

**PALM BEACH COUNTY
BOARD OF COUNTY COMMISSIONERS**

BOARD APPOINTMENT SUMMARY

Meeting Date: June 2, 2020

Department: Office of Financial Management & Budget

Advisory Board: Financing Committee

I. EXECUTIVE BRIEF

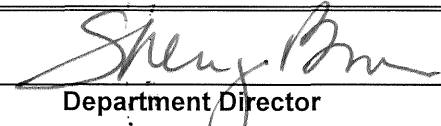

Motion & Title: **Staff recommends motion to approve :** the appointment of the following individual to the County Financing Committee for the term beginning July 16, 2020, to July 15, 2023:

<u>Nominee</u>	<u>Seat No.</u>	<u>Nominated By</u>
Howard L. Schwartz	At-Large	Commissioner Weinroth

Summary: On April 3, 2012, the Board of County Commissioners approved the addition of an at-large seat on the County Financing Committee (CFC). The Committee is currently comprised of the Director of the Office of Financial Management & Budget (Sherry Brown), a representative from the Clerk & Comptroller’s Office (Stephen Weiss), two (2) representatives from local government agencies (Ron Horan, Palm Beach County School District Treasury Manager and Paul Dumars, Director of Financial Services, Palm Beach County Solid Waste Authority), and a County Department Director, if needed. A memo dated February 7, 2020 was circulated to the BCC notifying the Board that the at-large seat on the CFC is currently vacant. The Board appointee will serve a term of three years, at which time the appointee may be re-appointed, or a new appointee selected by the Board. The Committee has 5 seats and the diversity count is: 1 African-American (20%), 4 Caucasian (80%). The gender ratio (female : male) is 1:4. **Countywide (DB)**

Background and Justification: The appointee should have substantial experience in the financial services industry but cannot be employed or recently retired from a firm that is on contract or rotation of contract to do business with the County. The following firms are under contract with the County in regards to underwriting services: CitiGroup Global Markets, Morgan Stanley and Company, Bank of America Merrill Lynch, JP Morgan Securities, Goldman Sachs & Company, RBC Capital Markets, Wells Fargo Securities, Stifel, Raymond James & Associates, and PNC Bank. The following firms are under contract with the County to provide bond counsel and disclosure counsel services respectively: Locke Lord LLP and Bryant Miller Olive. The County’s financial advisory services are provided by Public Financial Management (PFM).

Attachments:
1. Boards/Committees Application with Resume

Recommended by:		4/30/20	
	Department Director	Date	
Legal Sufficiency:		4/30/2020	
	Assistant County Attorney	Date	

PALM BEACH COUNTY
BOARD OF COUNTY COMMISSIONERS
BOARDS/COMMITTEES APPLICATION

The information provided on this form will be used in considering your nomination. Please COMPLETE SECTION II IN FULL. Answer "none" or "not applicable" where appropriate. Please attach a biography or résumé to this form.

Section I (Department): (Please Print)

Board Name: County Financing Committee (CFC) Advisory ☒ Not Advisory ☐
☒ At Large Appointment or ☐ District Appointment / District #: _____
Term of Appointment: 3 Years. From: _____ To: _____
Seat Requirement: Board of County Commissioners At Large Appointee Seat #: _____
☐ *Reappointment or ☒ New Appointment
or ☐ to complete the term of _____ Due to: ☐ resignation ☐ other
Completion of term to expire on: _____

*When a person is being considered for reappointment, the number of previous disclosed voting conflicts during the previous term shall be considered by the Board of County Commissioners: _____

Section II (Applicant): (Please Print)

APPLICANT, UNLESS EXEMPTED, MUST BE A COUNTY RESIDENT

Name: Schwartz Howard Lester
Last First Middle
Occupation/Affiliation: Attorney/CPA
Owner ☒ Employee ☐ Officer ☐
Business Name: Law Office of Howard L. Schwartz, P.A.
Business Address: 7781 NW Beacon Square Blvd., Suite 102
City & State: Boca Raton, FL Zip Code: 33487
Residence Address: 17210 Grand Bay Drive
City & State: Boca Raton, FL Zip Code: 33496
Home Phone: () Business Phone: (561) 997-0000 Ext. _____
Cell Phone: (561) 665-0205 Fax: (561) 998-5850
Email Address: howard@howardschwartzpa.com

Mailing Address Preference: ☒ Business ☐ Residence

Have you ever been convicted of a felony: Yes _____ No ☒

If Yes, state the court, nature of offense, disposition of case and date: _____

Minority Identification Code: ☒ Male ☐ Female
☐ Native-American ☐ Hispanic-American ☐ Asian-American ☐ African-American ☒ Caucasian

Section II Continued:

