into one financial enterprise
- Developed and implemented strategies to bring together a very diverse group of employees, many of whom had never worked together before
- Began the elimination of redundancies that were inherent in the former form of government
- Performed all functions of the Chief Financial Officer and Treasurer for a \$1.5 billion governmental entity
- Managed the entire financial enterprise for the County
- Implemented new Charter requirements for reformed County government

FINANCIAL SYSTEM ADMINISTRATOR, Cuyahoga County, Cleveland, OH

2010 - 2011

- Performed development of operating budget, monitored revenue estimates for the General Fund and Health & Human Services Levies and debt service
- Assisted in the sale of notes and bonds by coordinating information among bond counsel, underwriters, trustees, and banks, including participating on the \$465 million financing team of the Cleveland Medical Mart and Convention Center
- Facilitated maintaining the County's note and bond ratings
- Performed capital management duties, developed indirect cost plan, and evaluated proposals for new or expanded projects
- Provided computer software technical support and assistance to end-users in County departments

BUDGET MANAGEMENT ANALYST, Board of County Commissioners, Cleveland, OH 2005 - 2010

- ◆ Developed, prepared and monitored \$1.5 billion budget for the Board of County Commissioners (BOCC)
- Analyzed fiscal activities of assigned departments and make forecasts based on expenditure patterns
- Performed fiscal management functions for BOCC agencies

ADJUNCT INSTRUCTOR, Cuyahoga Community College, Cleveland, OH

2007 - 2011

- ◆ Teach basic, intermediate, governmental, and advanced principles of accounting courses
- Prepare students for life in the business world

MARK A. PARKS, JR. 3 of 3

CHIEF FINANCIAL OFFICER, Lake Metropolitan Housing Authority, Painesville, OH 2002 - 2005

- ♦ Managed and performed all fiscal duties including cash management, investments, payroll, accounts receivable, accounts payable, taxes, budgeting, forecasting and general accounting
- Automated the accounting processes and implemented changes that increased efficiency

STATUTORY CUYAHOGA COUNTY BOARDS AND COMMISSIONS

- ♦ Board of Control
- ♦ Contracts and Purchasing Board
- ♦ Cuyahoga County Audit Committee
- ♦ Board of Revision
- ♦ Budget Commission
- Cuyahoga County Community Improvement Corporation
- ◆ Cuyahoga County Land Reutilization Corporation (Land Bank)
- ♦ Tax Incentive Review Board
- ♦ Cuyahoga County Investment Advisory Committee

CIVIC ENGAGEMENT

VILLAGE COUNCIL PRESIDENT & FINANCE COMMITTEE CHAIRMAN, Orange Village, OH 2005, 2008-2013 The President of Council is responsible for presiding over all Council meetings, appointing all committee chairs, and serving as acting Mayor in the Mayor's absence or in the event of incapacity. The Finance chair is responsible for choosing and presiding over a committee to scrutinize the financial records and transactions of the Village.

COMMUNITY ACTIVITIES

- ♦ 2017 Present: Graduate, Finance Committee Member, and Governing Board Member, Leadership Palm Beach County
- ♦ 2016 Chairman of the Community Advisory Committee, Vice Chairman of the Board of Directors, St. Mary's Hospital and Palm Beach Children's Hospital
- ♦ 2016 Present: Graduate and Day Chairman for City Center Day, Leadership West Palm Beach
- ♦ 2011 2015: Orange Schools Vision and Strategic Planning Committee
- ♦ 2010 2015: Lander Circle Kiwanis Club
- ♦ 2010 2011: Representative for the Cuyahoga County Transition Team for Finance

EDUCATION AND LICENSURE(S)

University of Phoenix

Masters of Business Administration Degree, concentration in e-Business, July 2006

♦ 3.88 grade point average on a 4.0 scale

Cleveland State University

Completed coursework equivalent to an accounting major

- ♦ 3.4 grade point average on a 4.0 scale in accounting
- ◆ Completed Cleveland State Leadership Academy in 2008

Baldwin-Wallace University

Bachelor of Arts Degree with a dual major in Business Administration and Political Science

President's Council Foundation

Emerging Entrepreneurs Class of 2005

MARK A. PARKS, JR.

4 of 4

Passed:

- ♦ Life, Accident & Health and Variable Products exam
- ♦ Passed Securities Series 6, 26, 63, and Series 65
- ♦ Certified Public Accountant, licensed in Florida and Ohio
- ◆ Certified Public Pension Trustee