CONTRACTUAL RELATIONSHIPS: Pursuant to Article XIII, Sec. 2-443 of the Palm Beach County Code of Ethics, advisory board members are prohibited from entering into any contract or other transaction for goods or services with Palm Beach County. Exceptions to this prohibition include awards made under sealed competitive bids, certain emergency and sole source purchases, and transactions that do not exceed \$500 per year in aggregate. These exemptions are described in the Code. This prohibition does not apply when the advisory board member's board provides no regulation, oversight, management, or policy-setting recommendations regarding the subject contract or transaction and the contract or transaction is disclosed at a public meeting of the Board of County Commissioners. To determine compliance with this provision, it is necessary that you, as a board member applicant, identify all contractual relationships between Palm Beach County government and you as an individual, directly or indirectly, or your employer or business. This information should be provided in the space below. If there are no contracts or transactions to report, please verify that none exist. Staff will review this information and determine if you are eligible to serve or if you may be eligible for an exception or waiver pursuant to the code.

<u>Contract/Transaction No.</u>	<u>Department/Division</u>	<u>Description of Services</u>	<u>Term</u>
<u>Example: (R#XX-XX/PO XX)</u>	<u>Parks & Recreation</u>	<u>General Maintenance</u>	<u>10/01/00-09/30/2100</u>
_____	_____	_____	_____
_____	_____	_____	_____
(Attach Additional Sheet(s), if necessary) OR			
NONE <input type="checkbox"/>		NOT APPLICABLE/ (Governmental Entity) <input type="checkbox"/>	

ETHICS TRAINING: All board members are required to read and complete training on Article XIII, the Palm Beach County Code of Ethics, and read the State Guide to the Sunshine Amendment, Article XIII, and the training requirement can be found on the web at: <http://www.palmbeachcountvethics.com/training.htm>. Ethics training is on-going, and pursuant to PPM CW-P-79 is required before appointment, and upon reappointment.

By signing below I acknowledge that I have read, understand, and agree to abide by Article XIII, the Palm Beach County Code of Ethics, and I have received the required Ethics training (in the manner checked below):

X By watching the training program on the Web, DVD or VHS on February 25, 2020.
By attending a live presentation given on _____, 20____

AND

By signing below I acknowledge that I have read, understand and agree to abide by the Guide to the Sunshine Amendment & State of Florida Code of Ethics:

*Applicant's Signature: [Signature] Printed Name: Howard Schwartz Date: 2/25/2020

Any questions and/or concerns regarding Article XIII, the Palm Beach County Code of Ethics, please visit the Commission on Ethics website www.palmbeachcountvethics.com or contact us via email at ethics@palmbeachcountvethics.com or (561) 355-1915.

Return this FORM to:

Mark Braun, Office of Financial Management & Budget
301 North Olive Avenue, 7th Floor, West Palm Beach, Florida, 33401

Section III (Commissioner, if applicable):

Appointment to be made at BCC Meeting on:

Commissioner's Signature: [Signature] Date: 2/26/20

Pursuant to Florida's Public Records Law, this document may be reviewed and photocopied by members of the public.

Revised 02/01/2016

Curriculum Vitae

Howard L. Schwartz
7781 N.W. Beacon Square Blvd.
Suite 102
Boca Raton, FL 33487
(561) 997-0000

howard@howardschwartzpa.com
www.howardschwartzpa.com

Goal: As an attorney and certified public accountant to assist individuals and businesses to supply their needs and promote their goals.

Uniqueness of our Firm: The ability to integrate legal, tax, accounting and investment/securities issues.

Current Work Experience:

Law Offices of Howard L. Schwartz, P.A., Boca Raton, Florida

1986- Present: Law Firm: practice primarily in the areas of Estate Planning, Probate Administration, Financial Planning, Tax, Tax Planning, Corporate and Residential Real Estate.

Howard L. Schwartz, CPA, Boca Raton, Florida

1986- Present: Certified Public Accounting Firm: practice primarily in the areas of Corporate and Individual Tax Returns, Accounting and Tax Litigation.

Prior Work Experience:

Bernstein, Bernstein & Stein, Fort Lauderdale, Florida.

1984-1986: Law firm practice primarily in the areas of tax litigation, accounting, bankruptcy and securities issues.

Deloitte, Haskins & Sells, CPA's, World Trade Center, New York City, NY

1980-1984: Big Eight Accounting firm. Worked on tax and accounting issues for large multinational corporations.

Designations: Attorney at Law- Admitted Florida.
CPA- Admitted Florida.
Registered Investment Advisor

Non-Profit:

- FAU Cultural Arts and Letters-Advisory Board
- Rotary - Finance Committee
- Florida Intergenerational Orchestra. Created 501(C)(3). Executive Board Member. Provide Legal, Accounting and Tax Preparation
- Board Member Professional Advisory Committee - South Palm Beach County Jewish Federation
- Tax Chairman South Palm Beach County Bar Association
- Additional past non-profit work